

Galaxy Formation

par Avishai Dekel

The Hebrew University of Jerusalem
& Chaire Internationale de Recherche Blaise Pascal, Paris

**Une série de cours (en anglais) pour les étudiants
en thèse (et M2) et les chercheurs**

les mercredis, de 17h00 à 19h00 à l'amphithéâtre de l'IAP
98bis Bd Arago - Paris 14^{ème} - M° St Jacques ou Denfert-Rochereau

20 octobre

1. The standard cosmology
2. Linear growth of fluctuations by gravitational instability

A special lecture series on **Galaxy Formation**

by Avishai Dekel (Chaire Internationale Blaise Pascal)

for graduate students and researchers; IAP/OP Wednesdays 17:00-19:00

- | | |
|-------------|--|
| Octobre 20 | 1. the standard cosmology
2. linear growth of fluctuations by gravitational instability |
| Novembre 17 | 3. statistics of density fluctuations: the CDM scenario
4. nonlinear growth: spherical model, filamentary structure |
| Decembre 8 | 5. numerical simulations of structure formation
6. hierarchical clustering: Press-Schechter formalism, biasing |
| Decembre 15 | 7. dark-matter halos: density profile, cusp/core problem
8. halo substructure: dynamical friction, tidal effects, HOD |
| Janvier 5 | 9. angular momentum problem: tidal torques, disk formation
10. the origin of galaxy scaling relations and their scatter |
| Janvier 12 | 11. semi-analytic modeling: cooling, star formation, mergers
12. feedback processes: supernova, AGN and black holes |
| Fevrier 9 | 13. cold flows versus shock heating
14. origin of bi-modality in galaxies |
| Fevrier 16 | 15. dwarf galaxies and the "fundamental line"
16. dark-dark halos: effect of cosmological photoionization |

Lectures 13-14 (some 11-12)

On the Origin of Galaxy Bi-modality: Cold Flows, Clustering and Feedback

- Observed bi-modality
- Shock heating vs cold flows
- Cold filaments in hot halos --
clustering scale
- Feedback Processes
- Origin of the bi-modality

1. Observed Bimodality

Observed Scale

- bi-modality/transition at

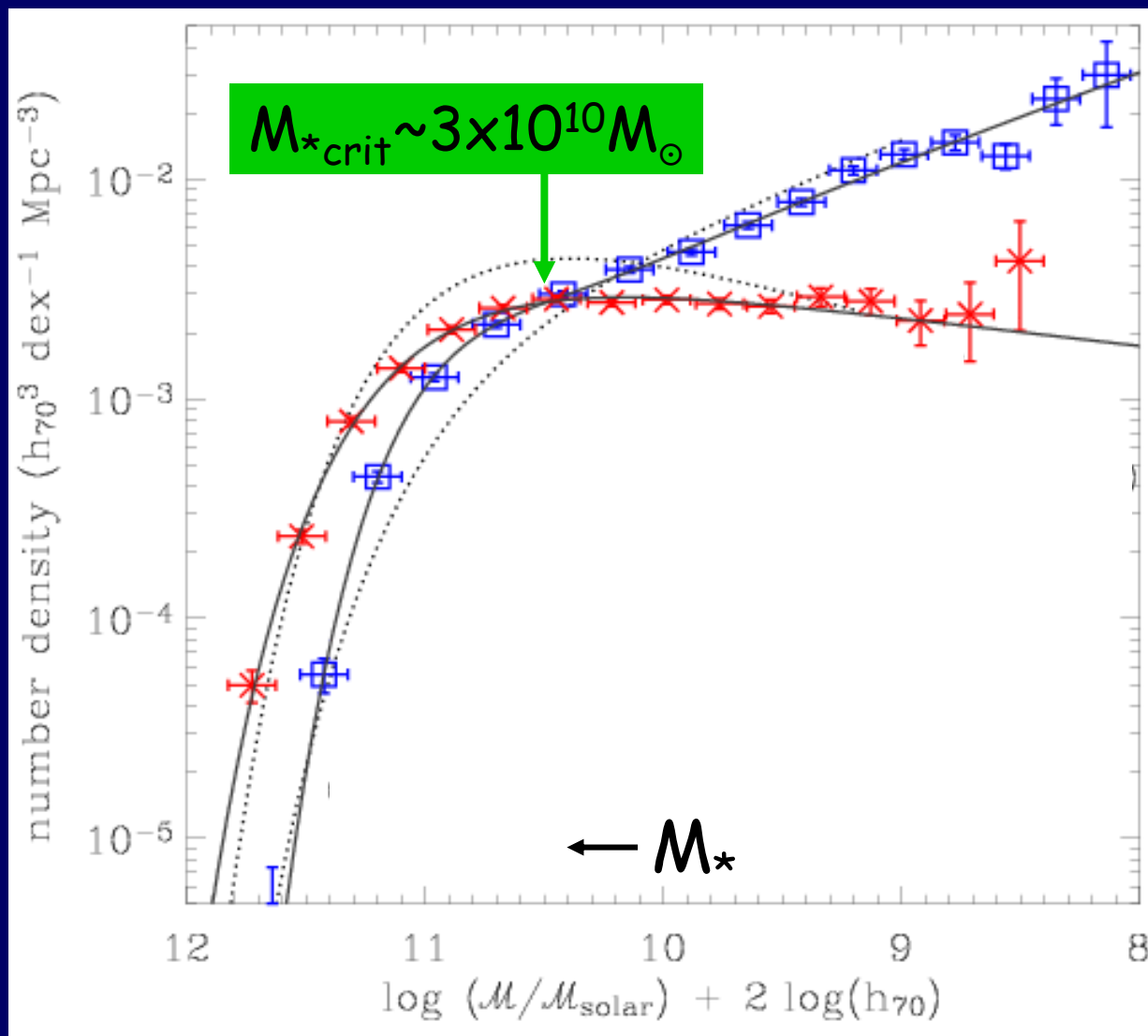
$$M_* \sim 3 \times 10^{10} M_\odot \sim L_* \quad M_{\text{halo}} \sim 6 \times 10^{11} M_\odot$$

below: disks, blue, star forming, low Z ,
LSB, M/L decreasing with M along a
"fundamental line", in field (small halos), ...

above: spheroids, red, old-pop, high Z ,
HSB, M/L increasing with M , "fundamental
plane", clustered (massive halos), AGNs, ...

- very blue galaxies \rightarrow bursty star formation
- big blue galaxies at $z \sim 2-3$ (e.g. SCUBA)
 \rightarrow early star formation in big objects
- luminous red galaxies at $z \sim 0-1$ (e.g. EROs)
 \rightarrow early star formation, then shut off

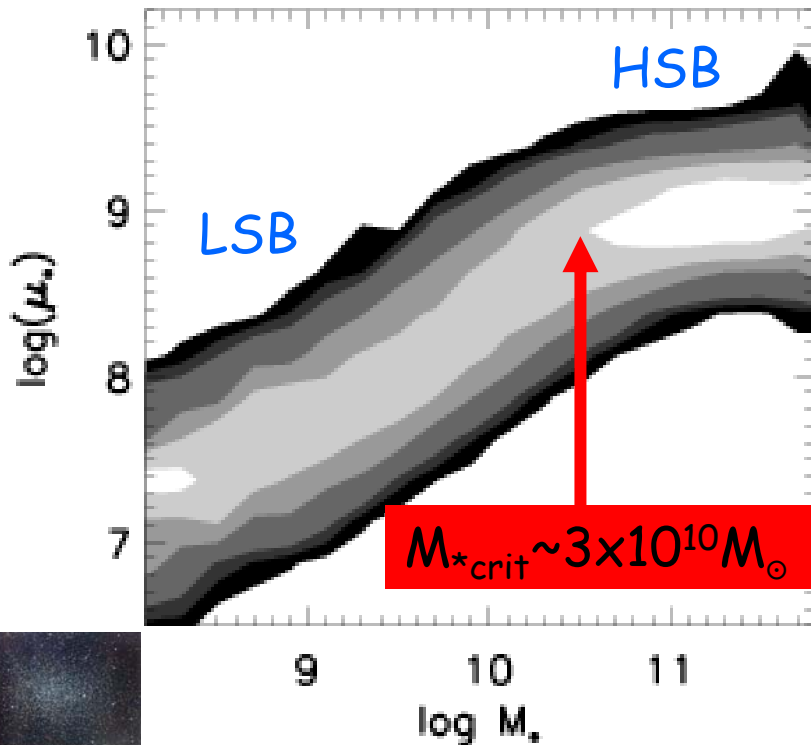
Luminosity function: Red vs Blue



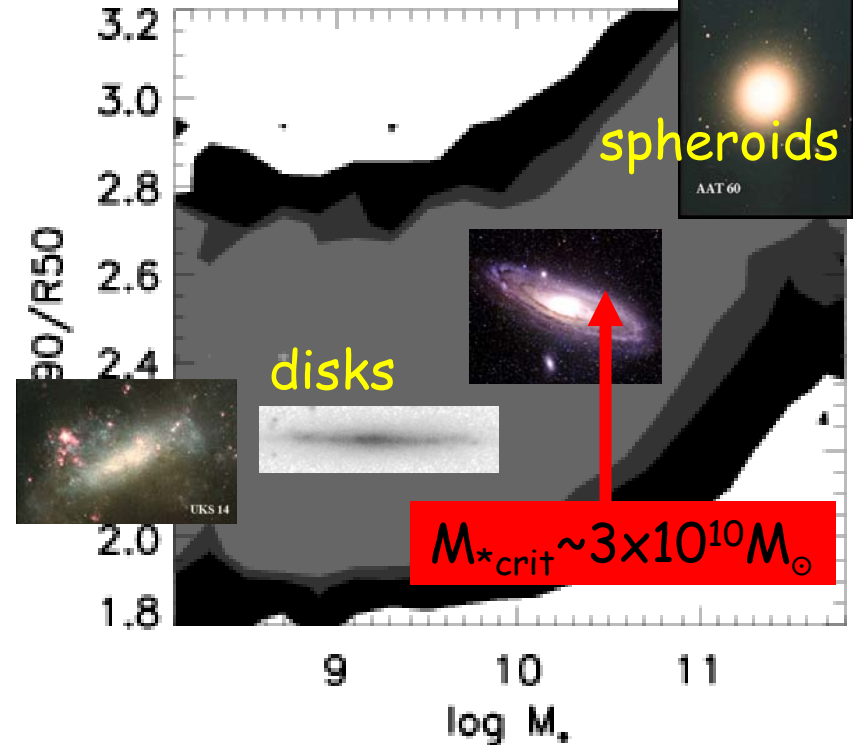
SDSS
Baldry et al. 04

Transition Scale

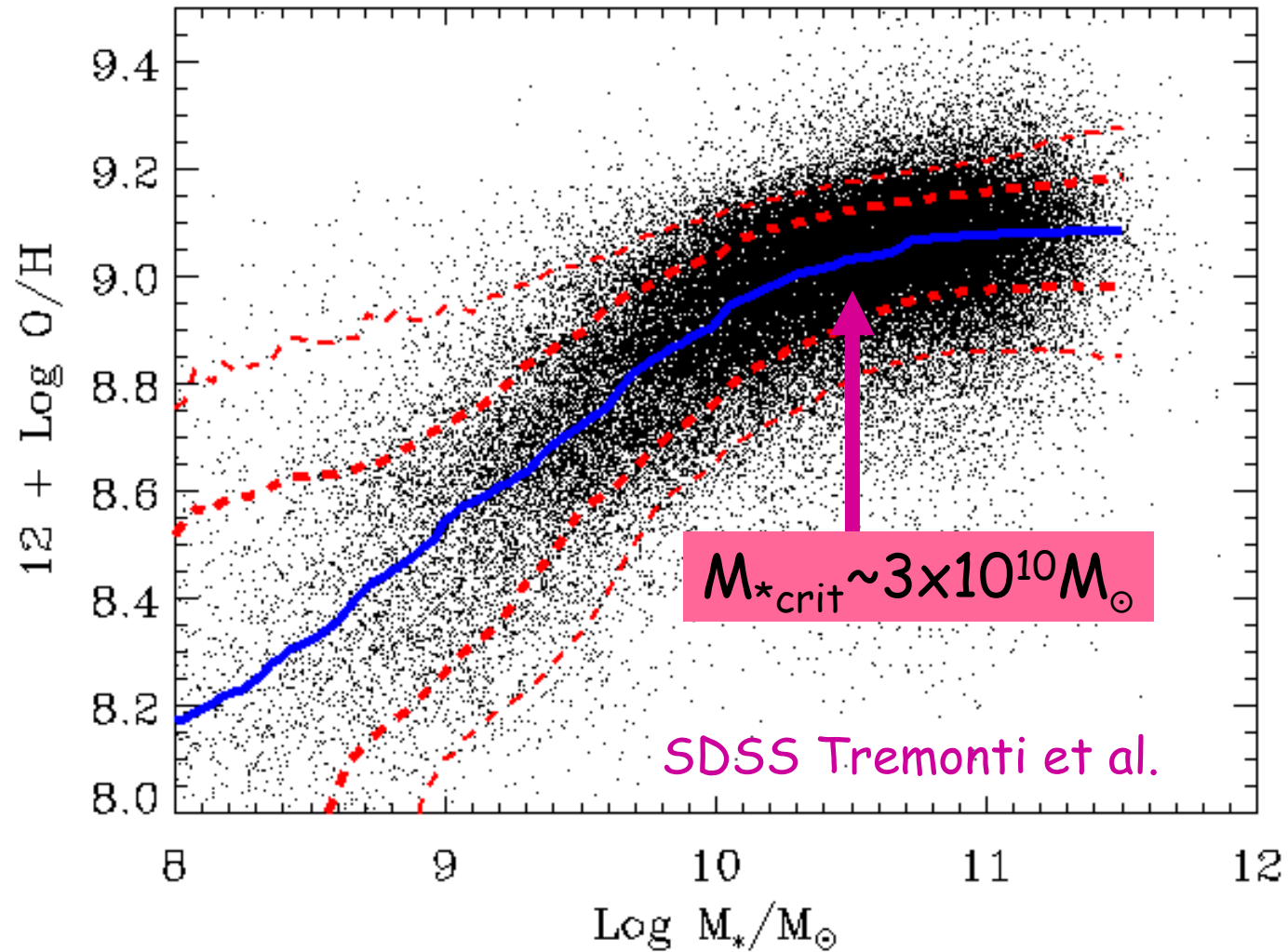
Surface Brightness



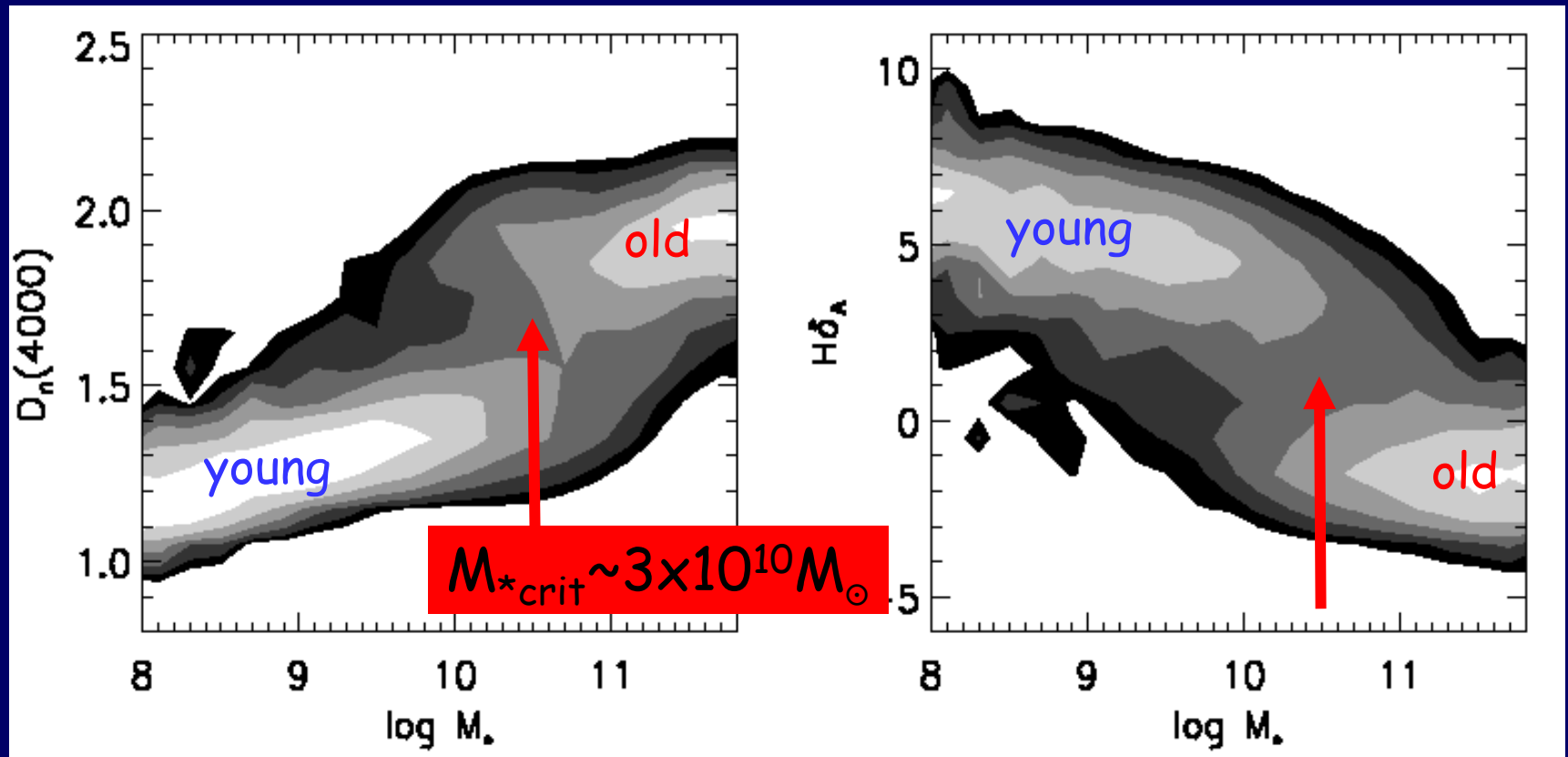
Bulge/Disk



Transition in Metallicity

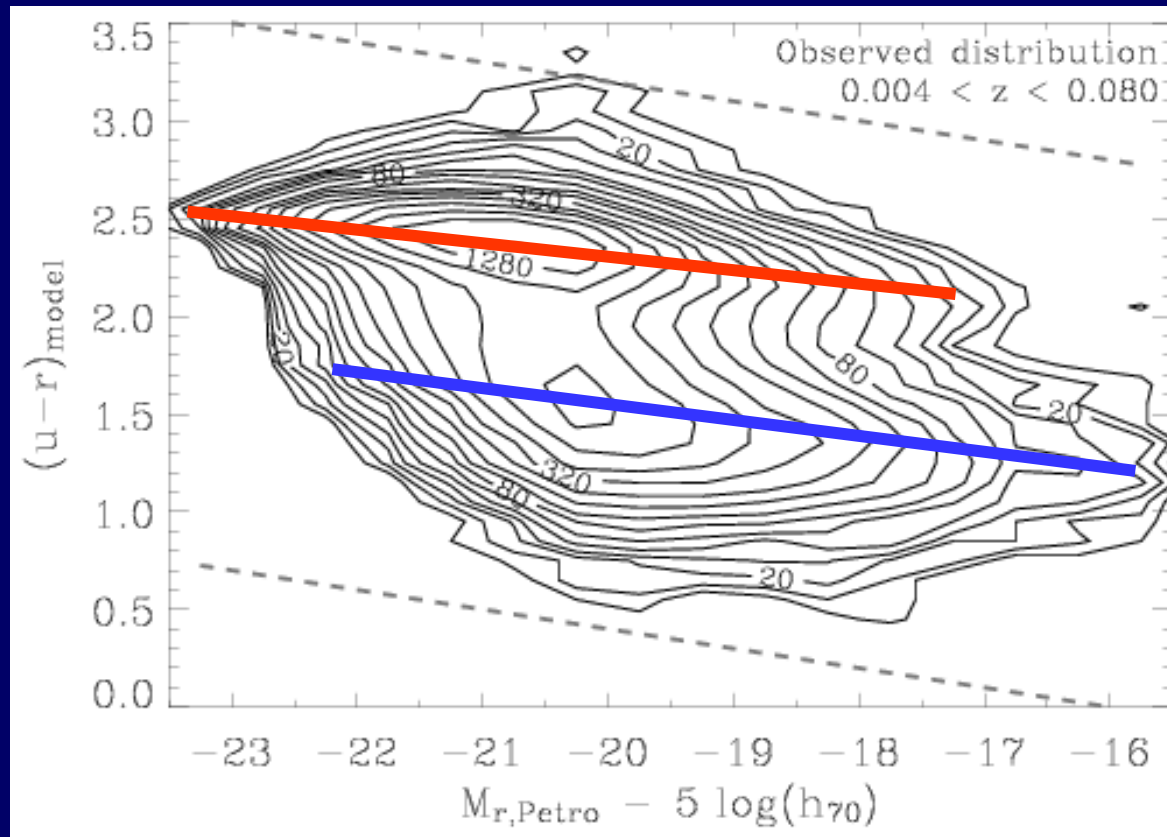


Bi-modality: Age vs Stellar Mass



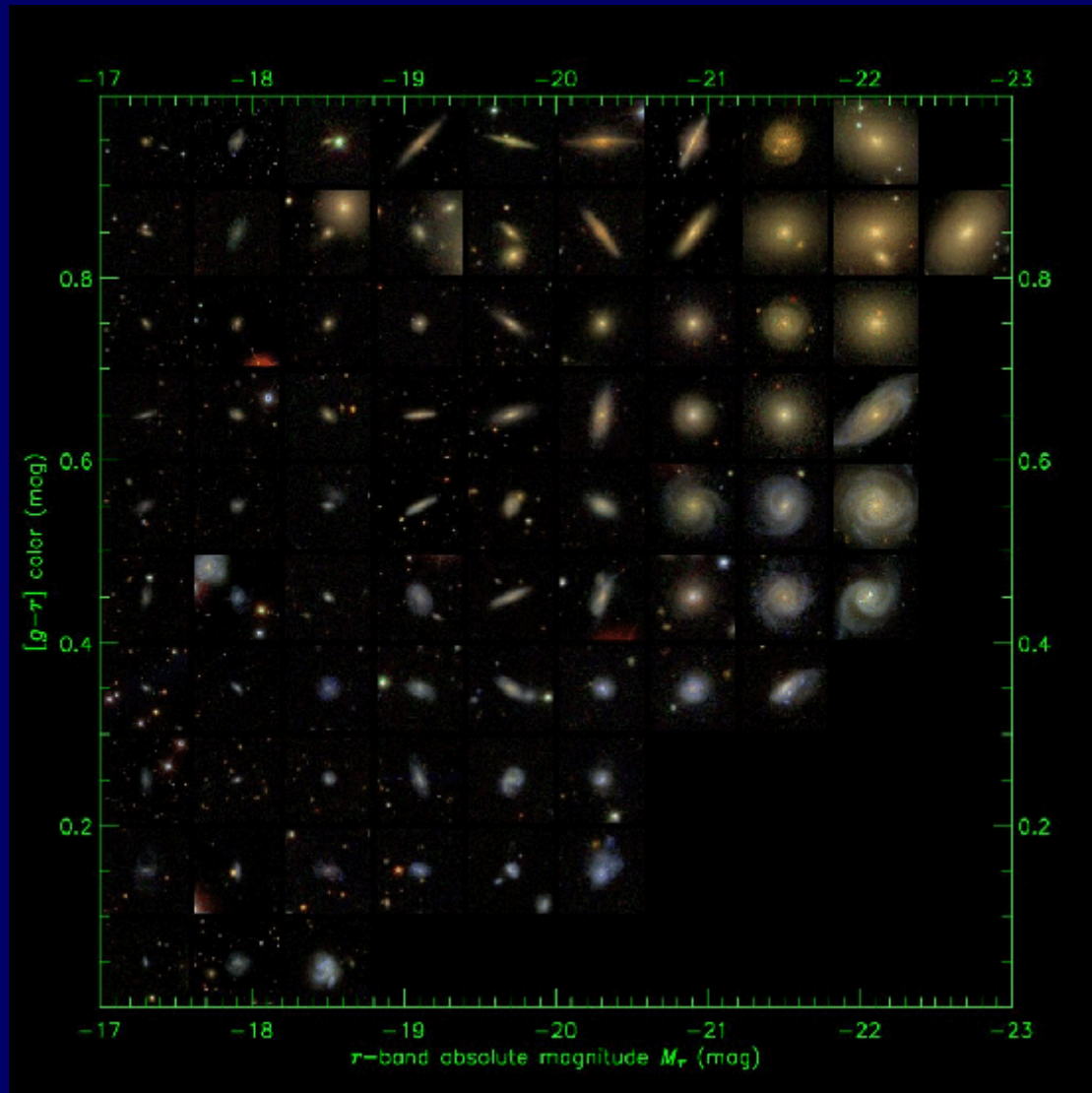
SDSS Kauffmann et al. 03

Bi-modality in Color-Magnitude

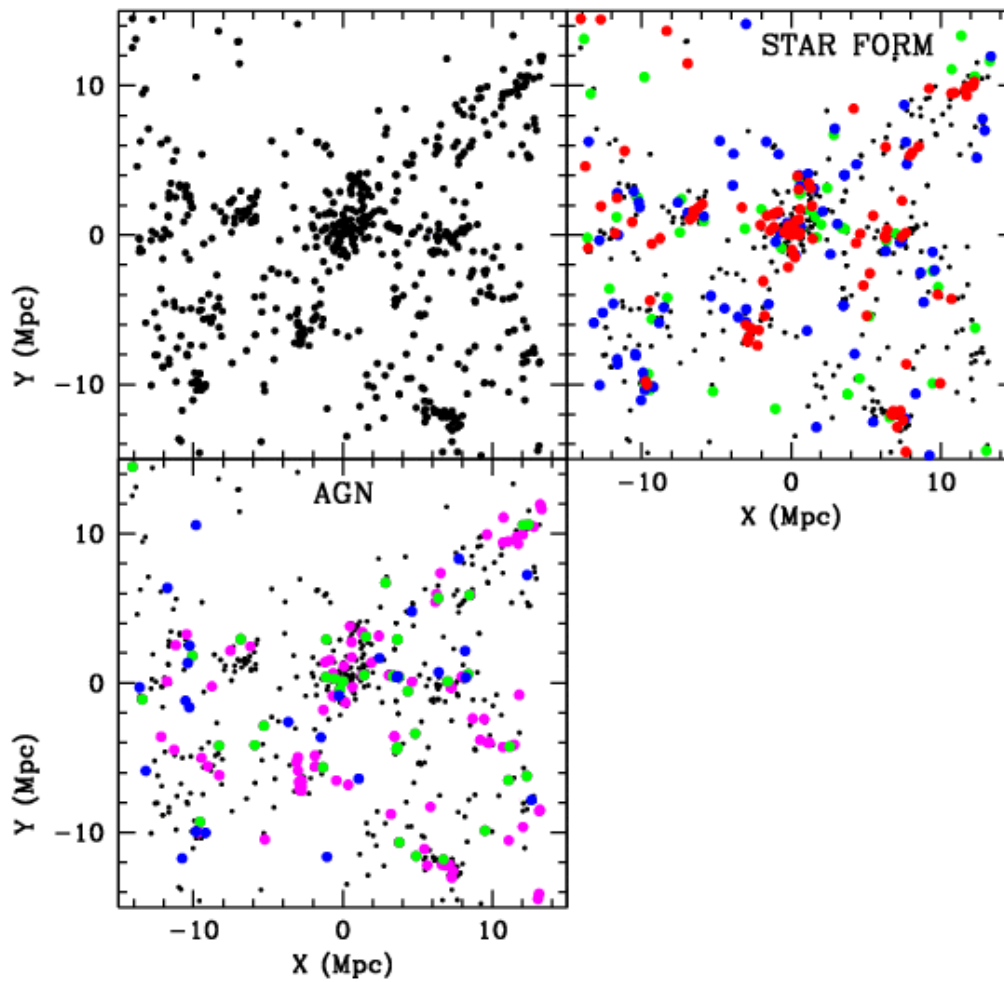


SDSS Baldry et al. 04

Color-Magnitude-Morphology in SDSS



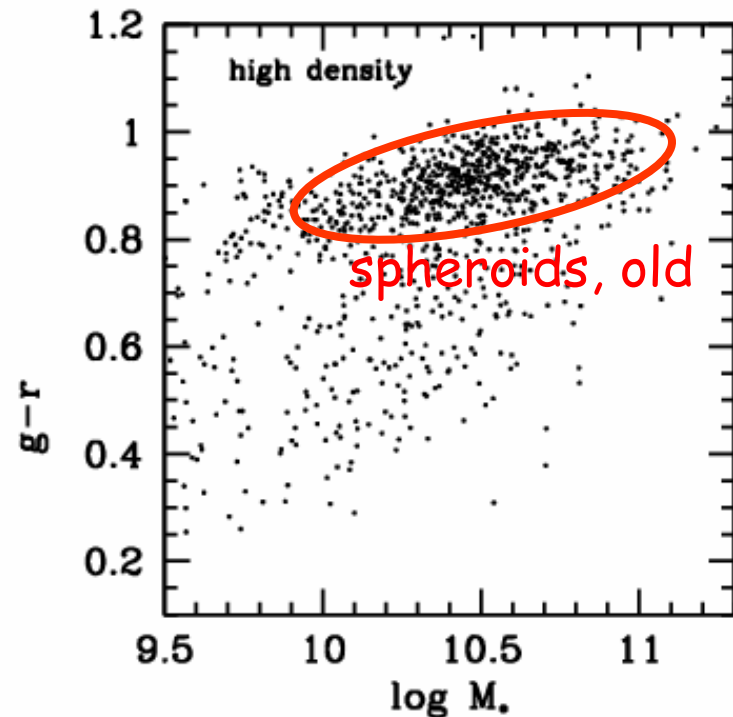
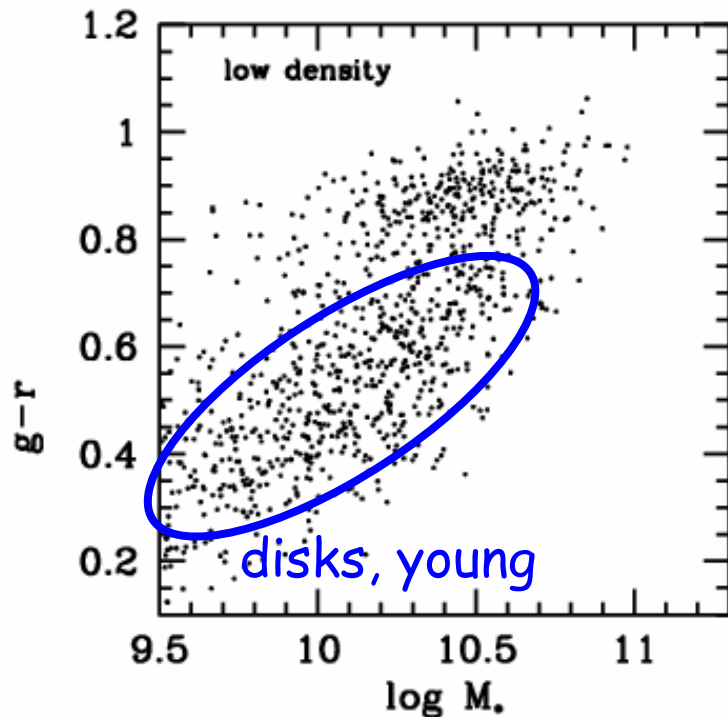
Color - Environment



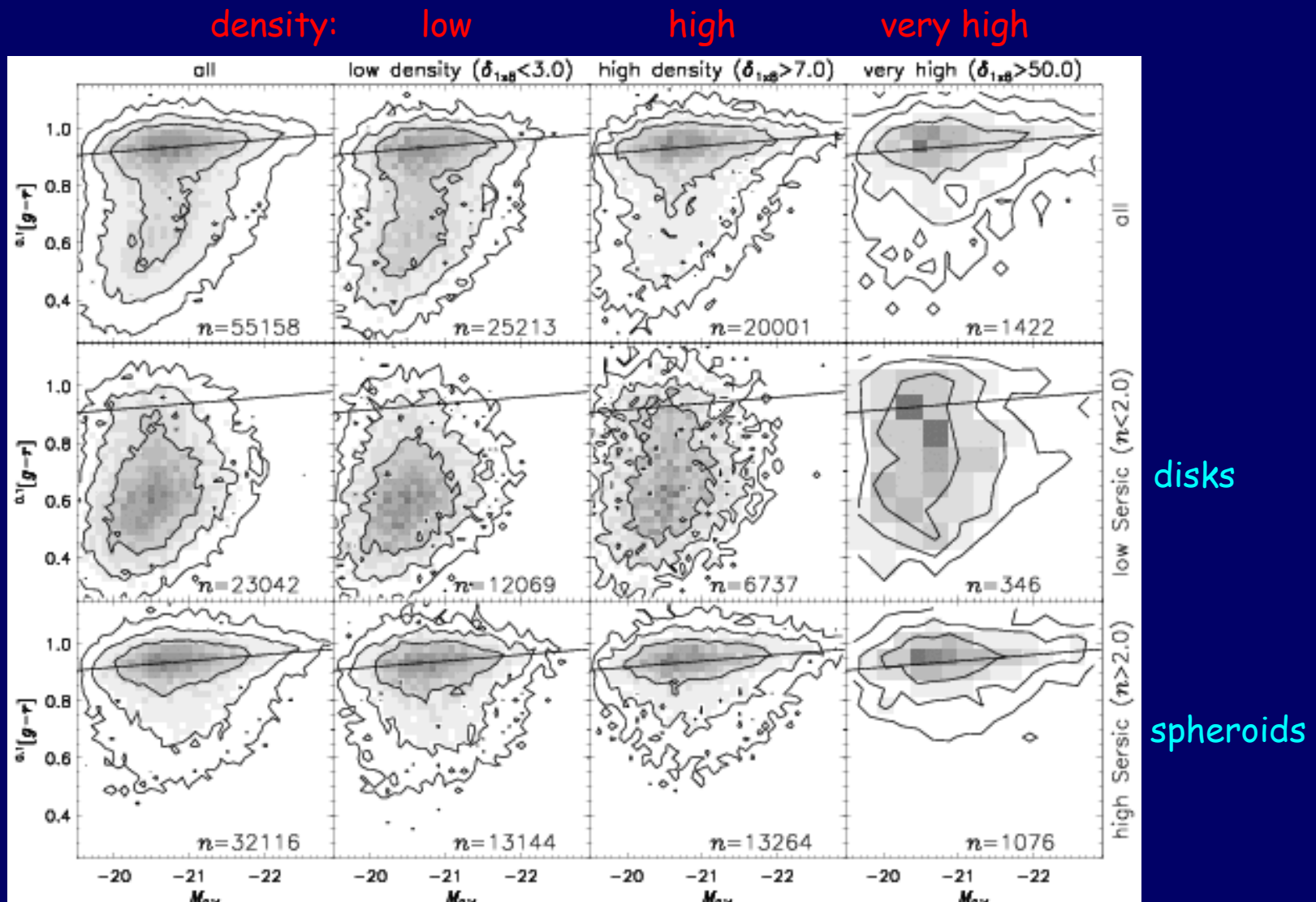
Age & Color bi-modality correlated with environment density, or halo mass

$M_{\text{halo}} < 6 \times 10^{11}$ "field"

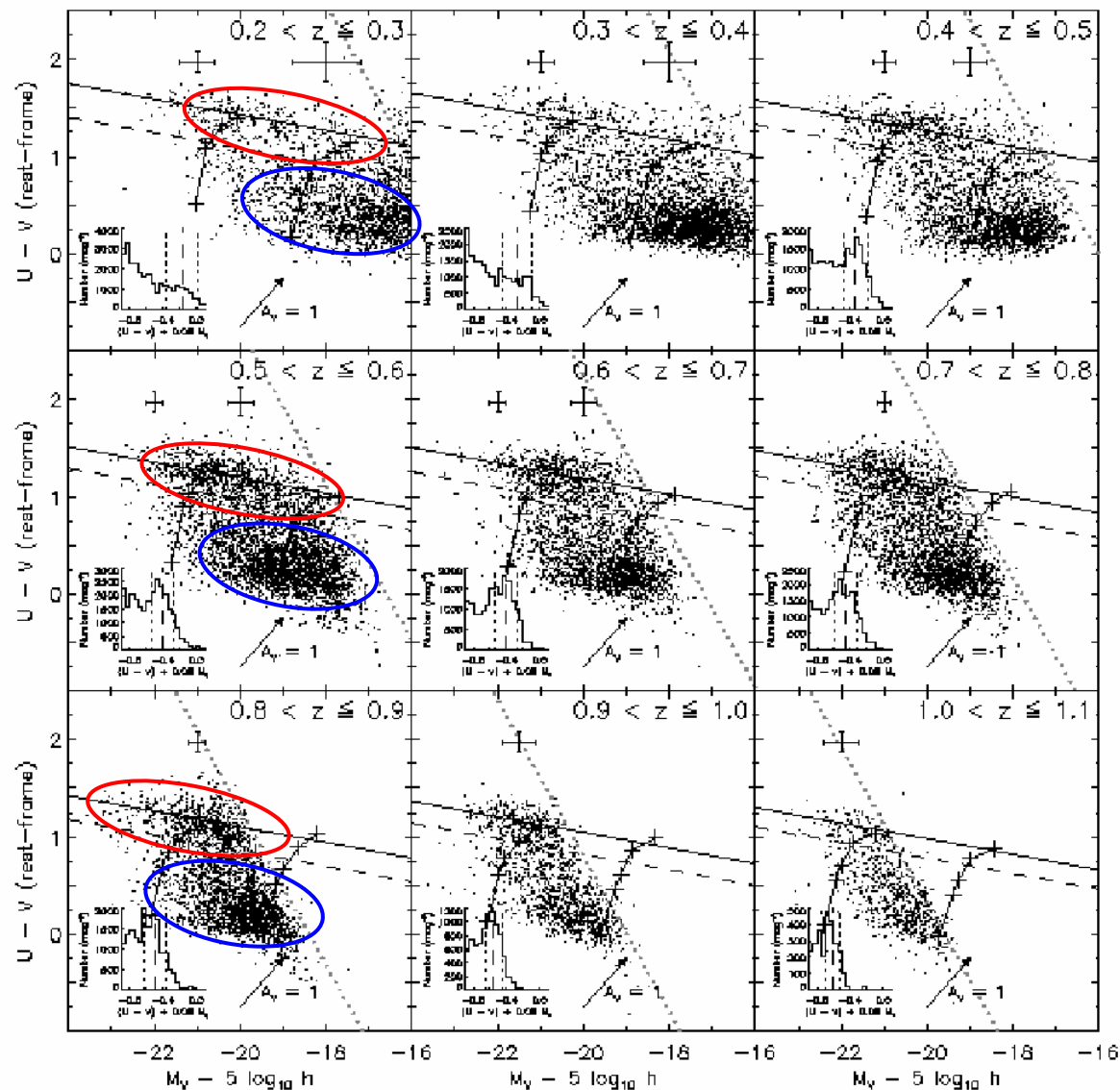
$M_{\text{halo}} > 6 \times 10^{11}$ "cluster"



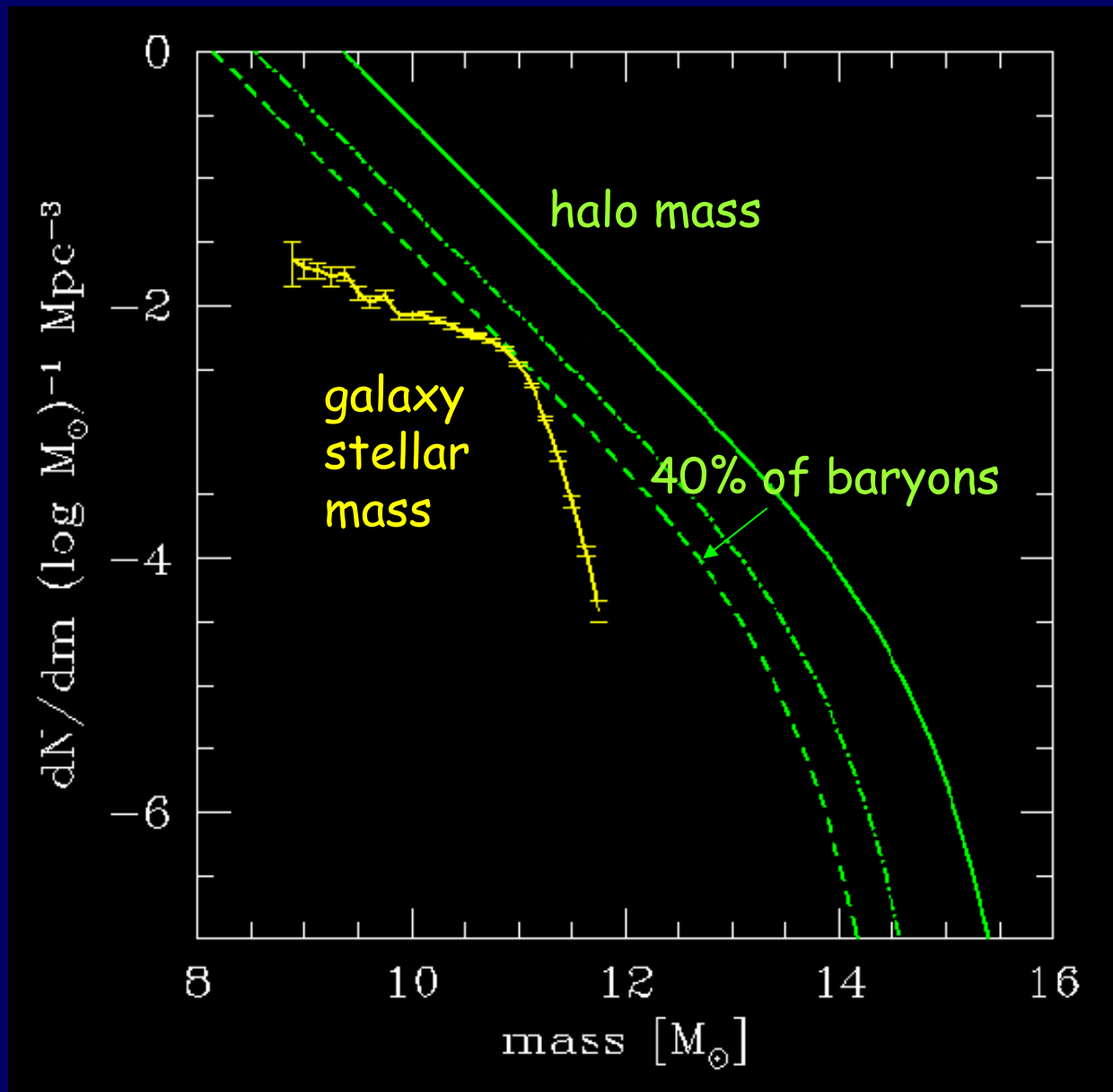
Color-Magnitude Bimodality depends on B/D and Environment



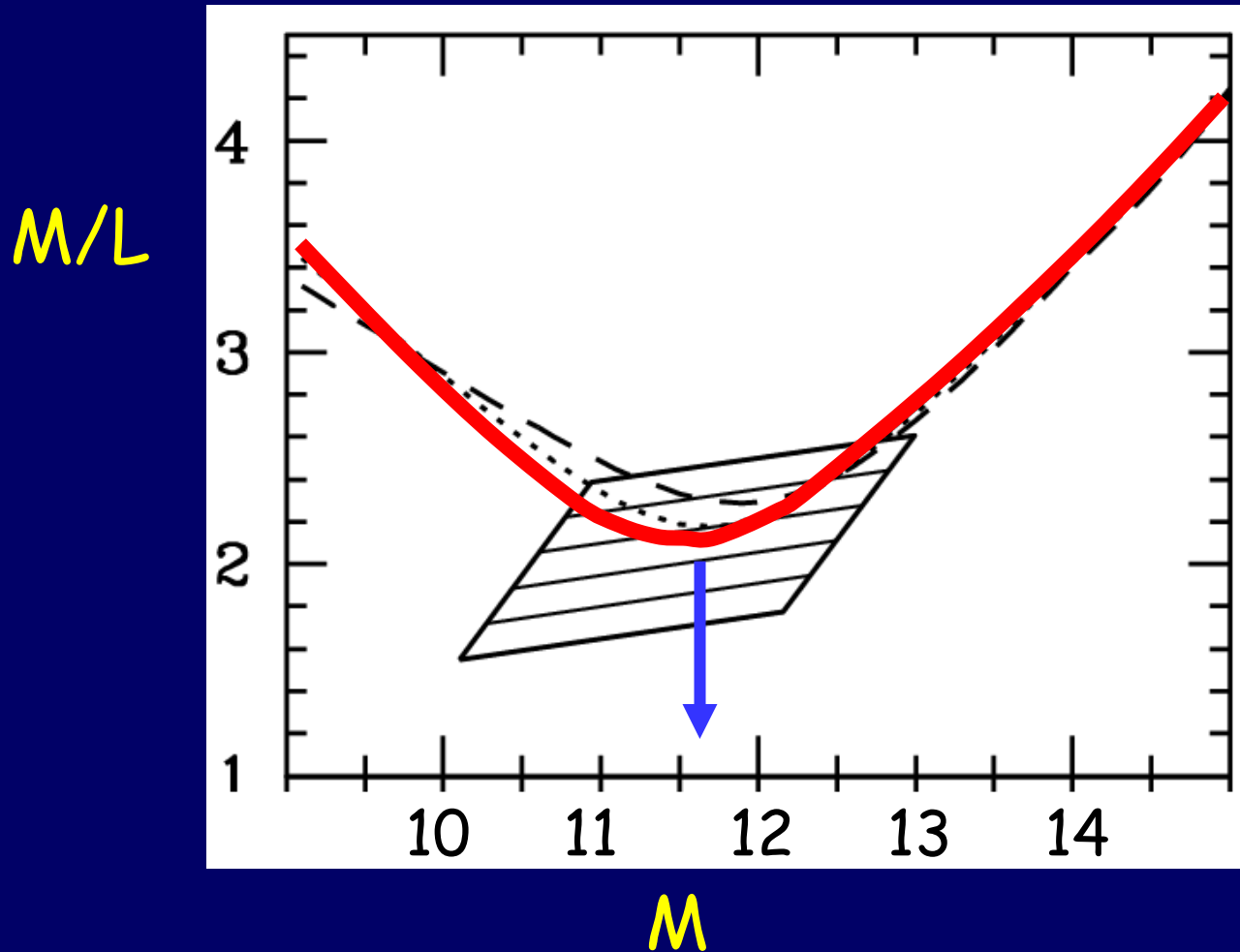
Bi-modality at high z



Mass versus Light Distribution

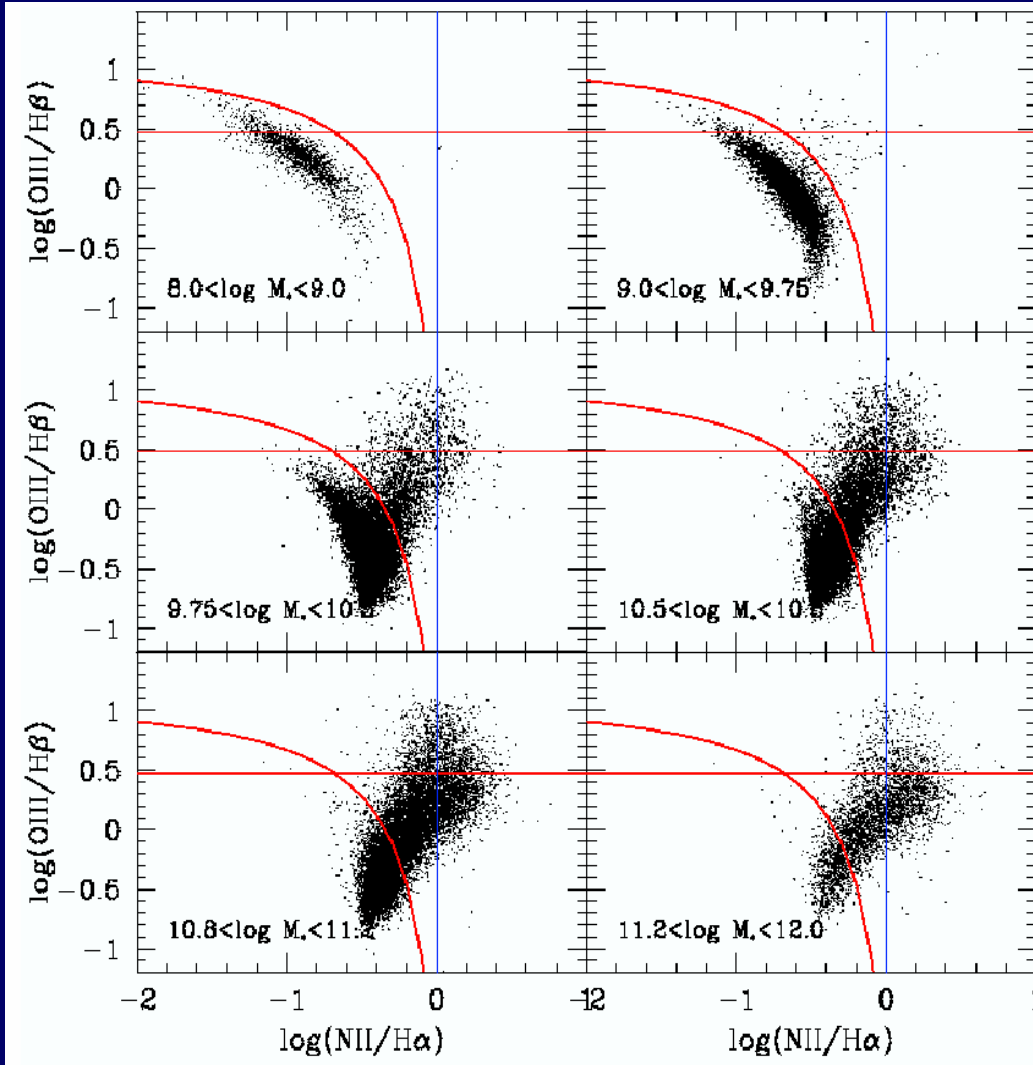


$\langle M/L \rangle$ vs M for halos in 2dF assuming Λ CDM



Using conditional luminosity function: Van den Bosch, Mo, Yang 03

Emission Properties vs. Stellar Mass



low-mass emission galaxies are almost all star formers

high-mass emission galaxies are almost all AGN

Observed Characteristic Scale

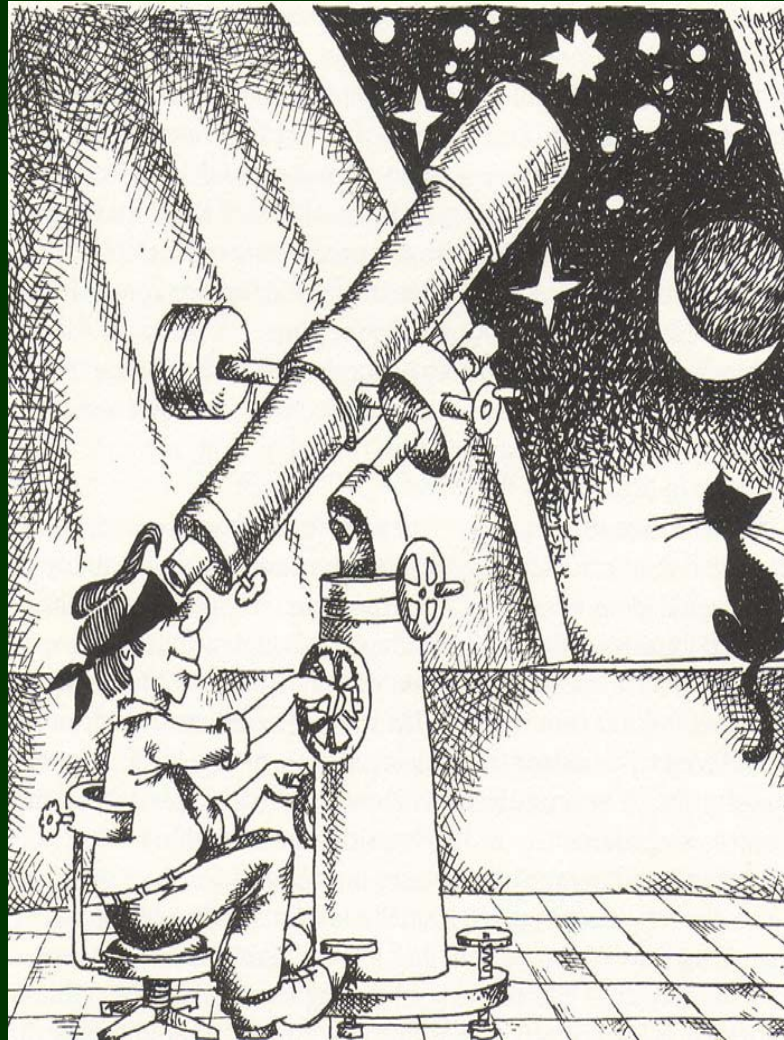
bi-modality / transition

$$M_* \sim 3 \times 10^{10} M_\odot \quad M_{\text{vir}} \sim 6 \times 10^{11} M_\odot \quad V_{\text{vir}} \sim 120 \text{ km/s}$$

discs, blue star-forming, low Z , LSB $M/L \propto M^{-1}$,
fundamental line, small halos (field)

spheroids, red old-pop, high Z , HSB $M/L \propto M$,
fundamental plane, massive halos (clustered), AGNs

Theory



Standard Picture of Infall to a Disc

Rees & Ostriker 77, Silk 77, White & Rees 78, ...

Perturbed expansion

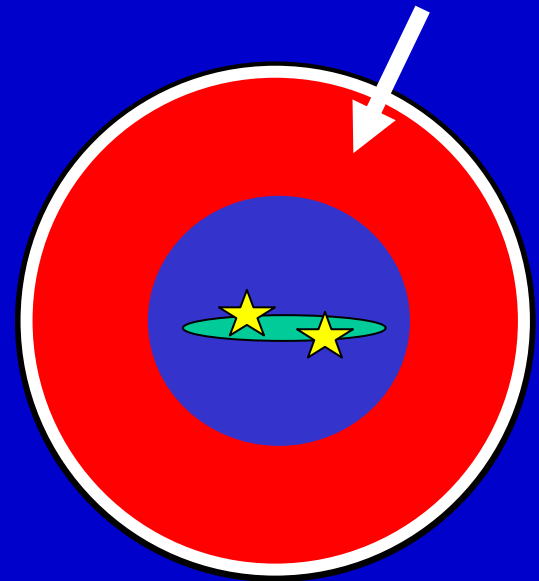
Halo virialization

Gas infall, shock heating
at the virial radius

Radiative cooling

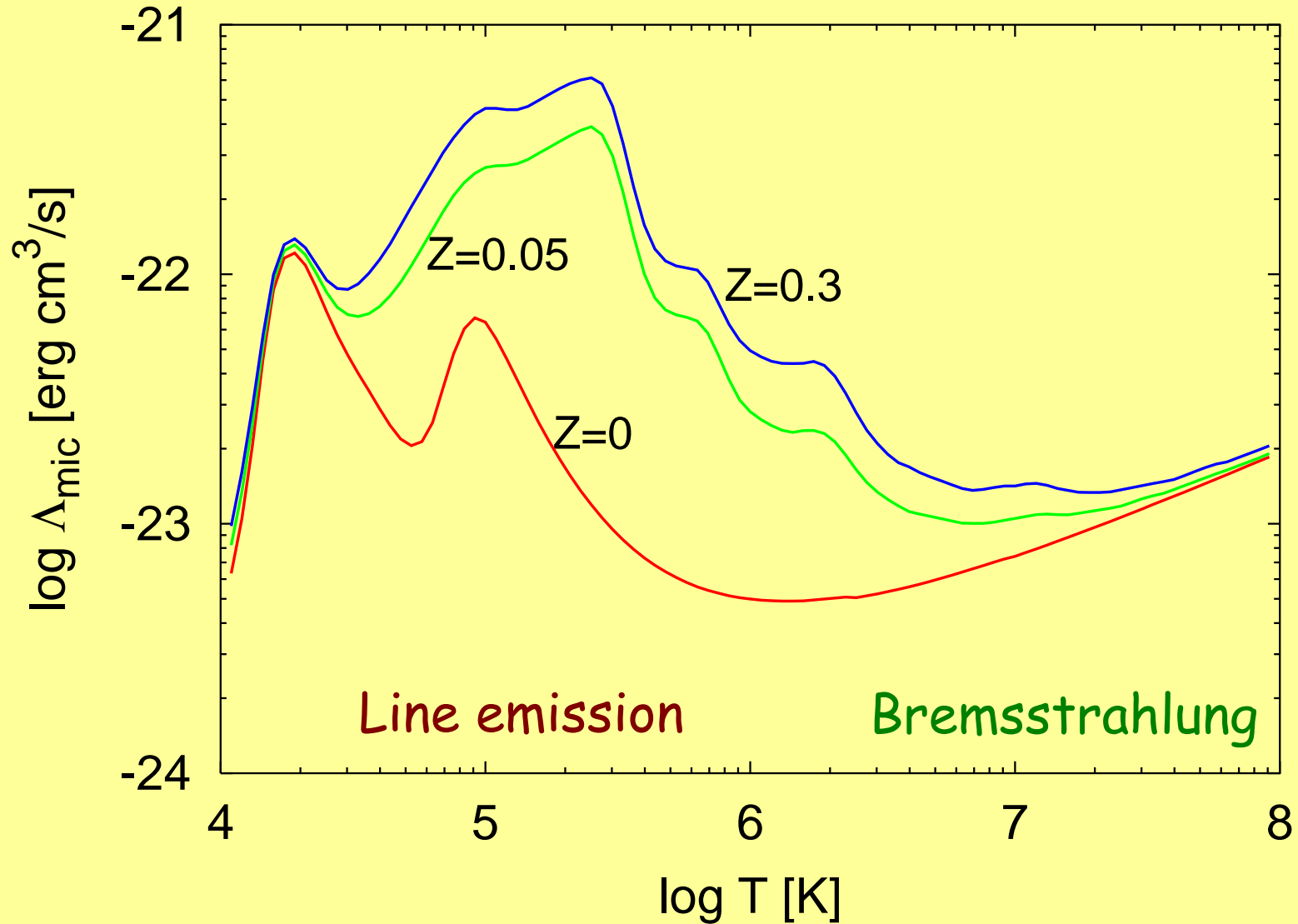
Accretion to disc if $t_{\text{cool}} < t_{\text{ff}}$

Stars & feedback



$$M < M_{\text{cool}} \sim 10^{12-13} M_{\odot}$$

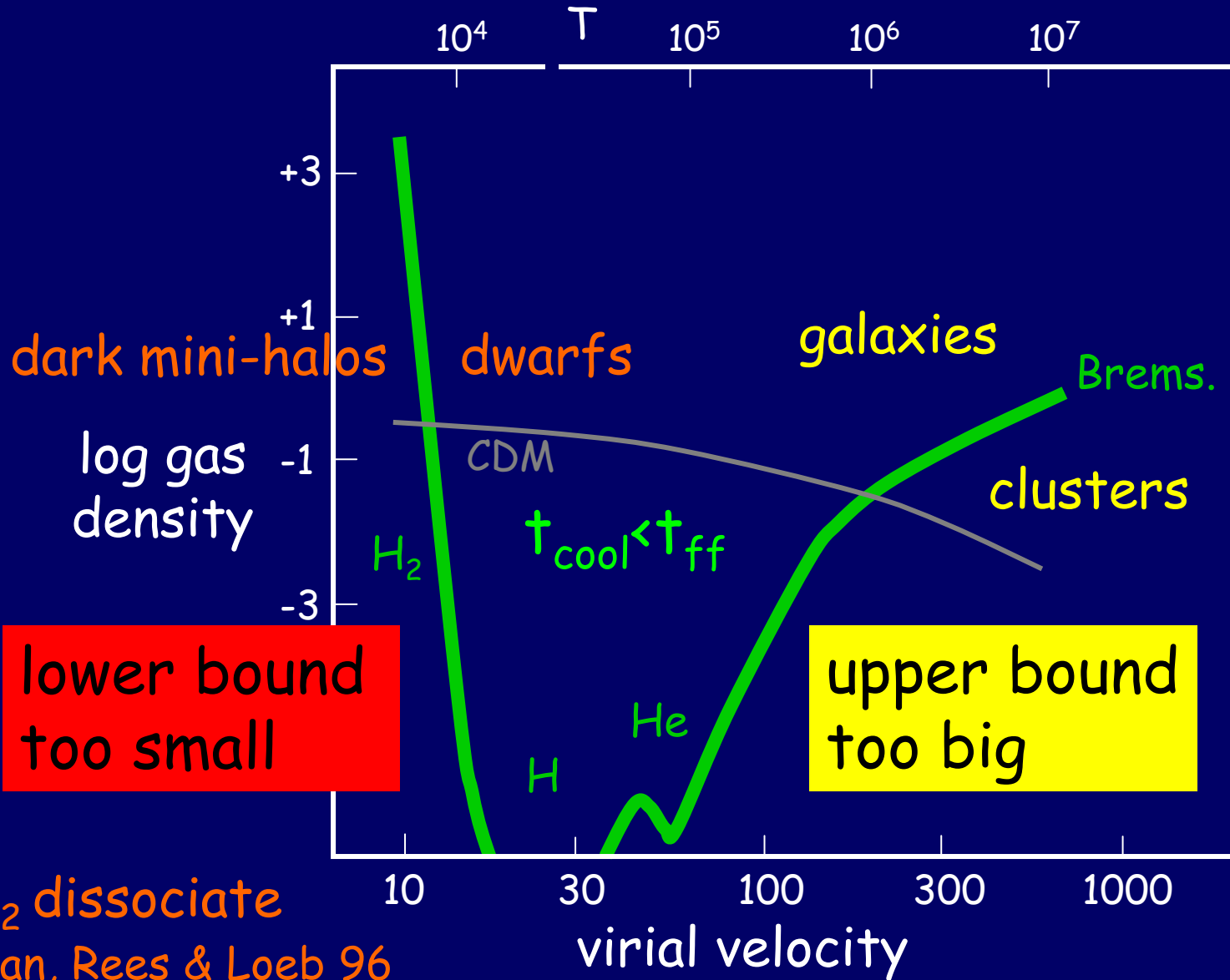
Cooling rate



$$q = \frac{N_A^2 \chi^2}{\mu^2} \Lambda(T) \rho \quad [\text{erg g}^{-1} \text{ s}^{-1}] \quad N_A / \mu \text{ molecules per g} \quad \chi e^- \text{ per particle}$$

Cooling vs Free Fall

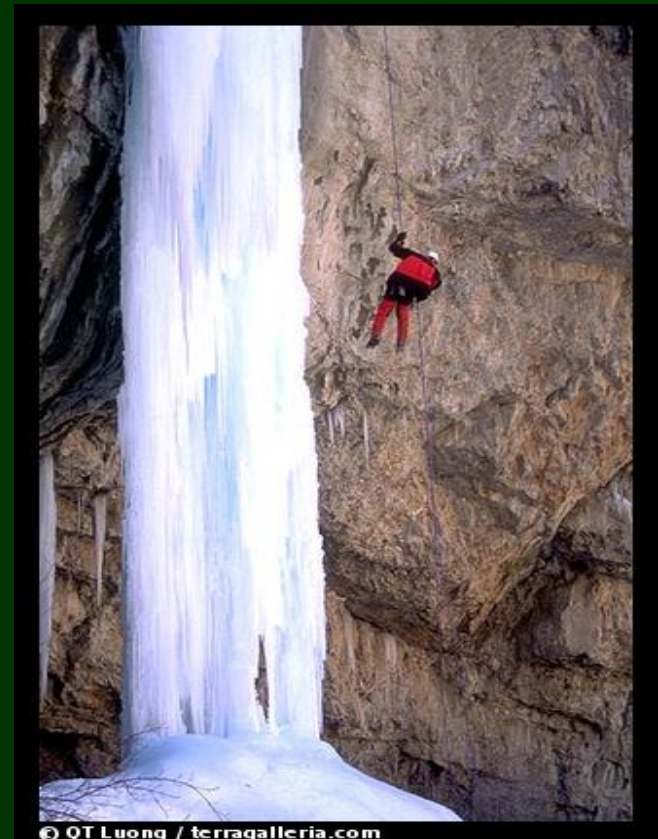
Rees & Ostriker 77, Silk 77, White & Rees 78



H_2 dissociate

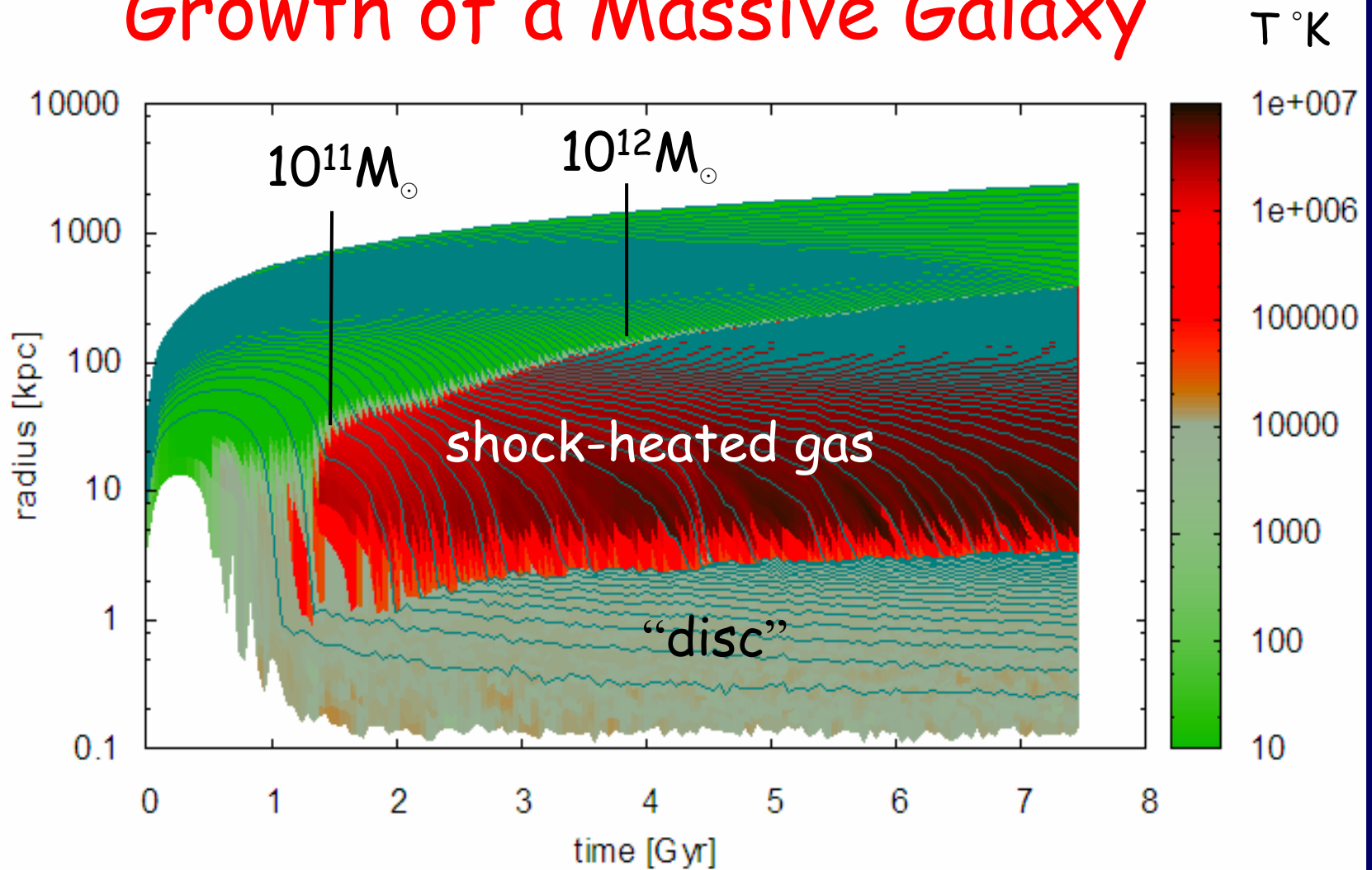
Haiman, Rees & Loeb 96

2. Shock-Heating vs Cold Flows



© QT Luong / terragalleria.com

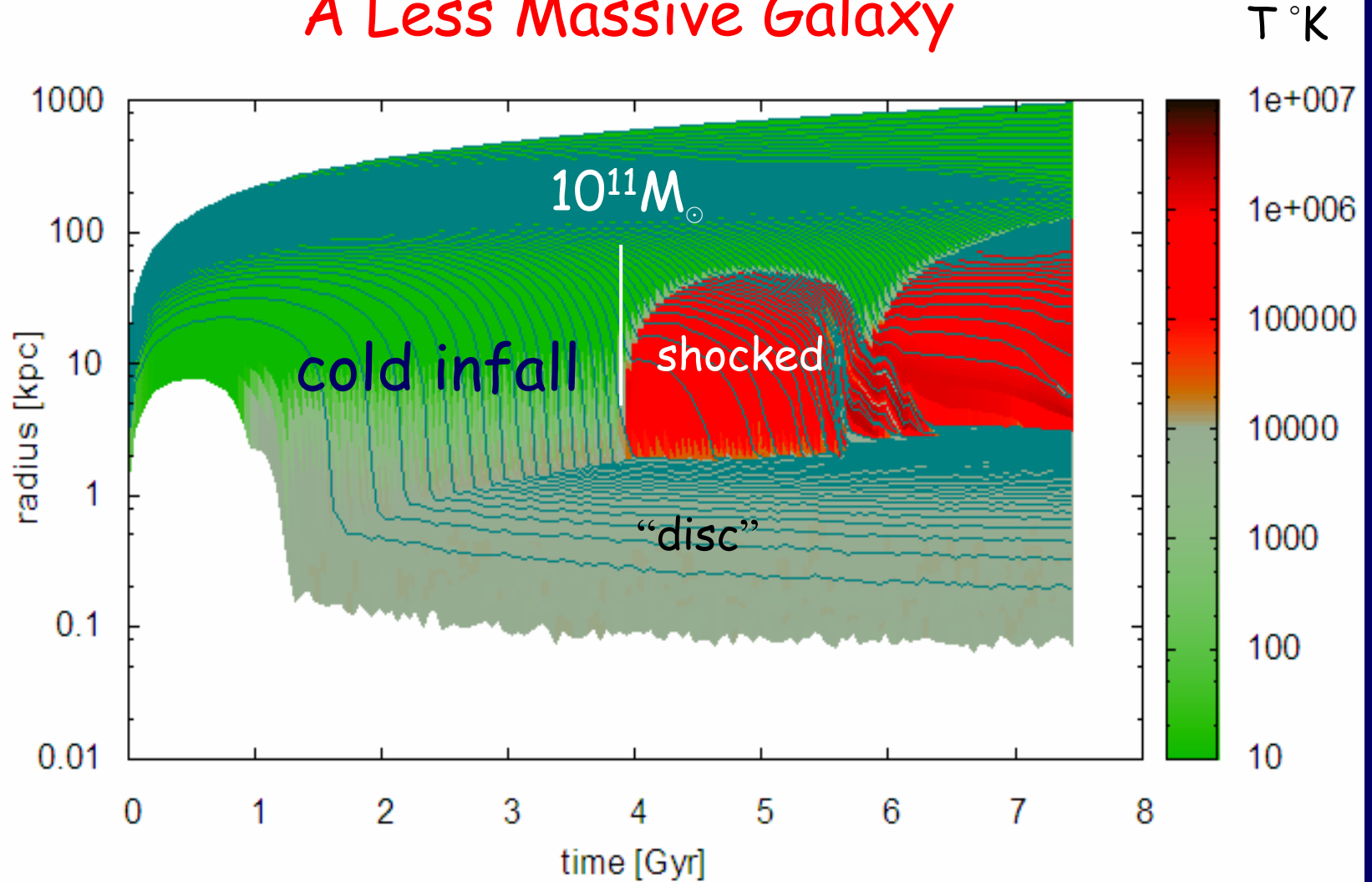
Growth of a Massive Galaxy



Spherical hydro simulation

Birnboim & Dekel 03

A Less Massive Galaxy



Spherical hydro simulation

Birnboim & Dekel 03

Hydro Simulation: \sim Massive $M=3 \times 10^{11}$

$\log(T[\text{K}])$

Kravtsov et al.

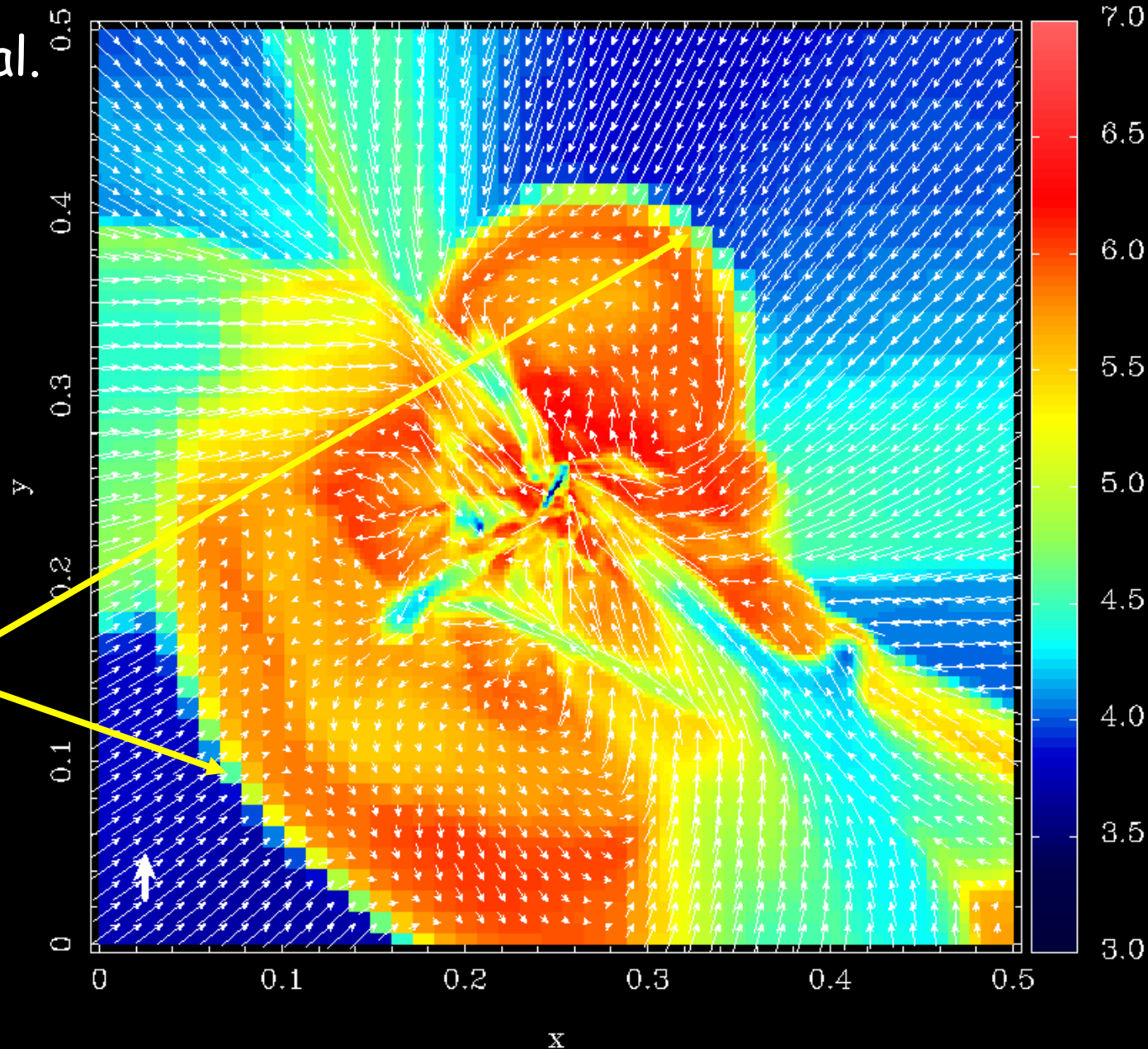
$z=4$

$M=3 \times 10^{11}$

$T_{\text{vir}}=1.2 \times 10^6$

$R_{\text{vir}}=34 \text{ kpc}$

virial
shock

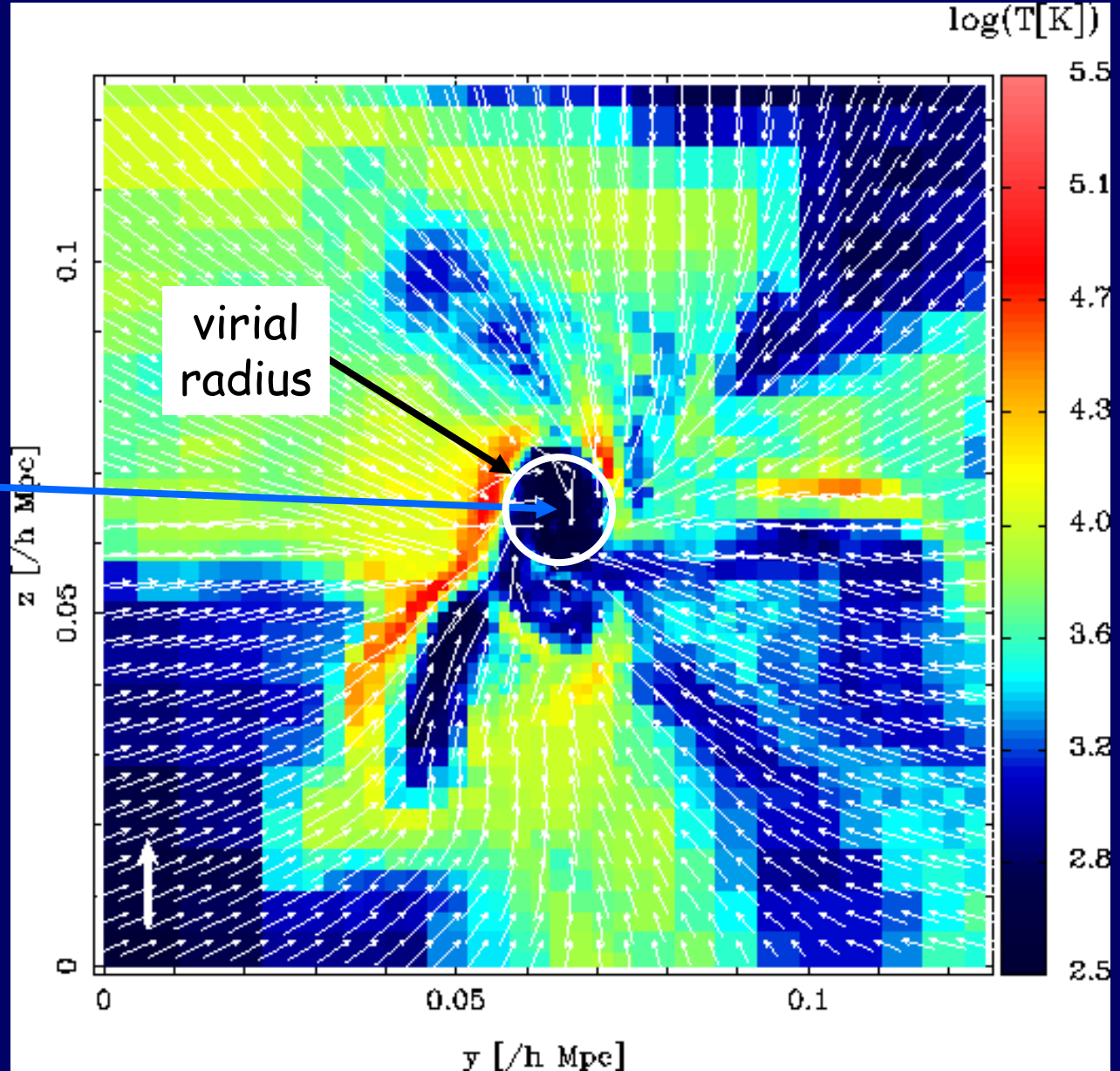


Less Massive $M=1.8 \times 10^{10}$

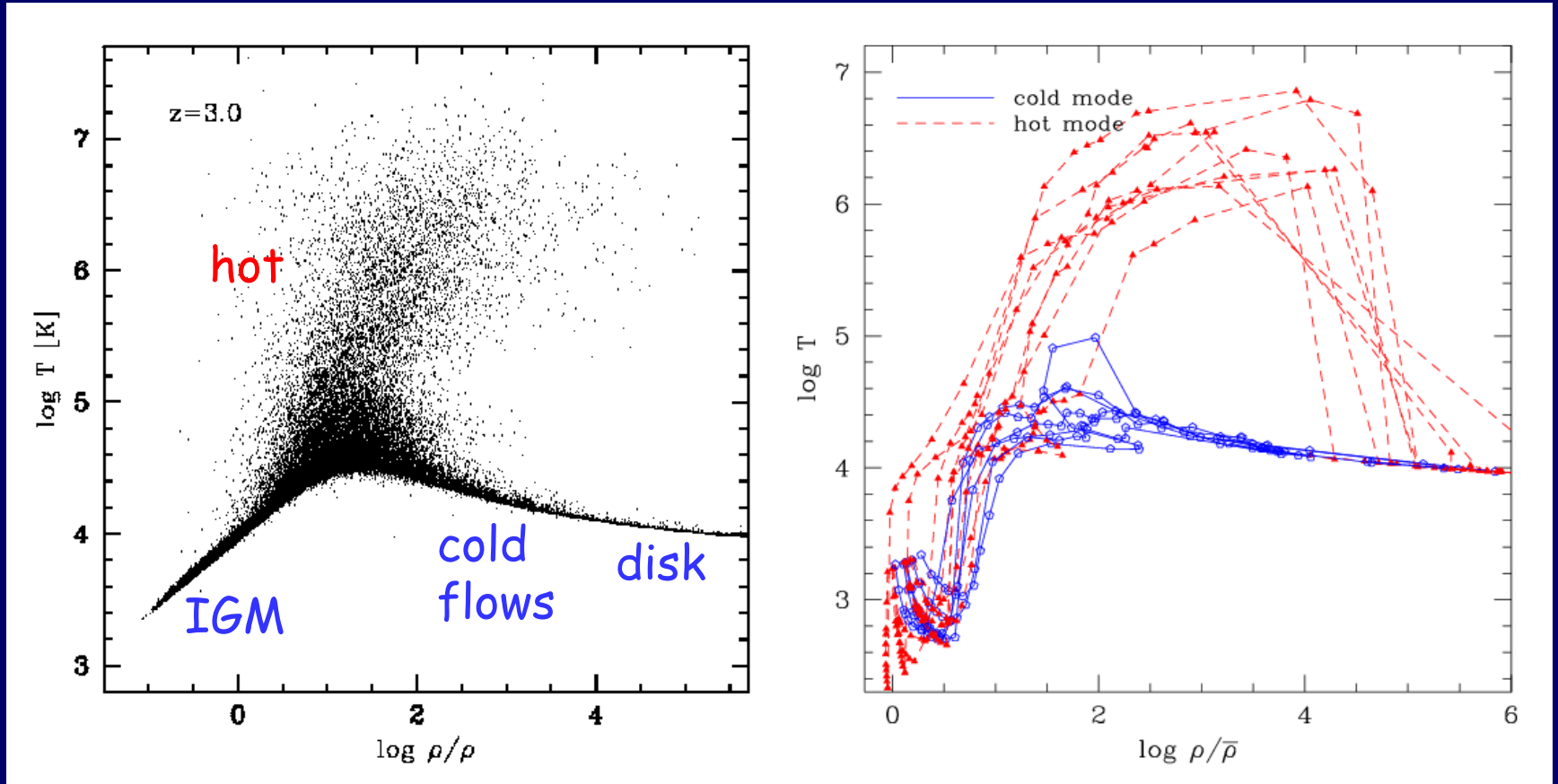
Kravtsov et al.

**cold
infall**

$z=9$
 $M=1.8 \times 10^{10}$
 $T_{\text{vir}}=3.5 \times 10^5$
 $R_{\text{vir}}=7 \text{ kpc}$



Cold and Hot Modes in SPH Simulations



Keres, Katz, Weinberg, Dav'e 2004

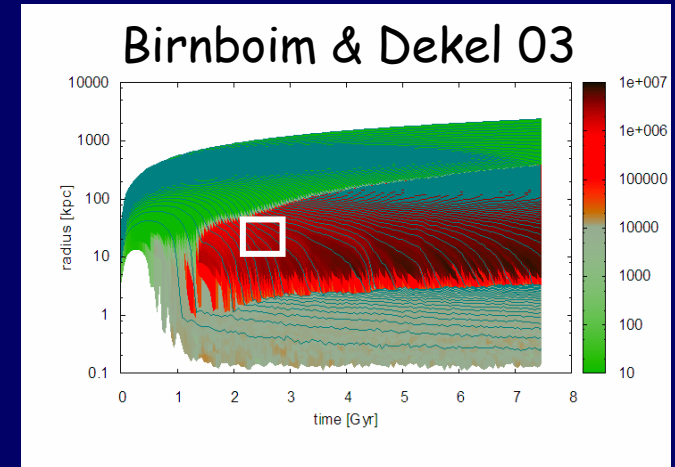
Shock Stability (Birnbom & Dekel 03) : post-shock pressure vs. gravitational collapse

adiabatic: $\gamma = \left(\frac{\partial \ln P}{\partial \ln \rho} \right)_s$ stable: $\gamma > 4/3$

with cooling rate q (internal energy e):

$$\gamma_{\text{eff}} \equiv \frac{d(\ln P)}{d(\ln \rho)} = \gamma - \frac{\rho q}{\dot{\rho} e} = \frac{5}{3} - \frac{5}{21} \frac{t_{\text{comp}}}{t_{\text{cool}}}$$

$$\dot{e} = -P\dot{V} - q$$



$$t_{\text{comp}} \equiv \frac{21 \rho}{5 \dot{\rho}} \approx \frac{4 R_s}{3 V}$$

$$t_{\text{cool}} \equiv \frac{e}{q} \propto \frac{T}{\rho \Lambda(T, Z)} \quad T \approx \frac{3}{16} V^2 \quad \rho_{\text{post}} \approx 4 \rho_{\text{pre}}$$

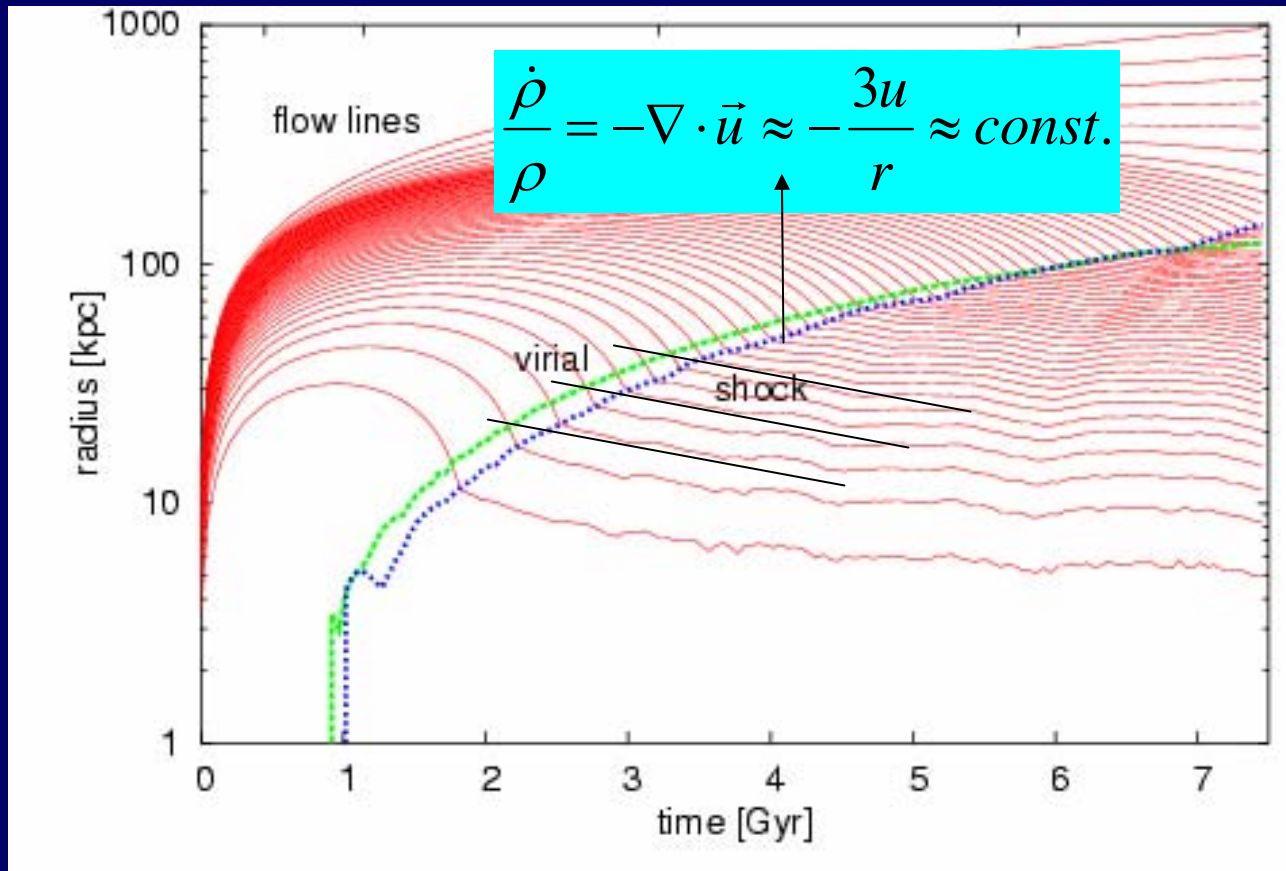
Stability criterion:

$$\gamma_{\text{eff}} > \frac{10}{7}$$

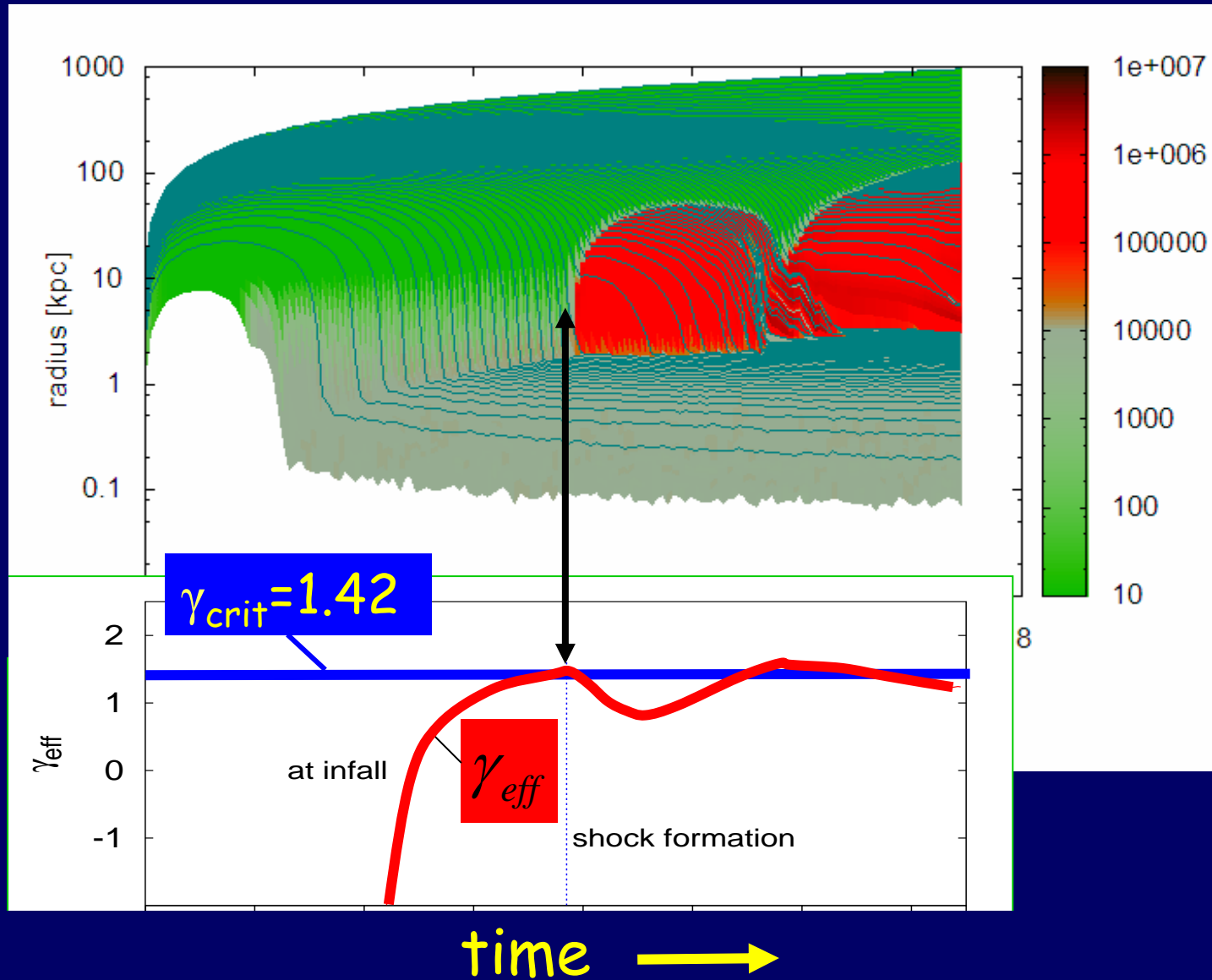


$$t_{\text{cool}}^{-1} < t_{\text{compress}}^{-1}$$

Compression



Spherical Simulation vs Model

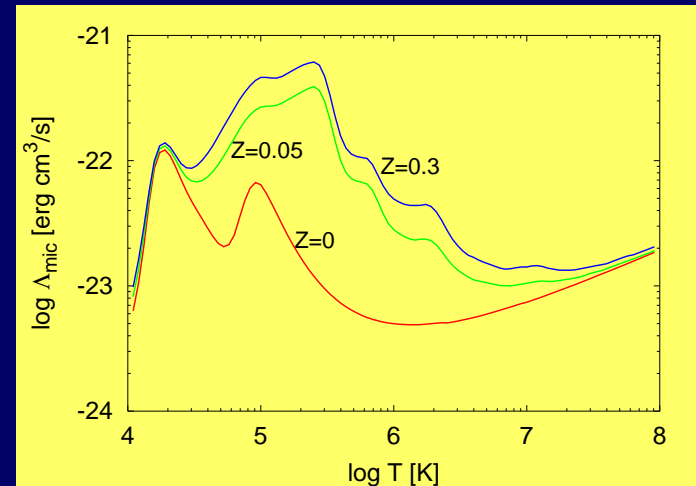


Critical mass for shock heating:

Apply $t_{\text{cool}} \sim t_{\text{compress}}$ with ρ, V, R at the virial radius for Λ CDM halos

Approximate cooling:

$$\Lambda \propto Z^{0.7} T^{-1}$$



$$T \sim 1.6 \times 10^6 \text{ K} \quad [(Z/0.1)^{0.7} (f_b/0.05) (\rho r/v)_{0.1R_v} (1+z)^{3/2}]^{1/2}$$

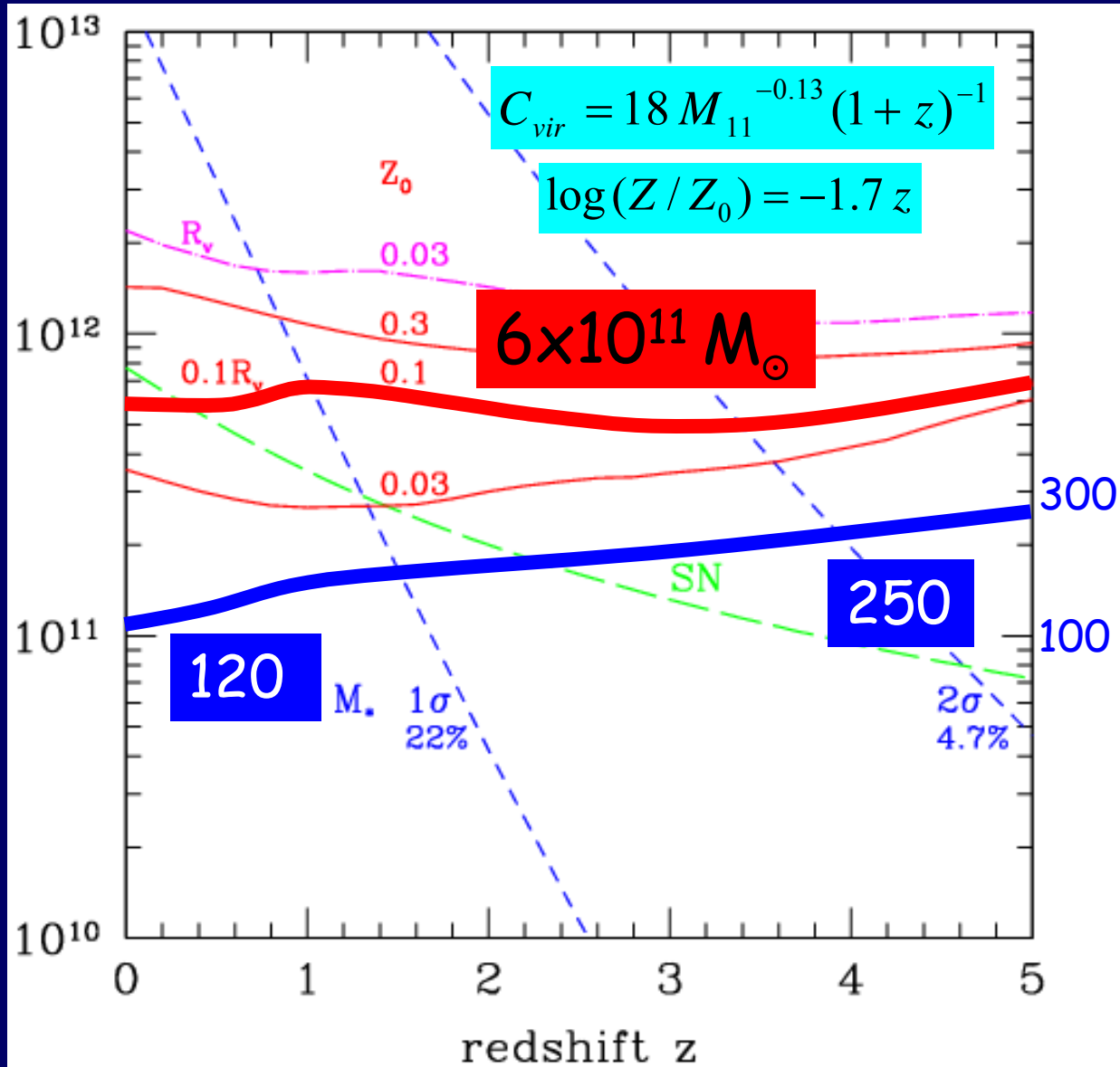
$$V_{\text{vir}} \sim 140 \text{ km/s} \quad [(Z/0.1)^{0.7} (f_b/0.05) (\rho r/v)_{0.1R_v} (1+z)^{3/2}]^{1/4}$$

$$M_{\text{halo}} \sim 7 \times 10^{11} M_{\odot} \quad [(Z/0.1)^{0.7} (f_b/0.05) (\rho r/v)_{0.1R_v} (1+z)^{1/2}]^{3/4}$$

~coincides with the bi-modality scale

Shock-Heating Scale

M_{vir}
[M_{\odot}]



V_{vir}
[km/s]

Fraction of cold/hot accretion

SPH
simulation

Keres, Katz,
Weinberg,
Dav'e 2004

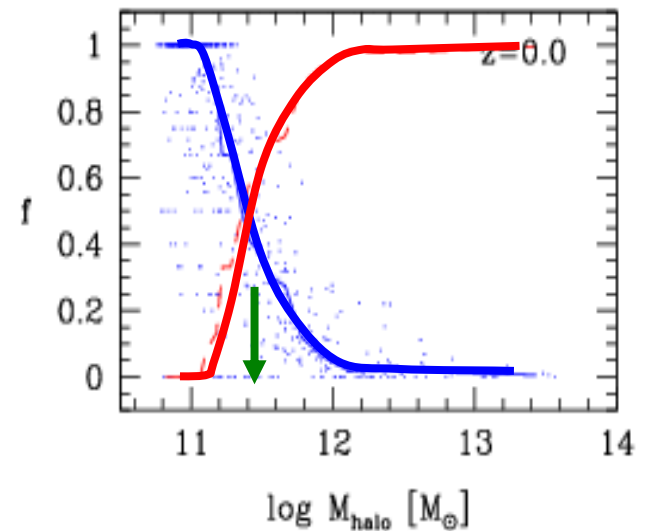
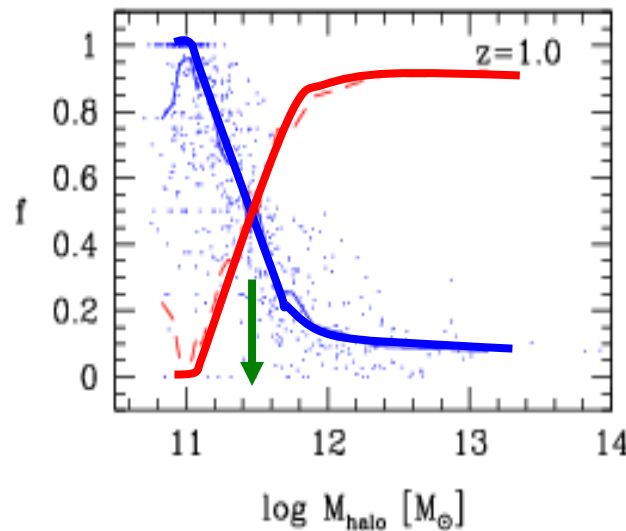
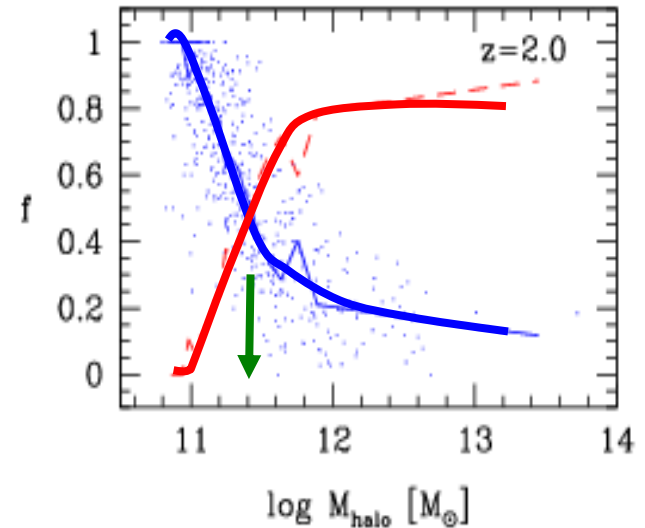
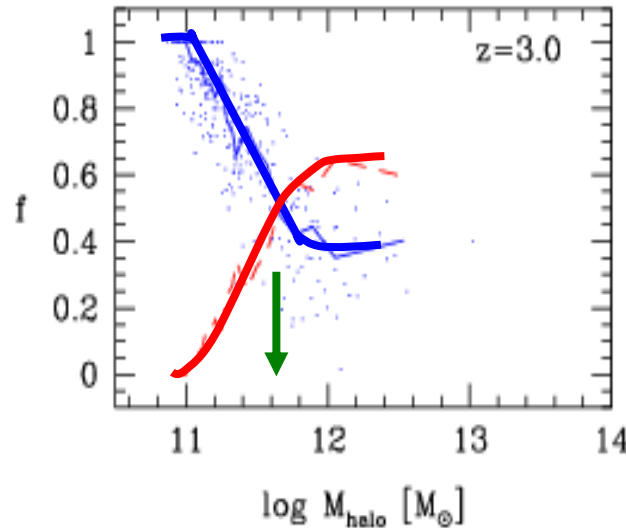
Z=0, under-
estimating

M_{shock}

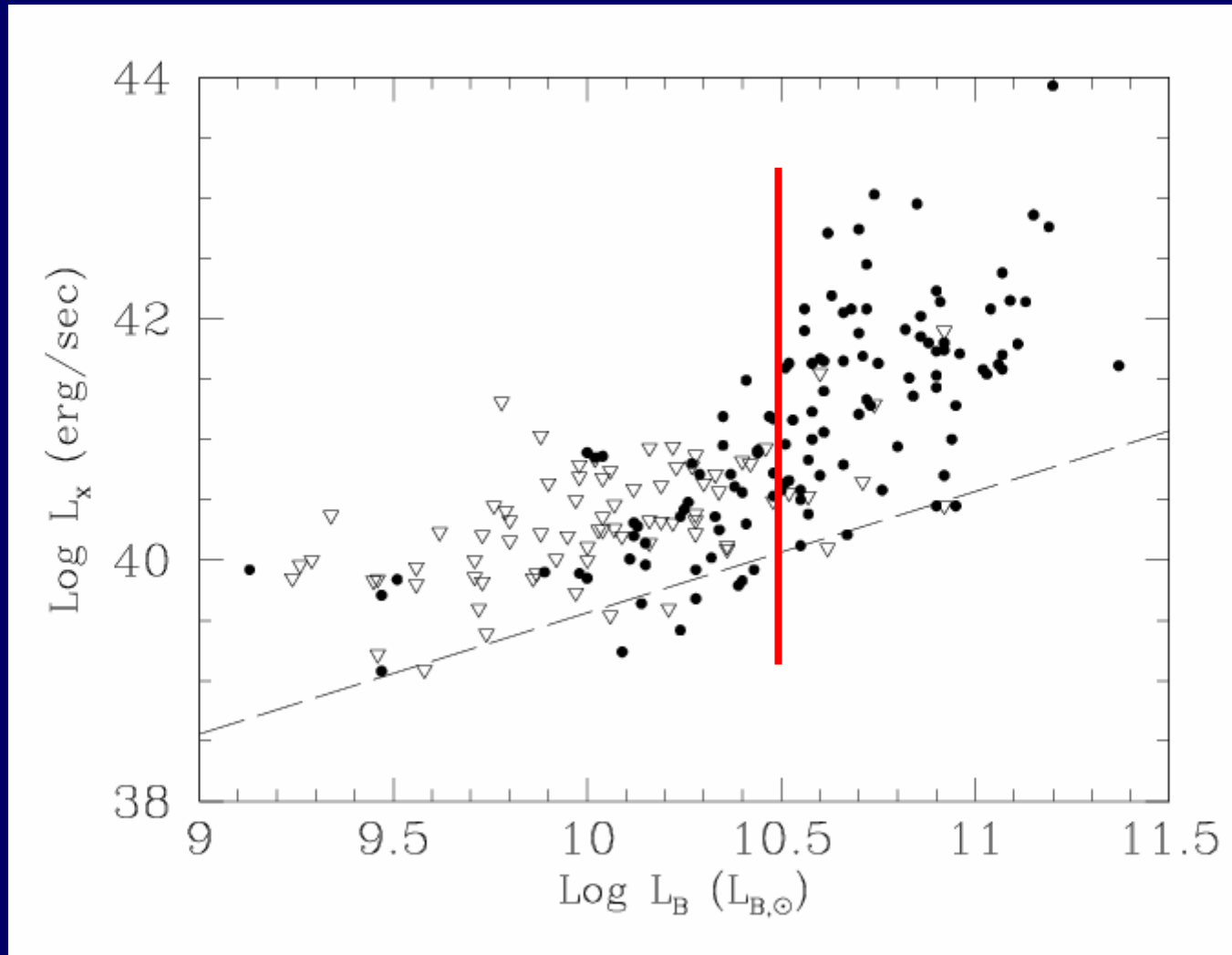
sharp
transition

$$\frac{M_{\text{cold}}}{M_{\text{tot}}} \propto M^{-2/3}$$

$$\rightarrow \frac{M}{L} \propto M^{2/3}$$



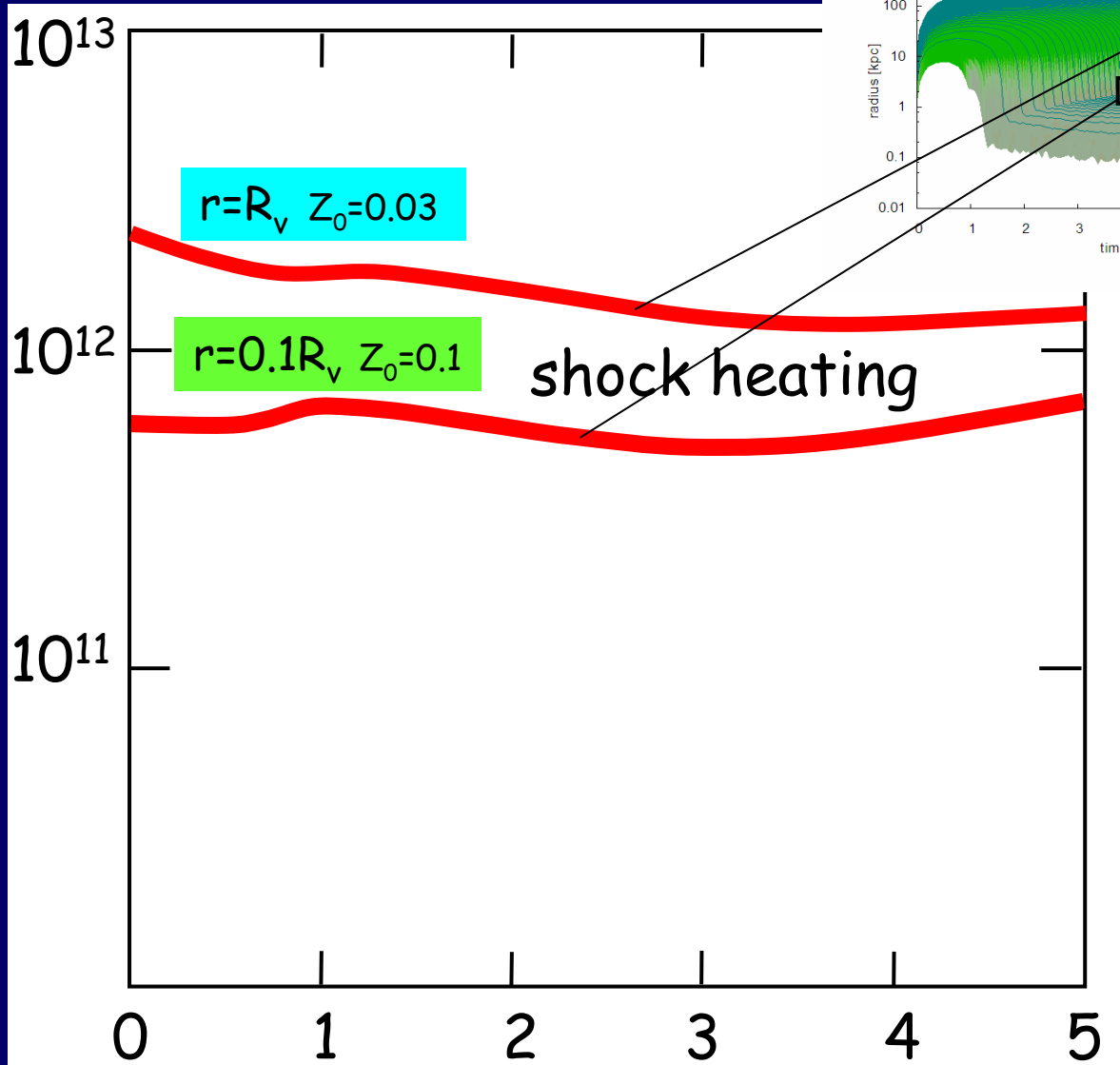
Hot Gas in Elliptical Galaxies



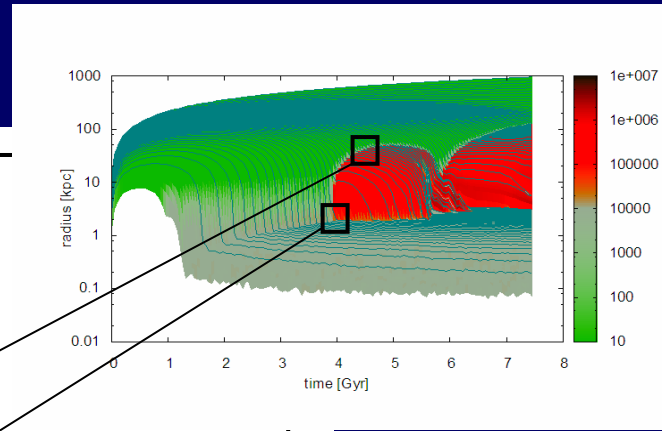
Mathews & Brighenti 04; O'Sullivan et al. 01

Shock Radius in the Halo

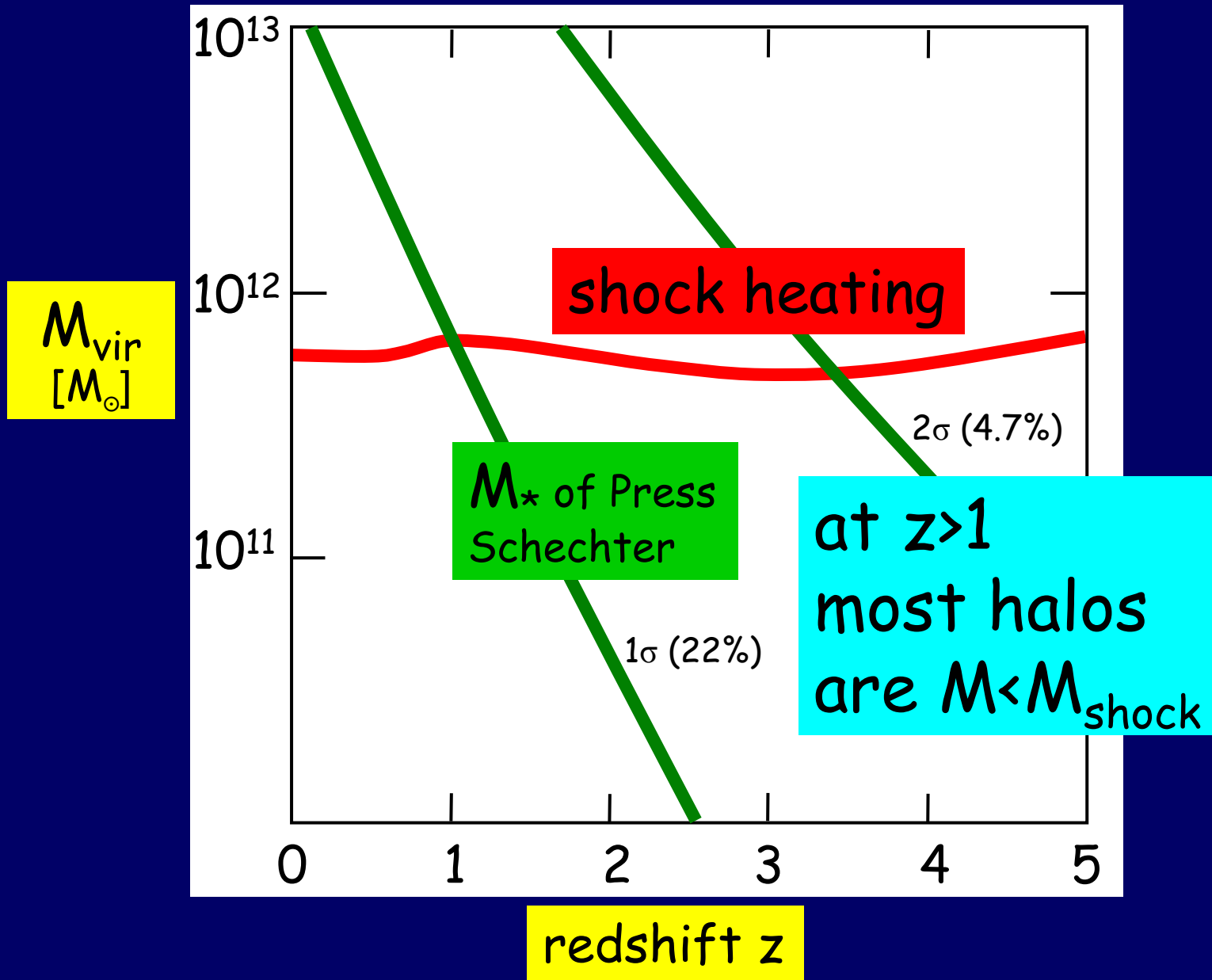
M_{vir}
[M_{\odot}]



redshift z

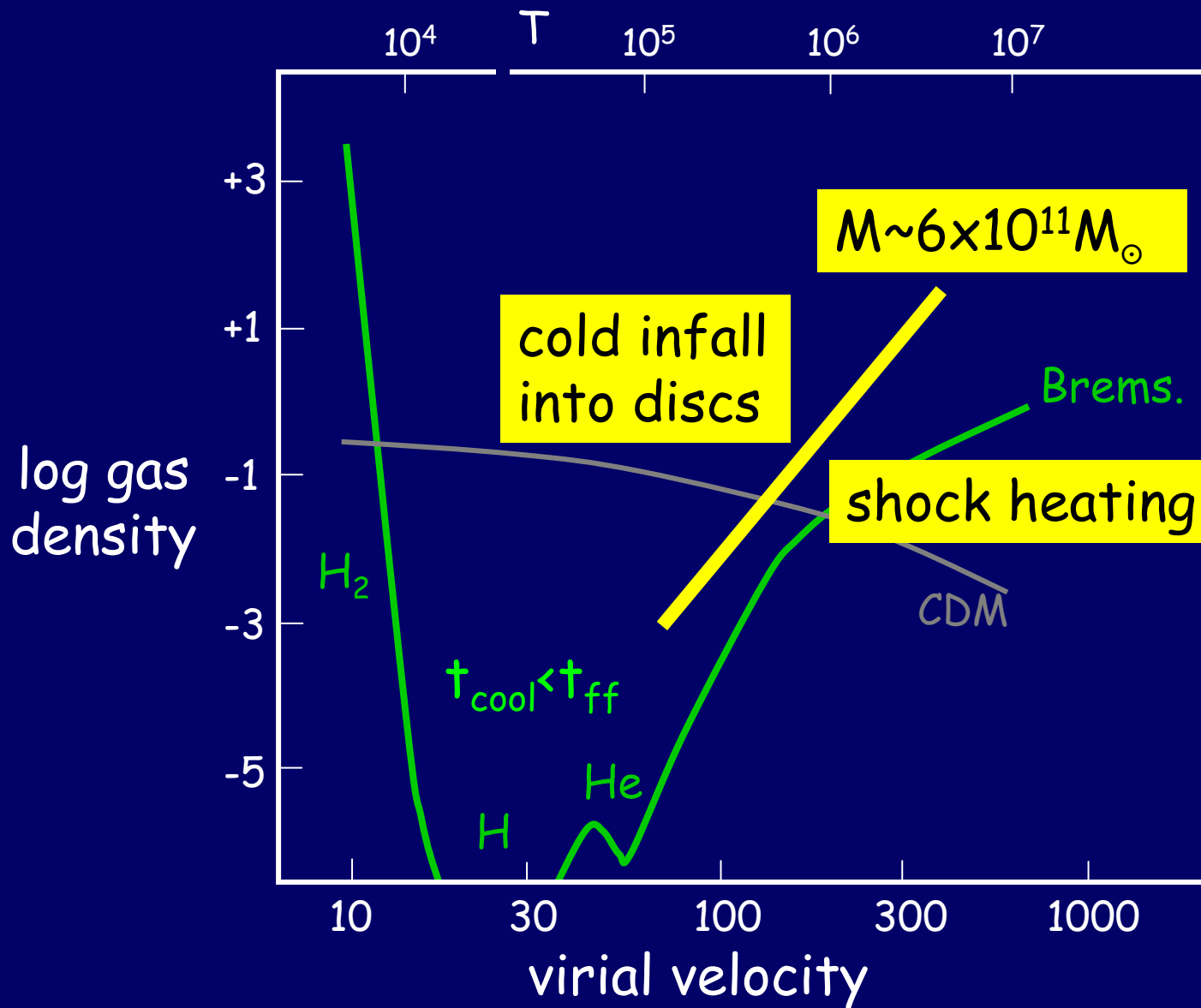


Cold Flows in Typical Halos



Shock-heating Scale

Birnboim & Dekel 03



3. Filaments in Hot Medium

At high redshift, in relatively isolated galaxies

Relation to the universal clustering scale

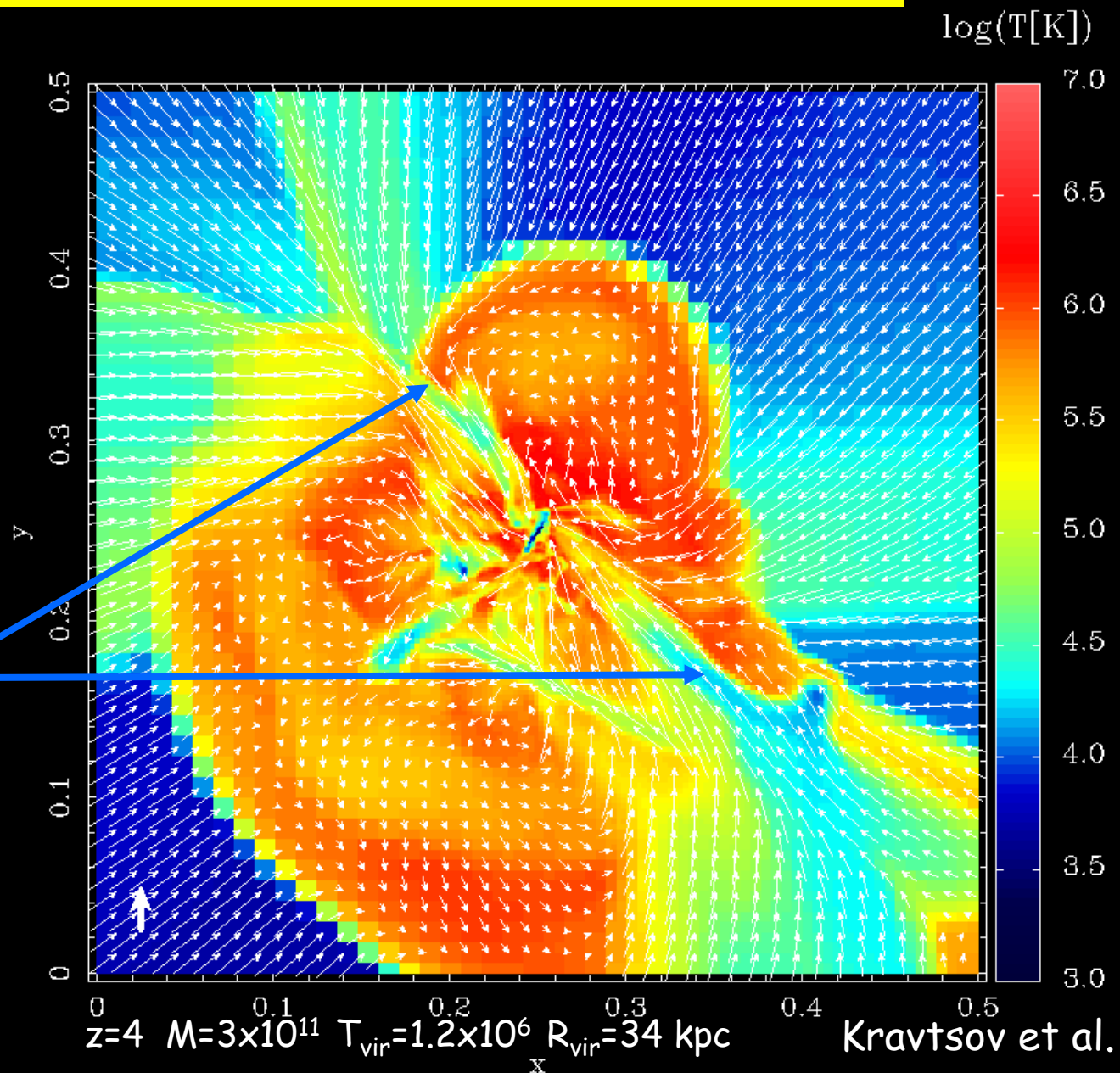
Cold Streams in a Hot Medium

$M > M_{\text{shock}}$

Cold streams
at $z > 2$

Totally hot
at $z < 1$

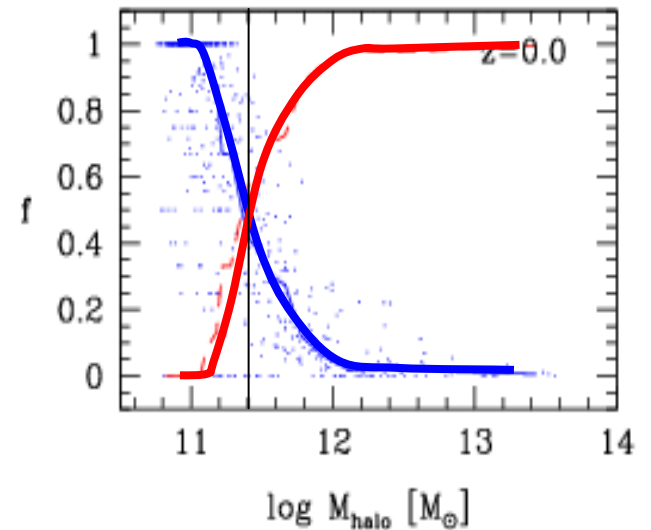
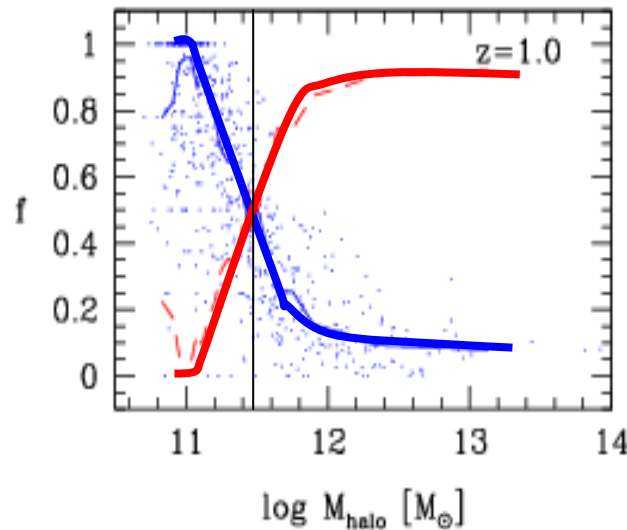
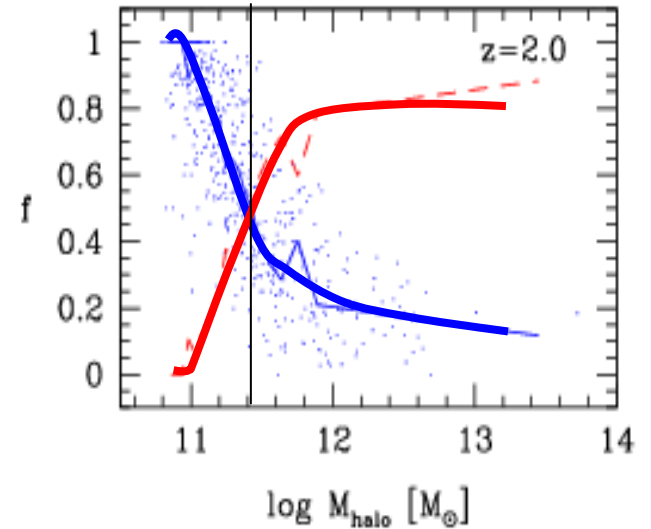
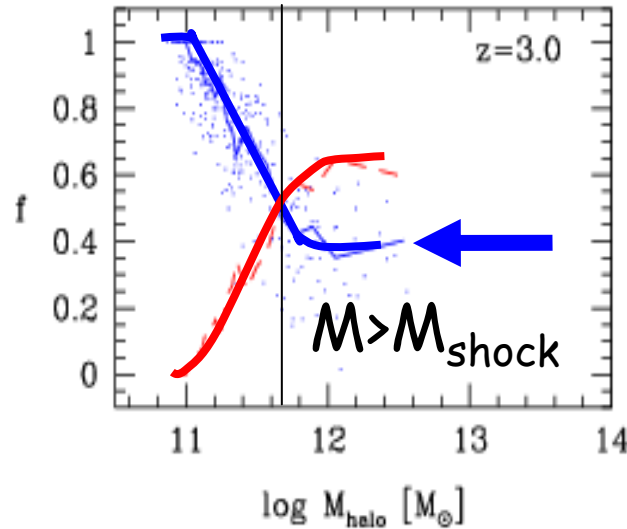
cold
streams



Fraction of cold/hot accretion

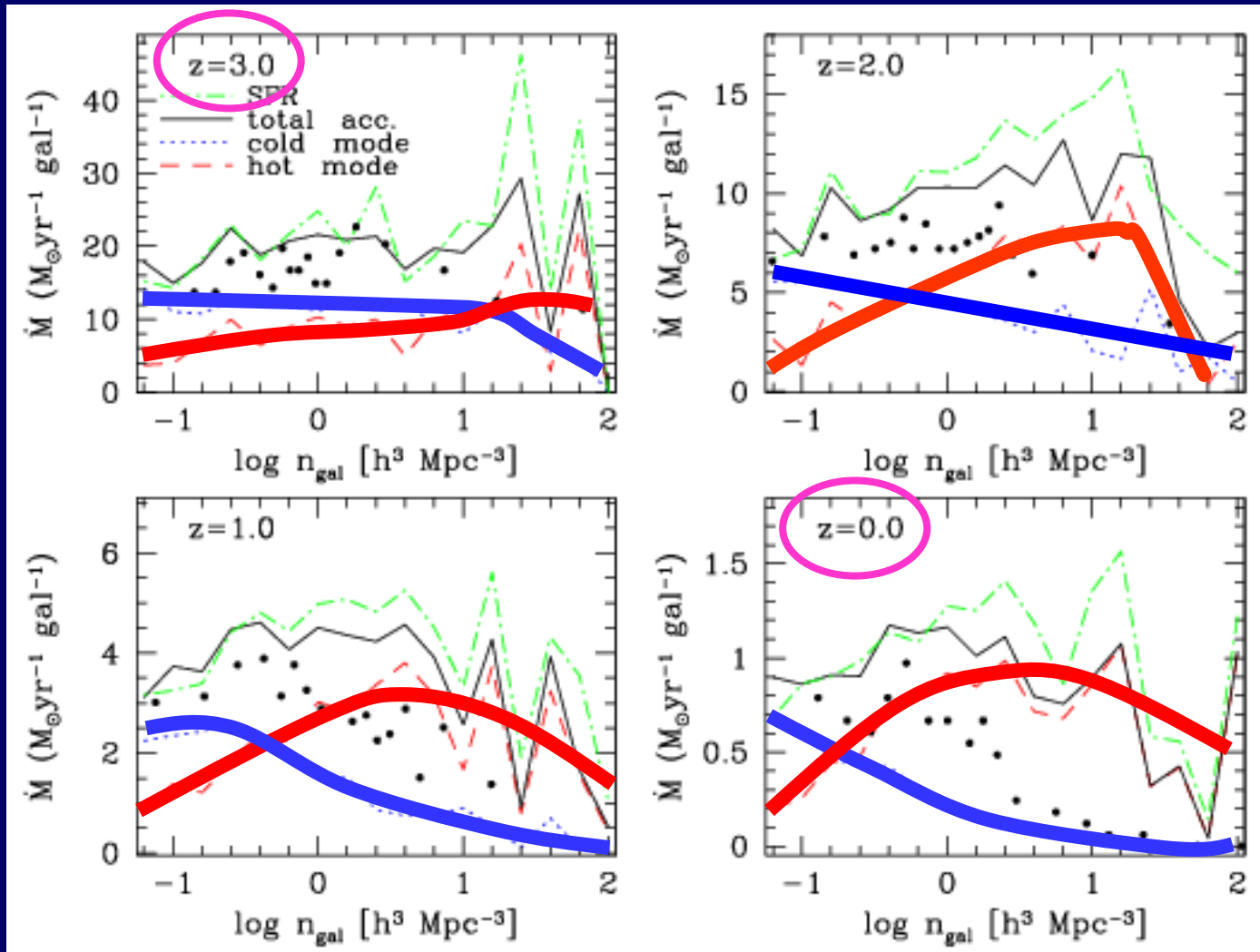
cold
streams
in a hot
medium

SPH
simulation
Keres, Katz,
Weinberg,
Dav'e 2004

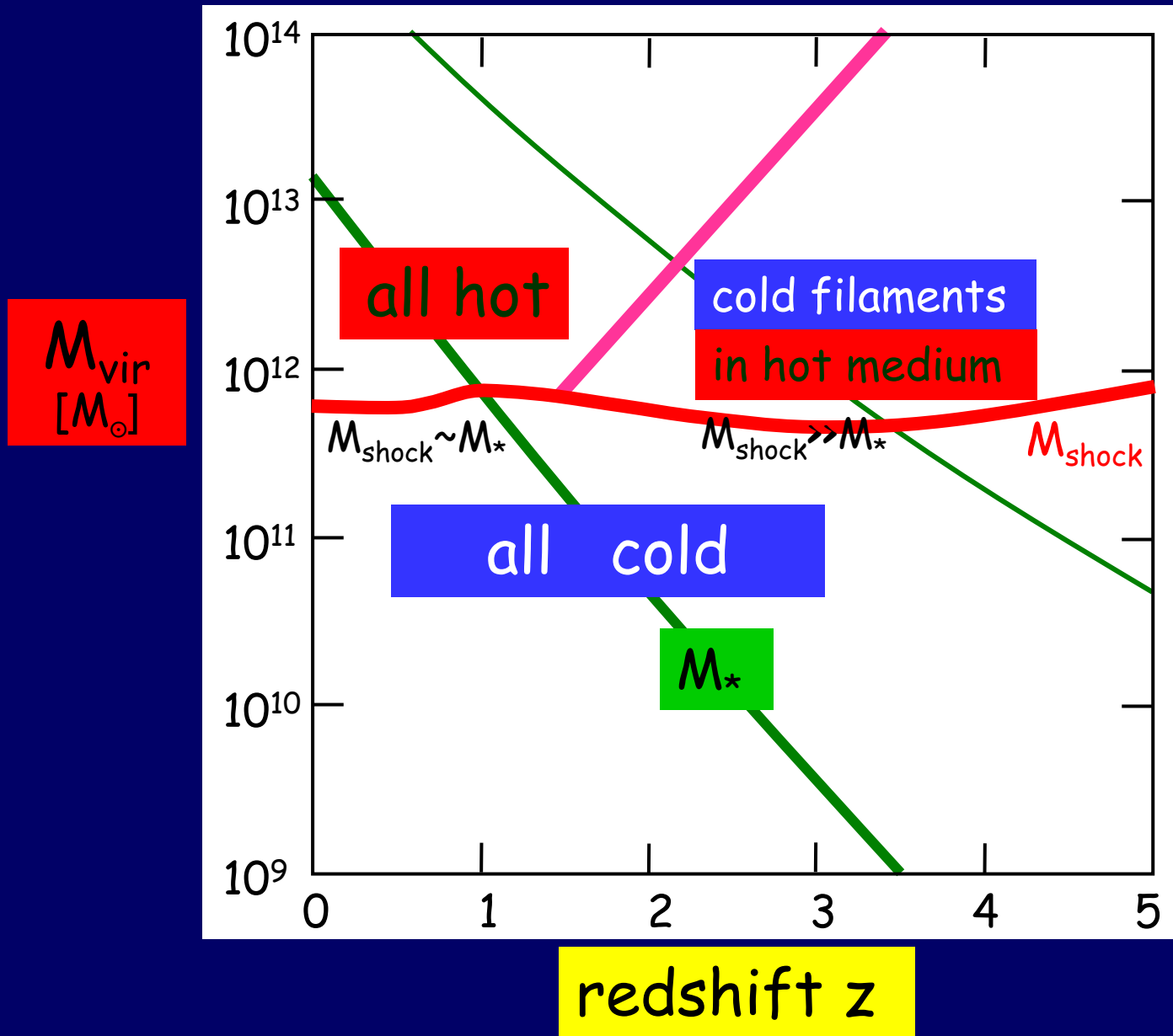


Environment Dependence of Cold vs Hot Infall Rate

Hydro Simulations by Keres et al. 04

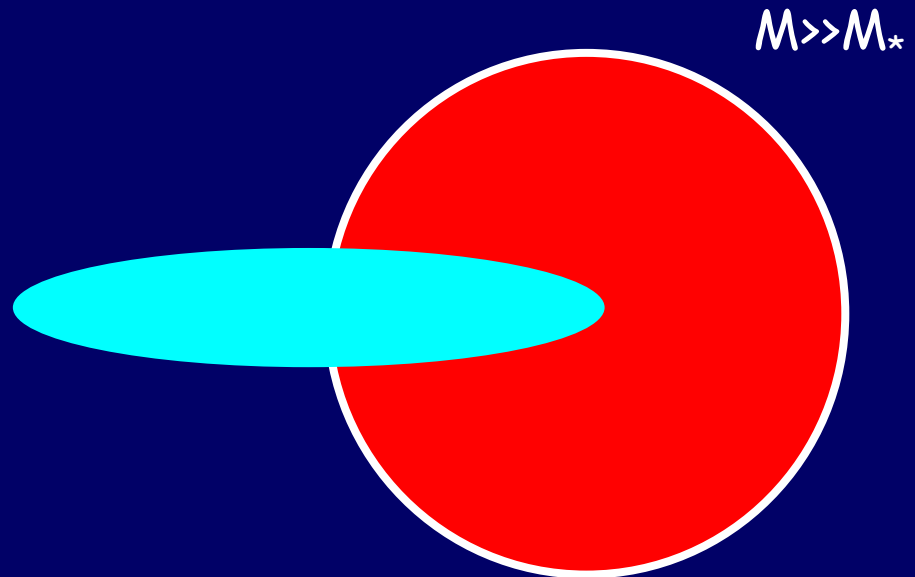
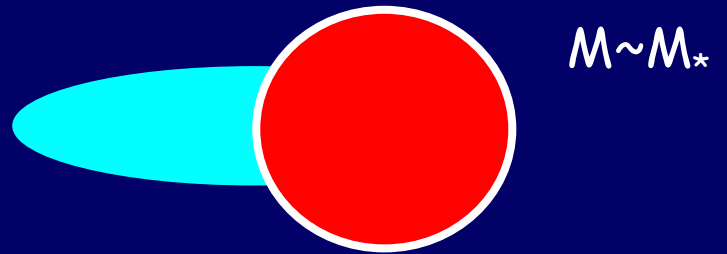


Shock-Heating vs Clustering Scale



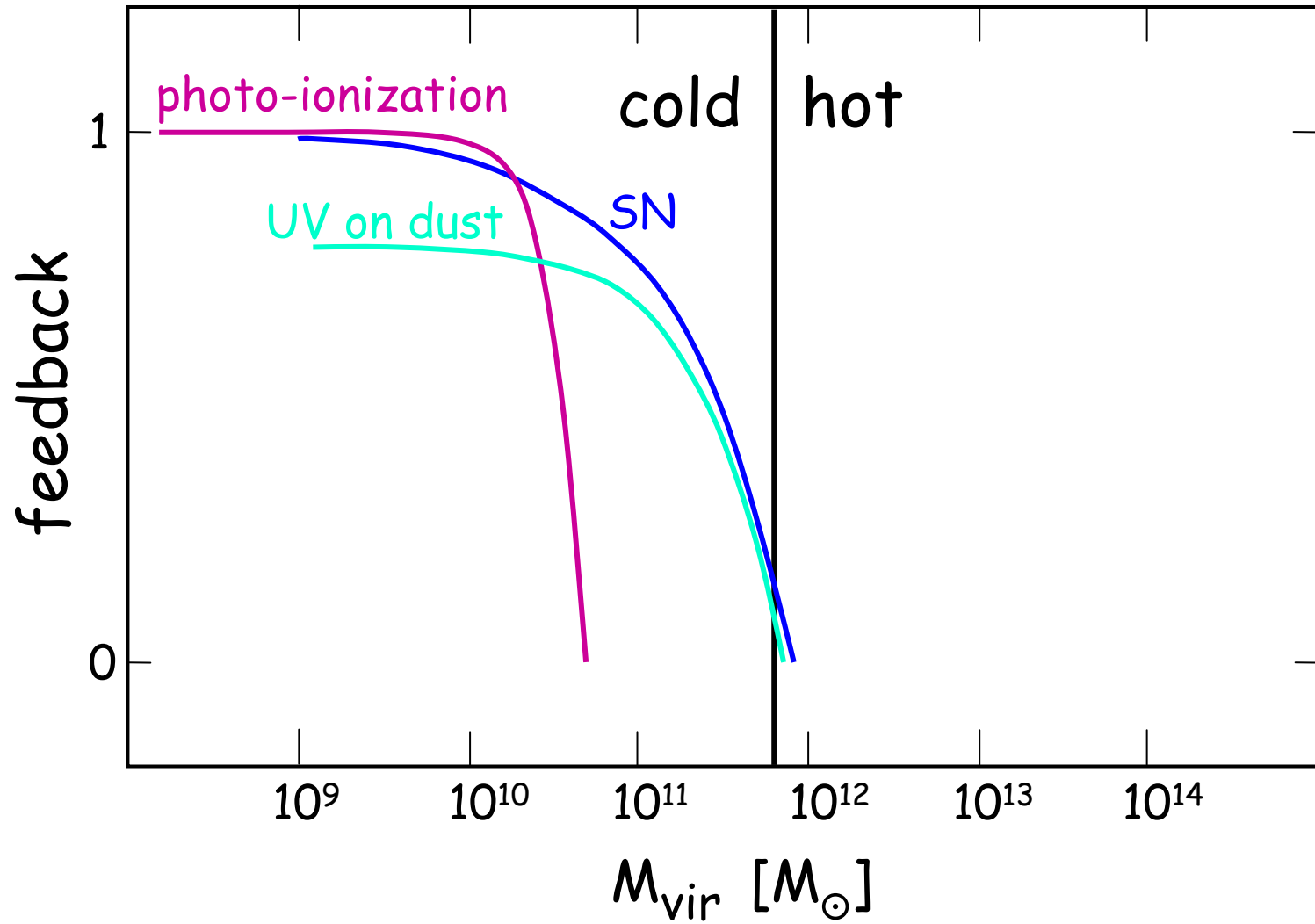
Dense Filaments in $M \geq M_{\text{shock}}$ Halos when $M_{\text{shock}} \gg M_*$ Halos

Large-scale filaments grow
self-similarly with $M_*(t)$
and always have typical width
 $\sim R_* \propto M_*^{1/3}$



4. Feedback Processes and the shock-heating scale

Below the Shock-Heating Mass



Supernova Feedback Scale (Dekel & Silk 86, Dekel & Woo 03)

Energy fed to the ISM during the “adiabatic” phase:

$$E_{\text{SN}} \approx \nu \varepsilon \dot{M}_* t_{\text{rad}} \propto M_* (t_{\text{rad}} / t_{\text{ff}})$$

$$\dot{M}_* \approx M_* / t_{\text{ff}}$$

$$\approx 0.01$$

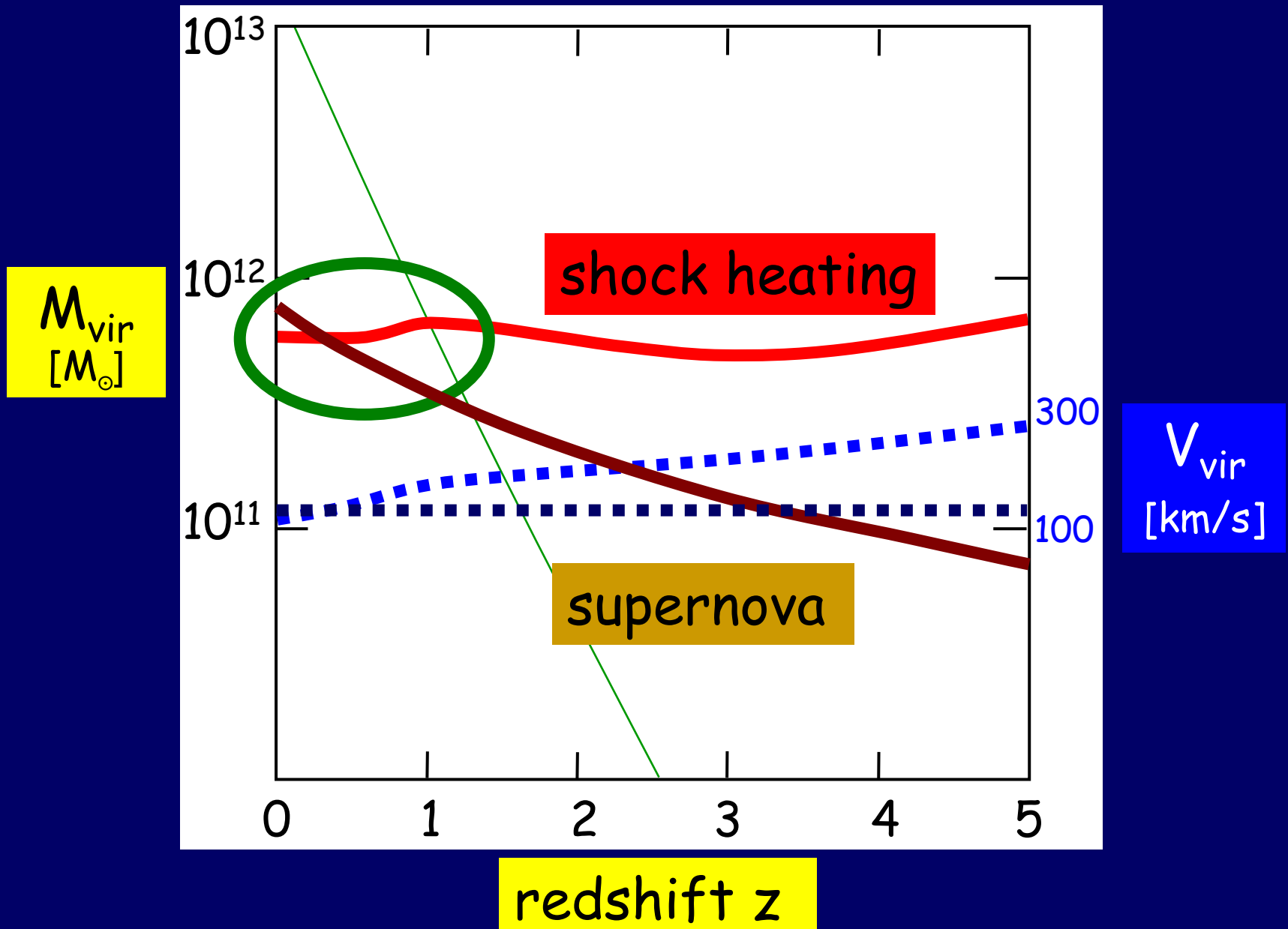
for $\Lambda \propto T^{-1}$ at $T \sim 10^5 \text{ K}$

Energy required for blowout:

$$E_{\text{SN}} \approx M_{\text{gas}} V^2$$

$$\rightarrow V_{\text{crit}} \approx 120 \text{ km/s} \rightarrow M_{\text{crit}} \approx 7 \times 10^{11} M_{\odot}$$

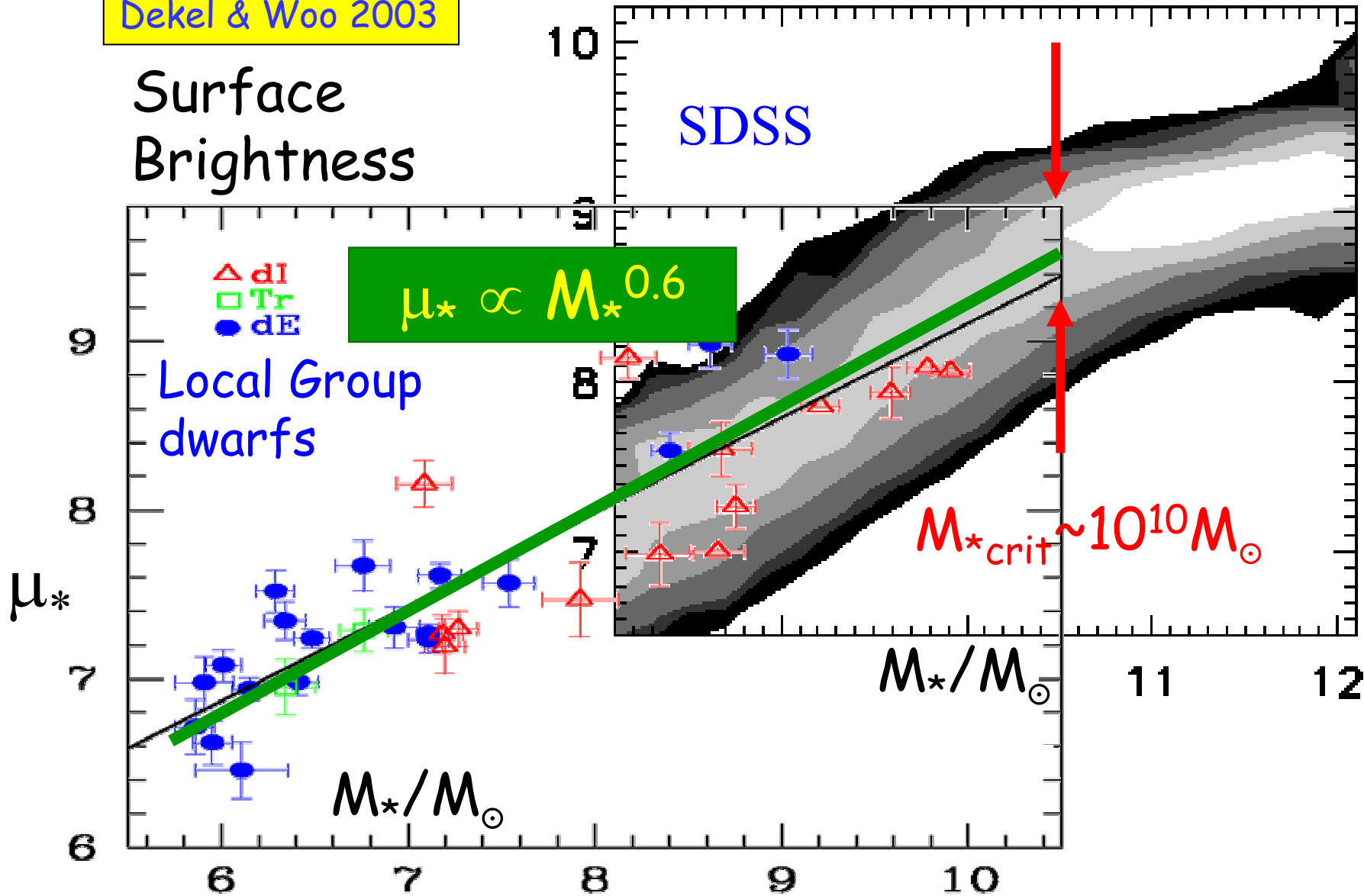
Shock-Heating vs Supernova Scale



"Fundamental Line" of LSB/Dwarfs

Dekel & Woo 2003

Surface
Brightness



Summary: SN feedback

Could be partly responsible for the transition scale at $M_* = 3 \times 10^{10}$, and the “fundamental line” of LSB/dwarf galaxies, $M^*/M_\odot \propto V^2$.

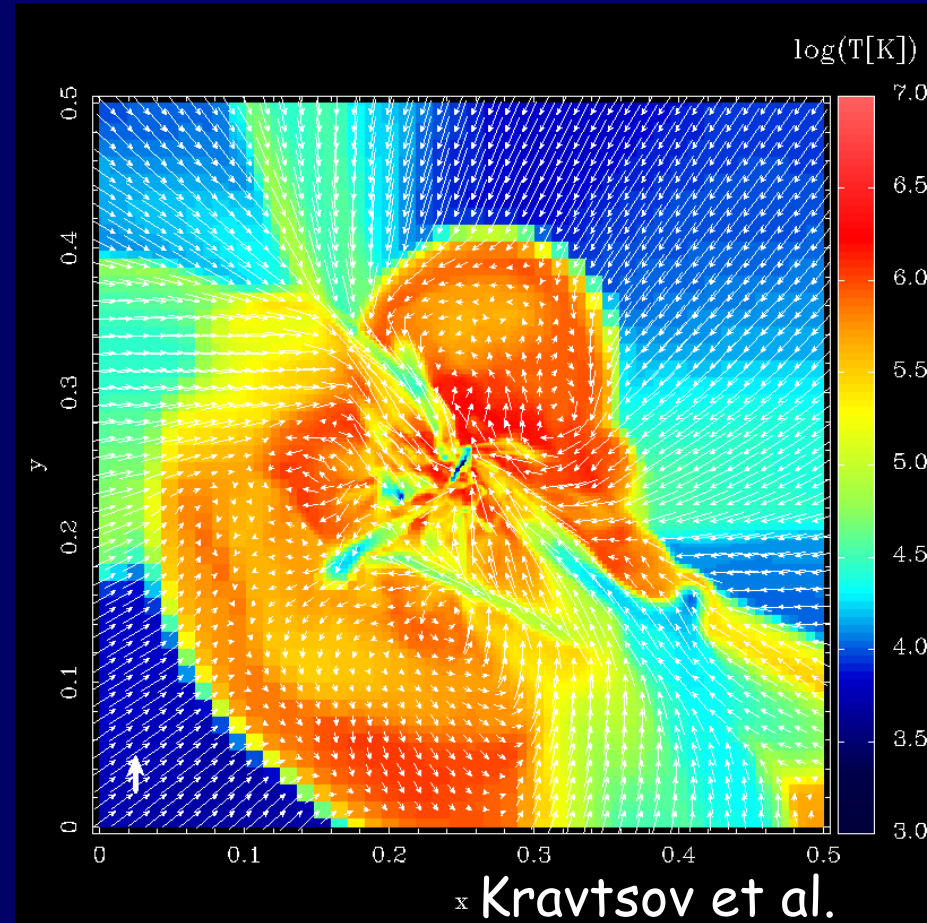
Shock Heating Triggers AGN Feedback

$$M > M_{\text{shock}}$$

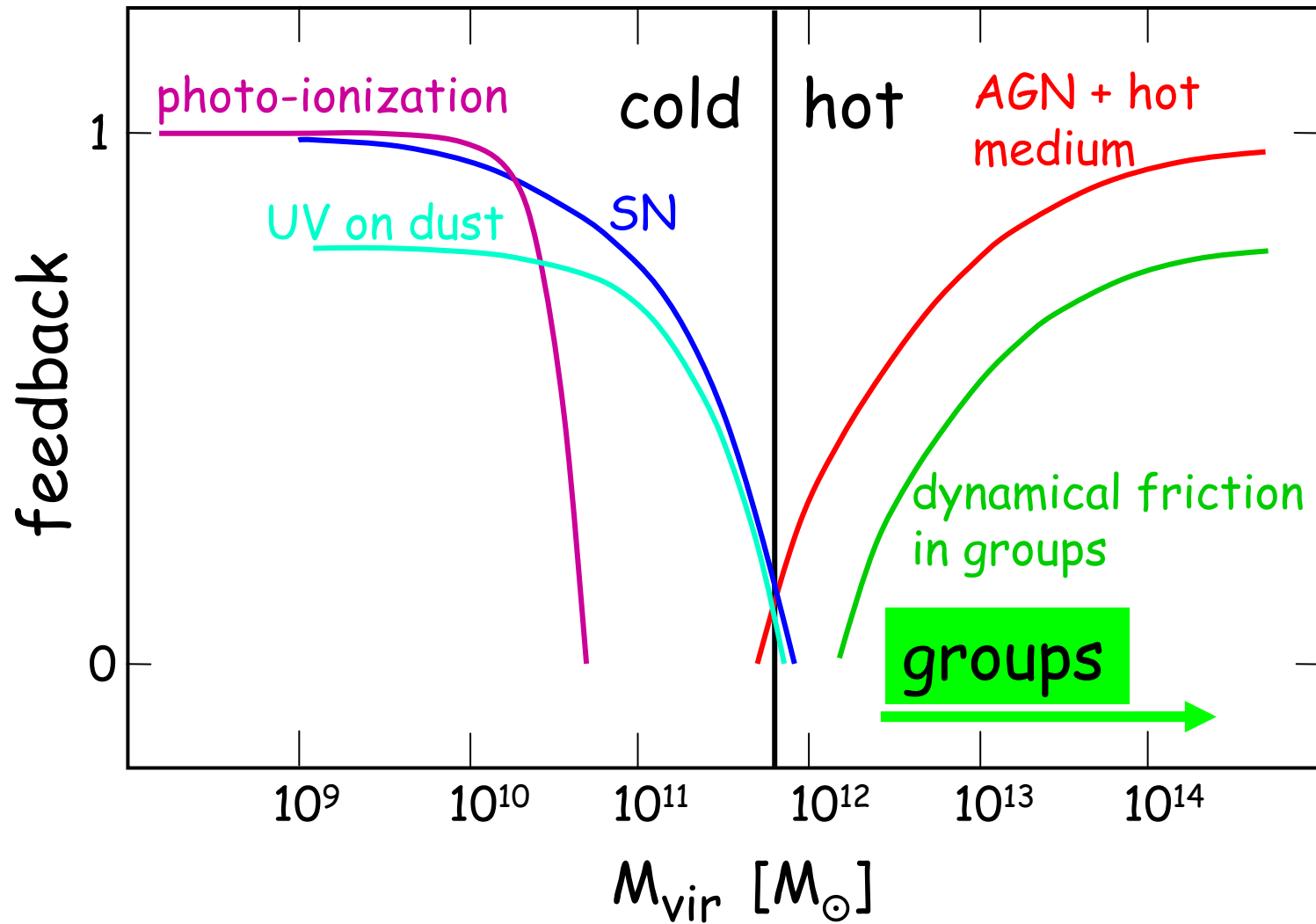
More than enough energy is available in AGNs

Hot gas is **vulnerable** to AGN feedback, while cold streams are shielded

→ Shock heating is the trigger for AGN feedback in massive halos

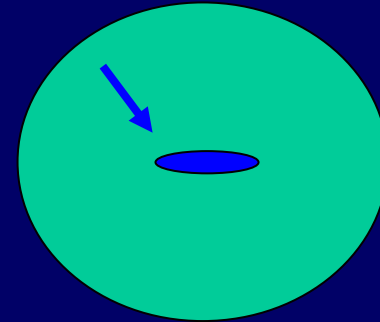


Above the Shock-Heating Mass

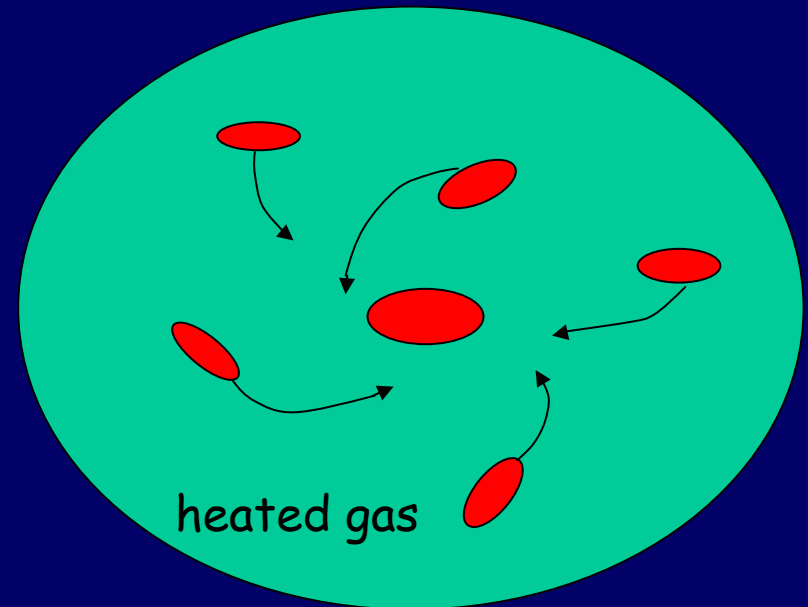


Dynamical-Friction Heating

- $M < M_{\text{crit}} \rightarrow$ cold flows
- a single-galaxy halo
- \rightarrow no effect

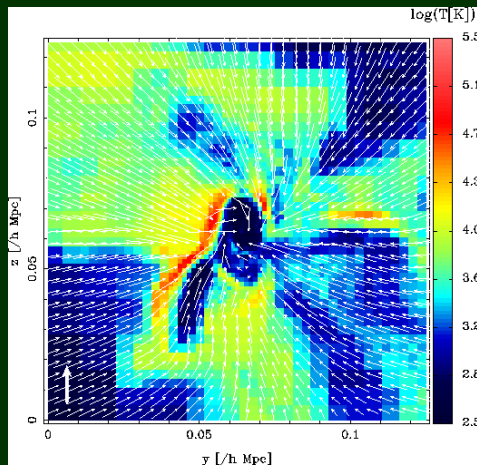


- $M > M_{\text{crit}} \rightarrow$ hot gas
- a multi-galaxy halo
- \rightarrow dynamical-friction heating of hot gas



5. Origin of the Bi-modality

Dekel & Birnboim 04



cold

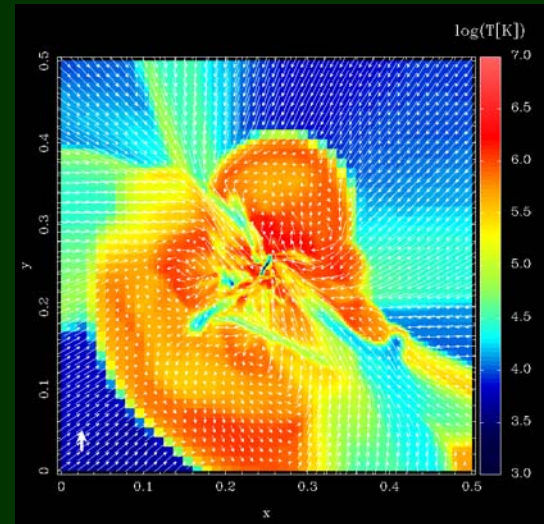
ungrouped

SN feedback

vs

vs

vs

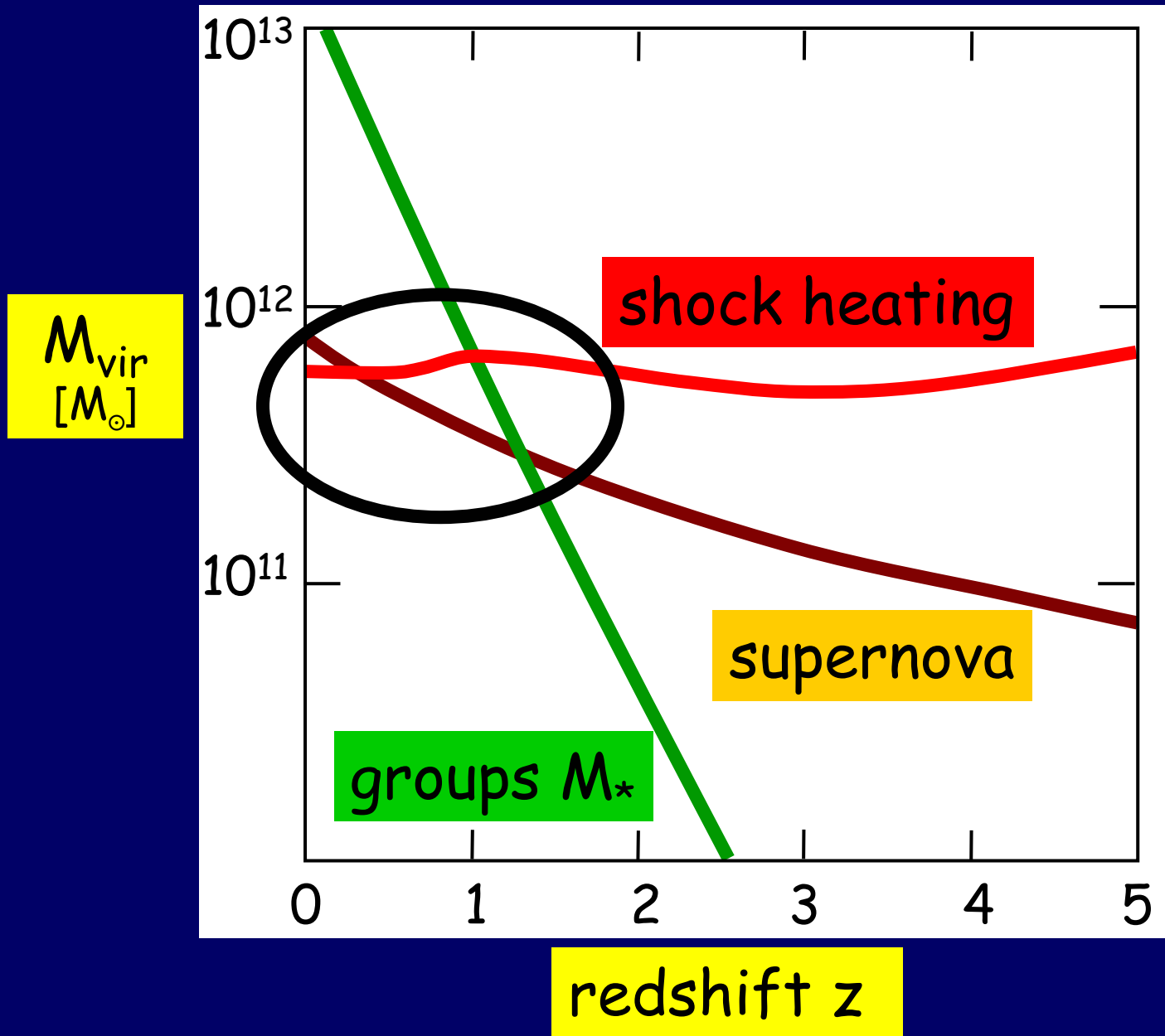


hot

grouped

AGN feedback

Scales Roughly Coincide



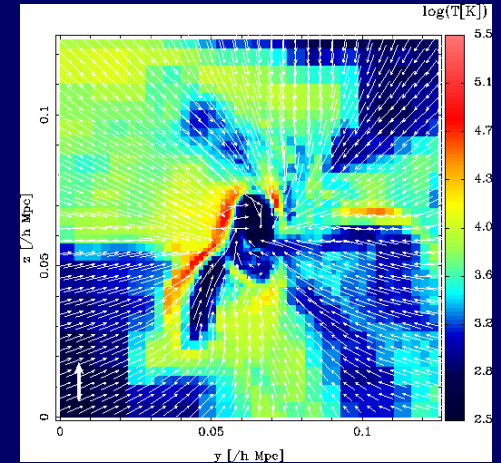
Key Ideas:

Cold flows → **star burst**

supersonic stream collides with disk

efficient cooling behind isothermal shock

→ dense, cold slab → star burst



Hot medium → **halt star formation**

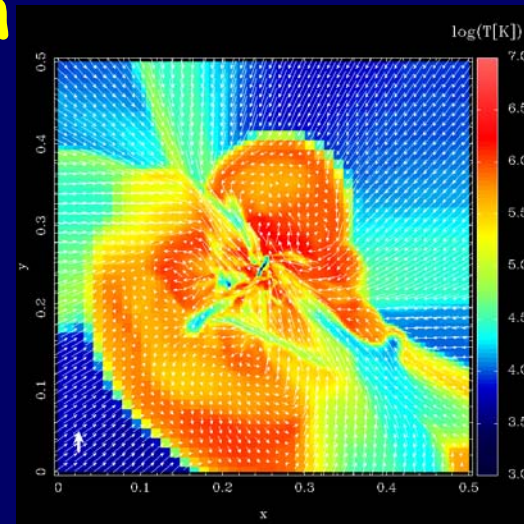
dilute medium vulnerable to AGN fdbk

+ slow cooling because of two-phase medium

+ dynamical-friction in hot groups

→ shock-heated gas never cools

→ shut down disk and star formation



Origin of bi-modality

While halos grow by mergers and accretion

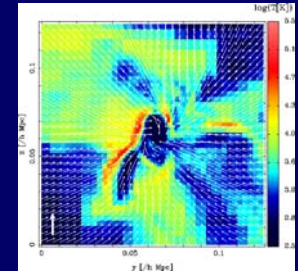
$M < M_{\text{crit}}$: The Blue Sequence

cold gas supply \rightarrow disk growth & star formation

SN-fdbk regulates star formation \rightarrow long duration

bursts \rightarrow very blue

mergers & bar instability \rightarrow bulges



$M > M_{\text{crit}}$: The Red Sequence

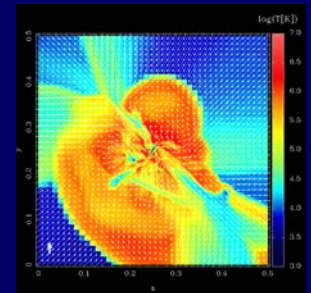
shock-heated gas + AGN fdbk \rightarrow no new gas supply

+ gas exhausted + AGNs especially in bulges

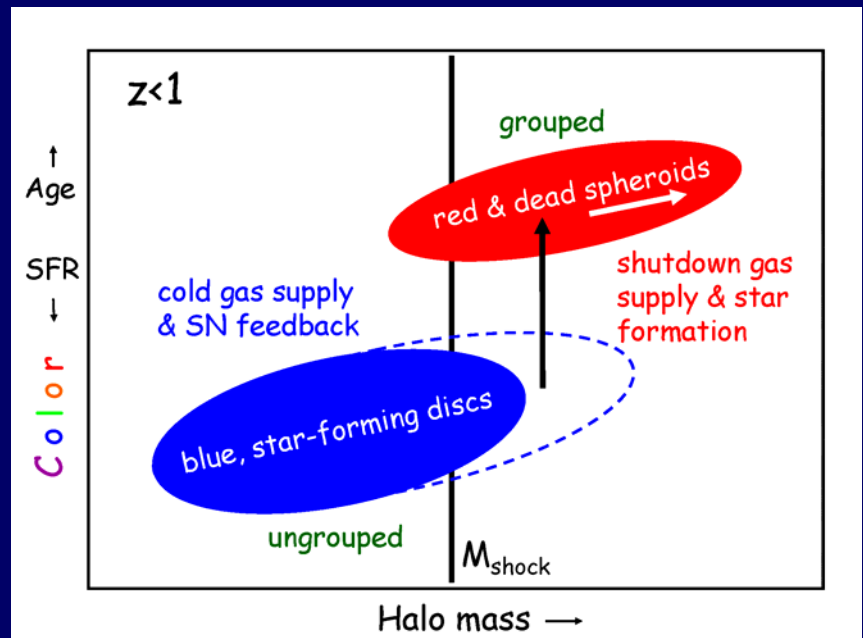
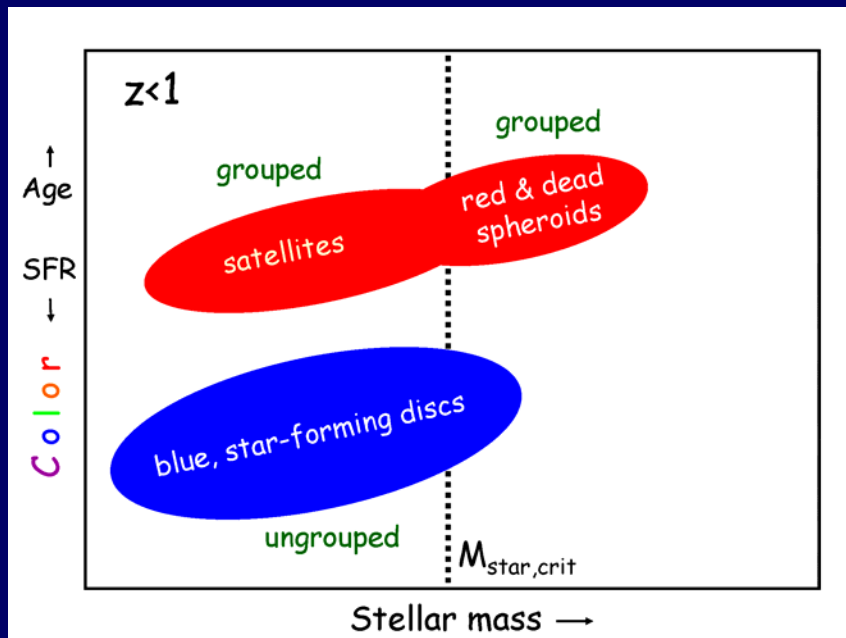
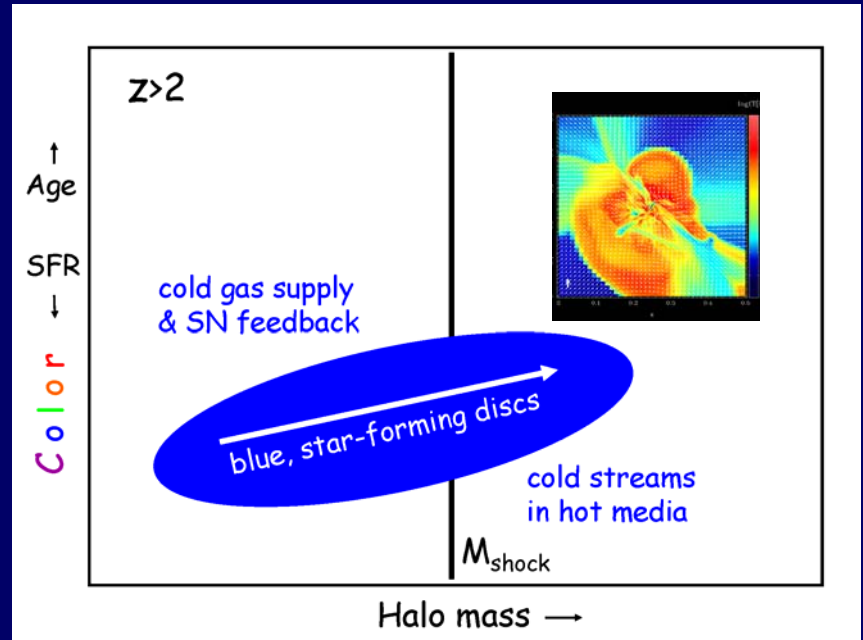
\rightarrow no disk growth, star formation shuts off

passive stellar evolution \rightarrow red & dead

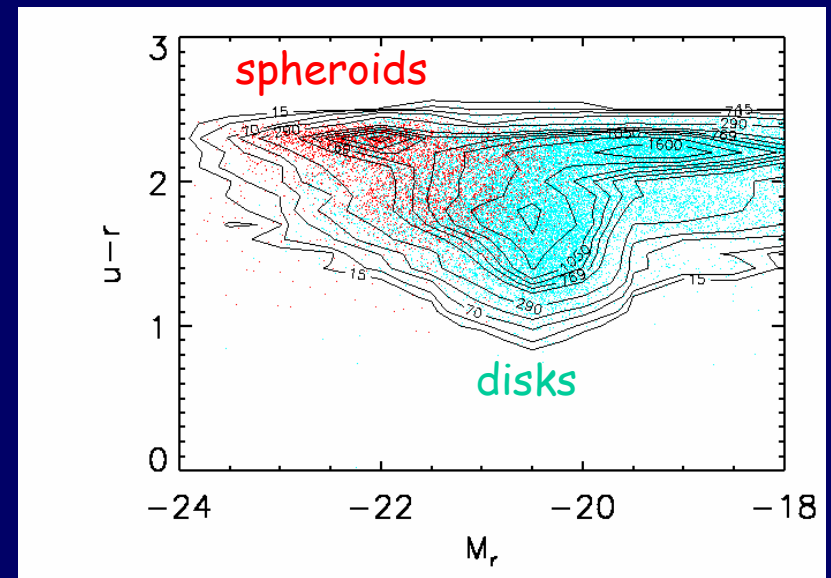
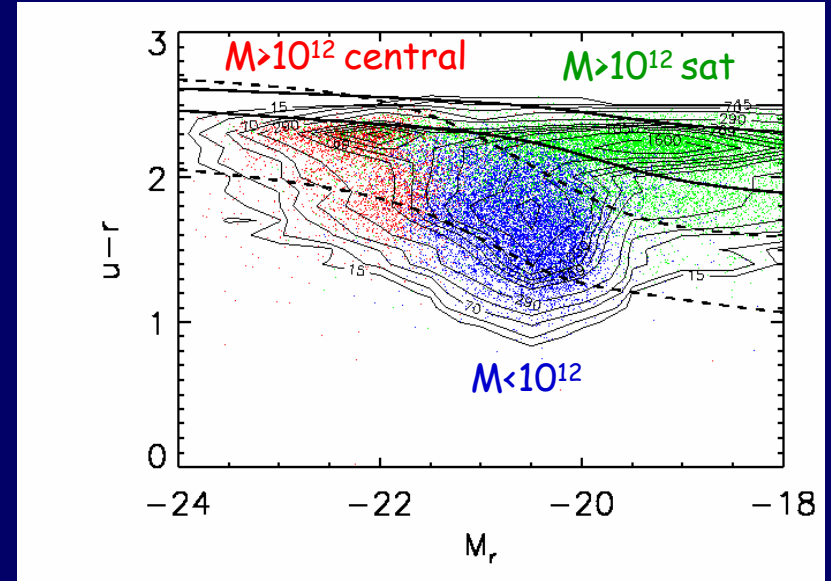
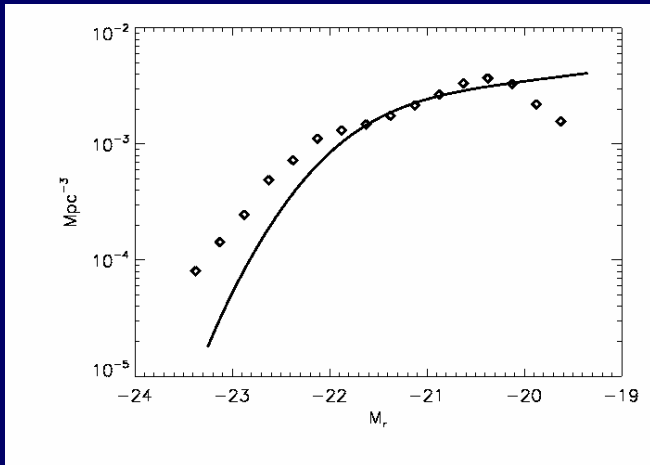
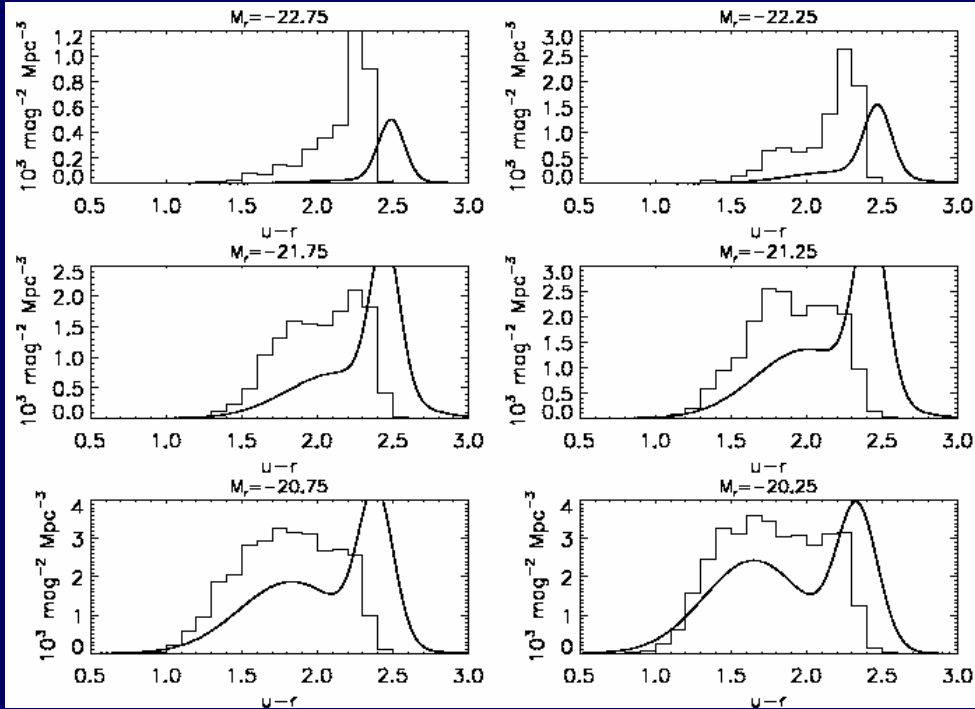
further growth of spheroids by gas-poor mergers



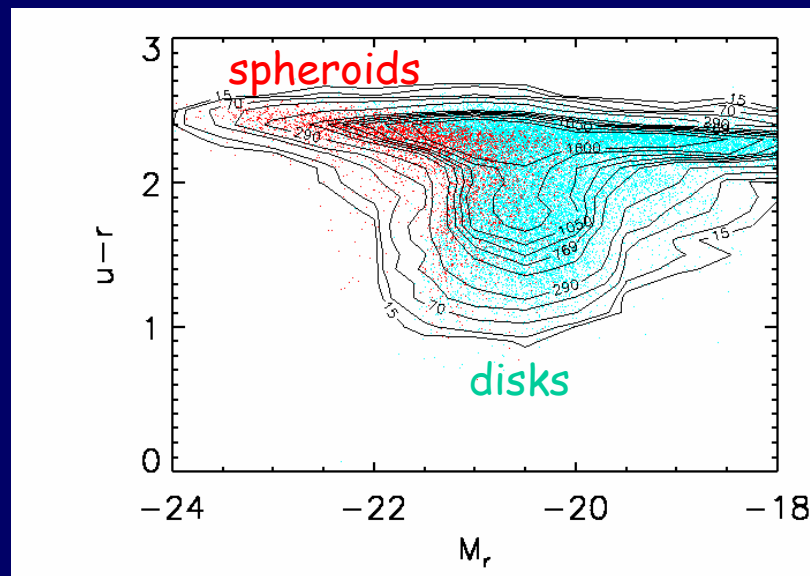
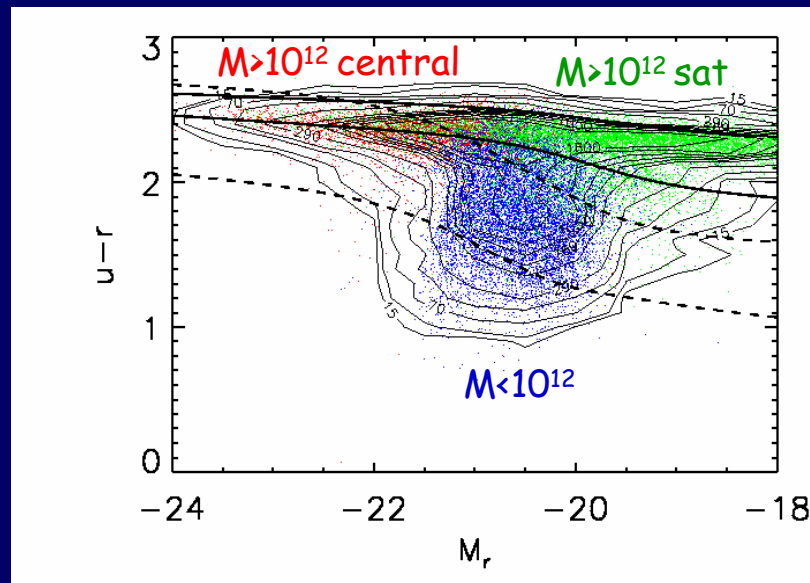
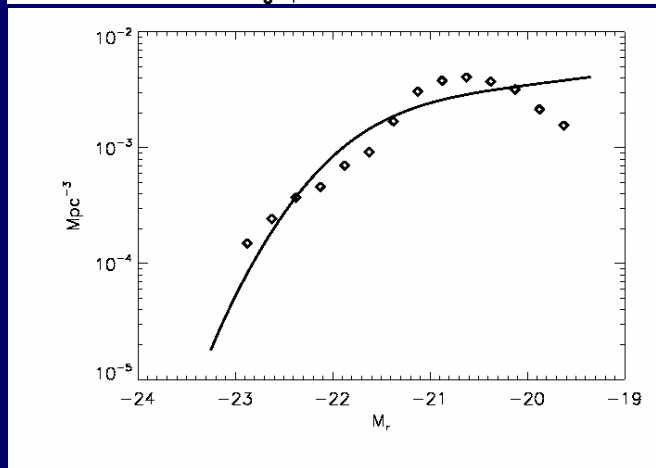
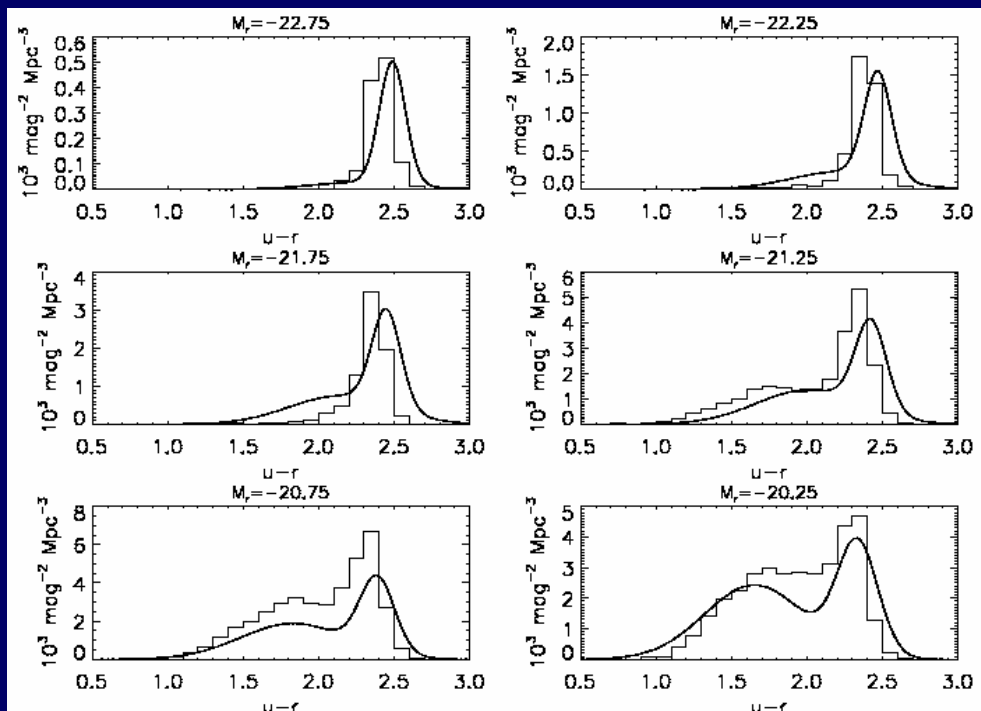
From blue sequence to red sequence



In a Semi Analytic Model (Standard GalICS)



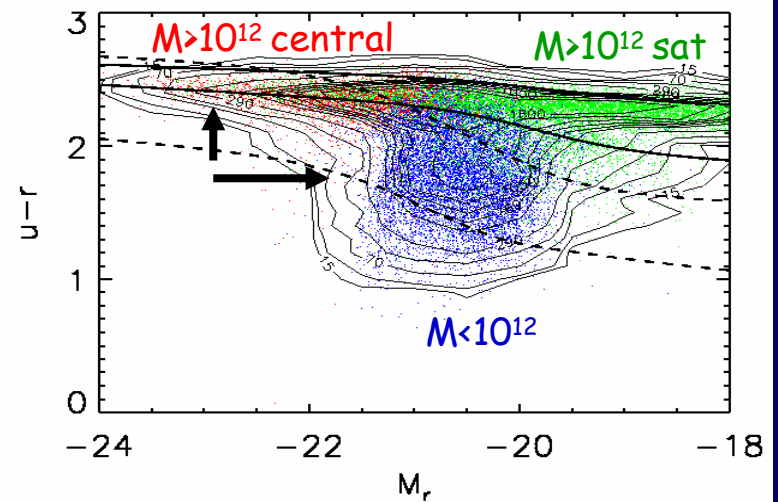
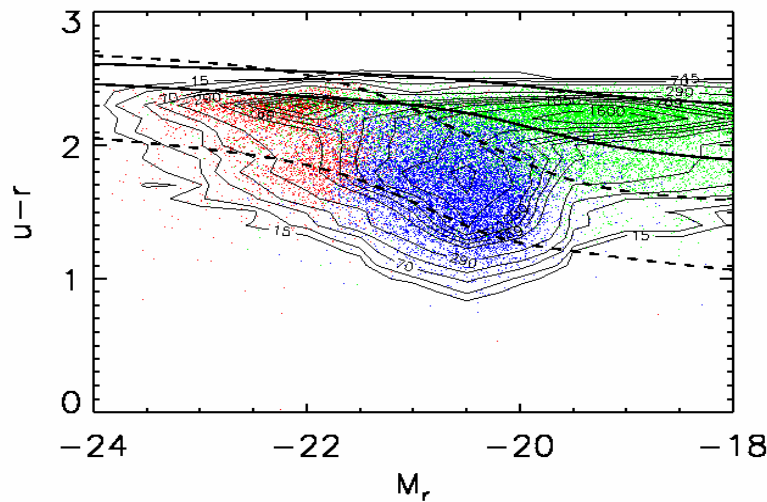
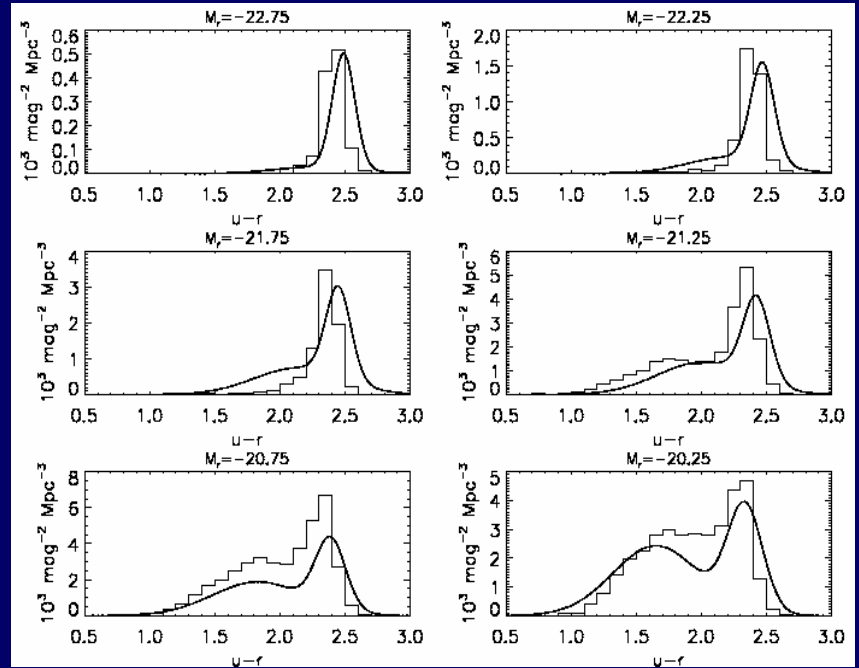
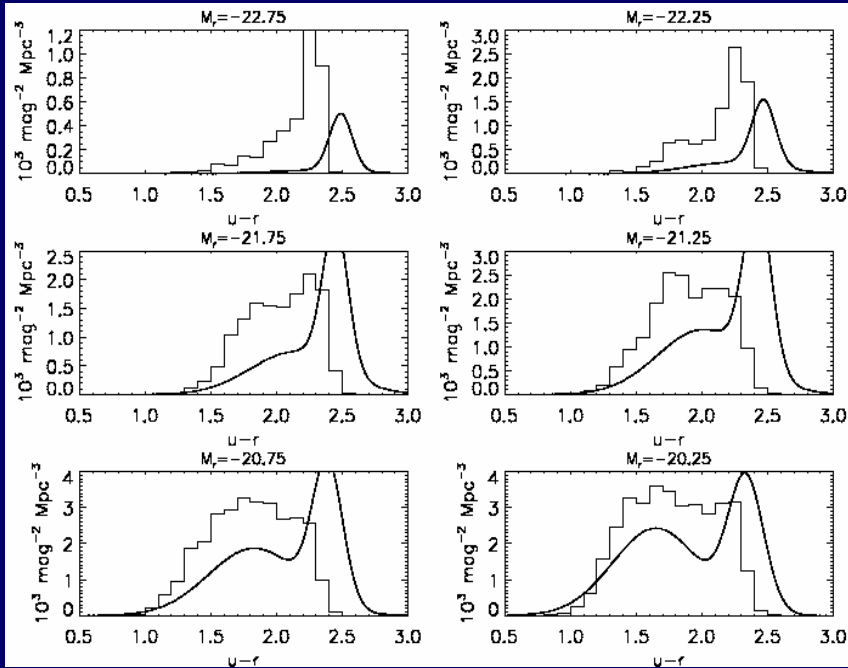
Revised SAM with $M_{\text{shock}} = 7.5 \times 10^{11}$, $z_c = 2.2$, weaker fdbk ($\epsilon = 0.15$), slower DF (at $V > 300$)



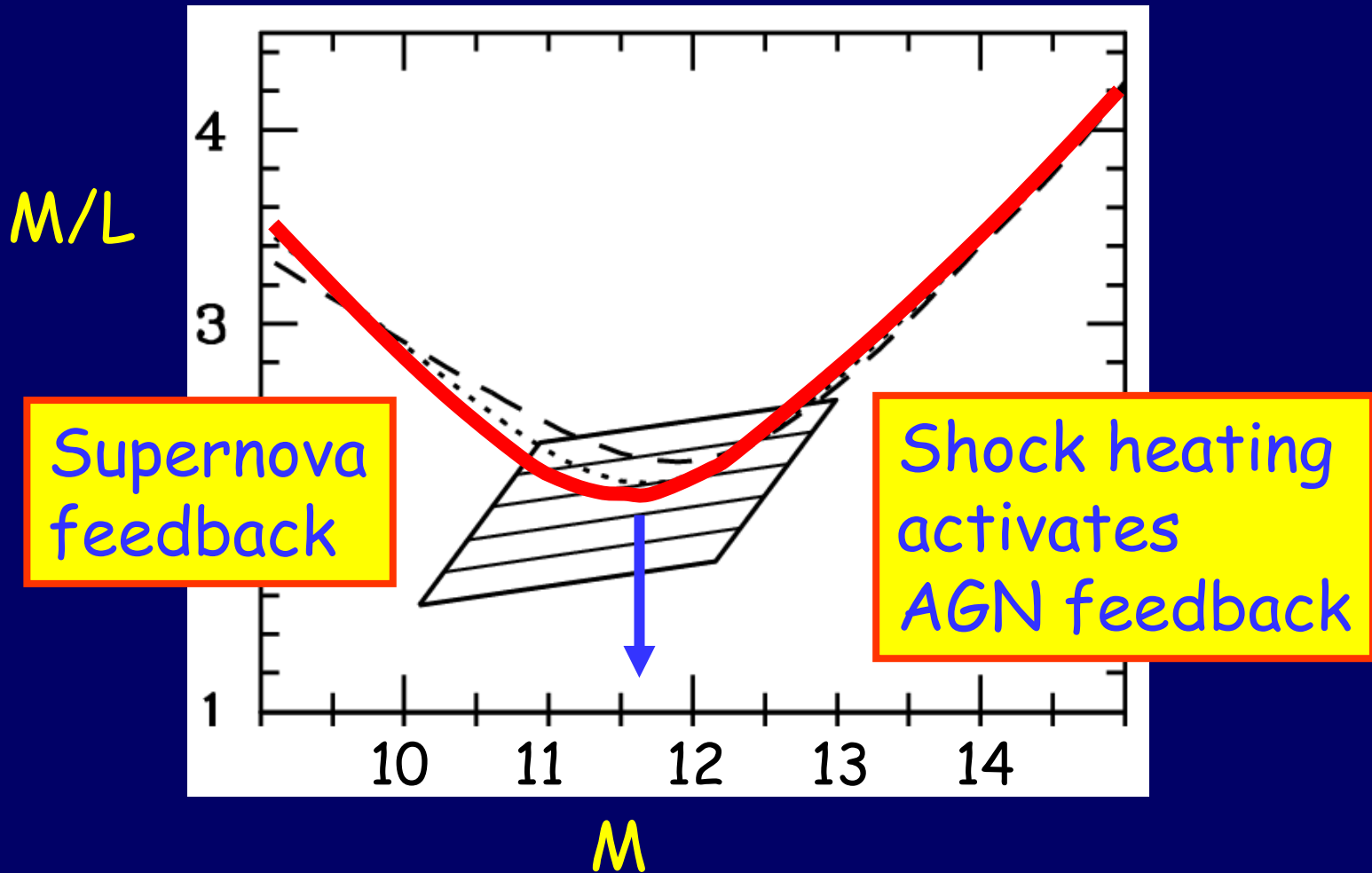
The effect of M_{shock} ($z=0$)

standard

revised

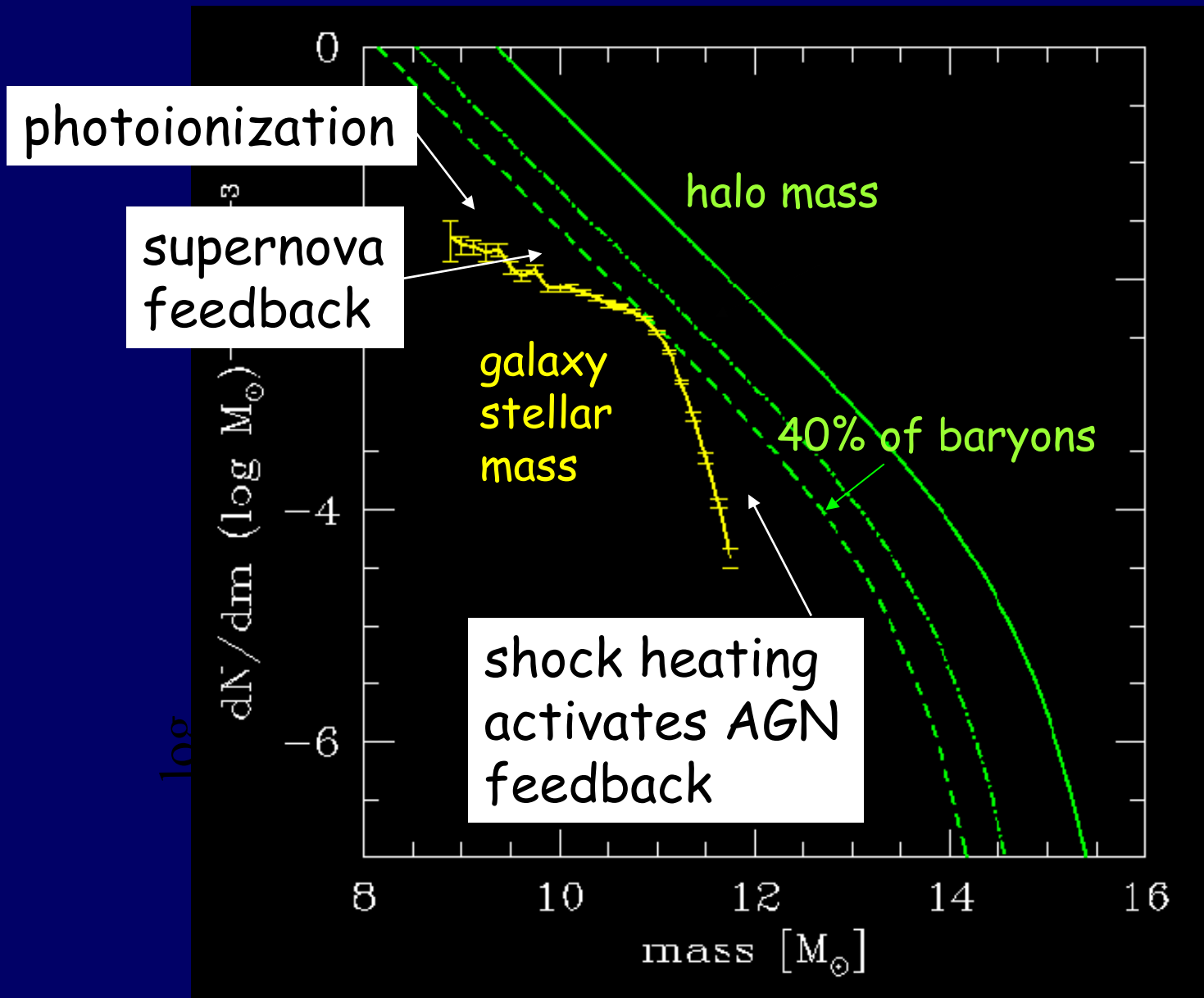


$\langle M/L \rangle$ has a minimum at M_{crit}



Using conditional luminosity function: Van den Bosch, Mo, Yang 03

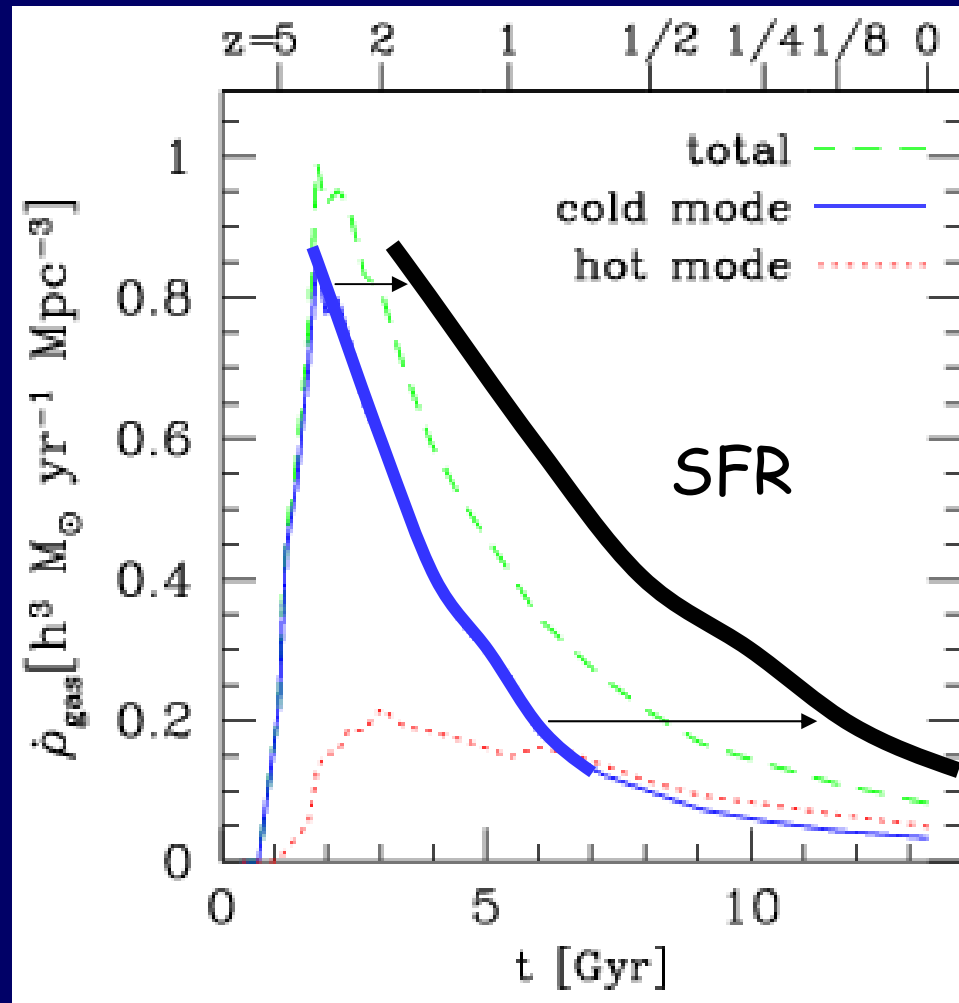
A Sharp knee in the luminosity function



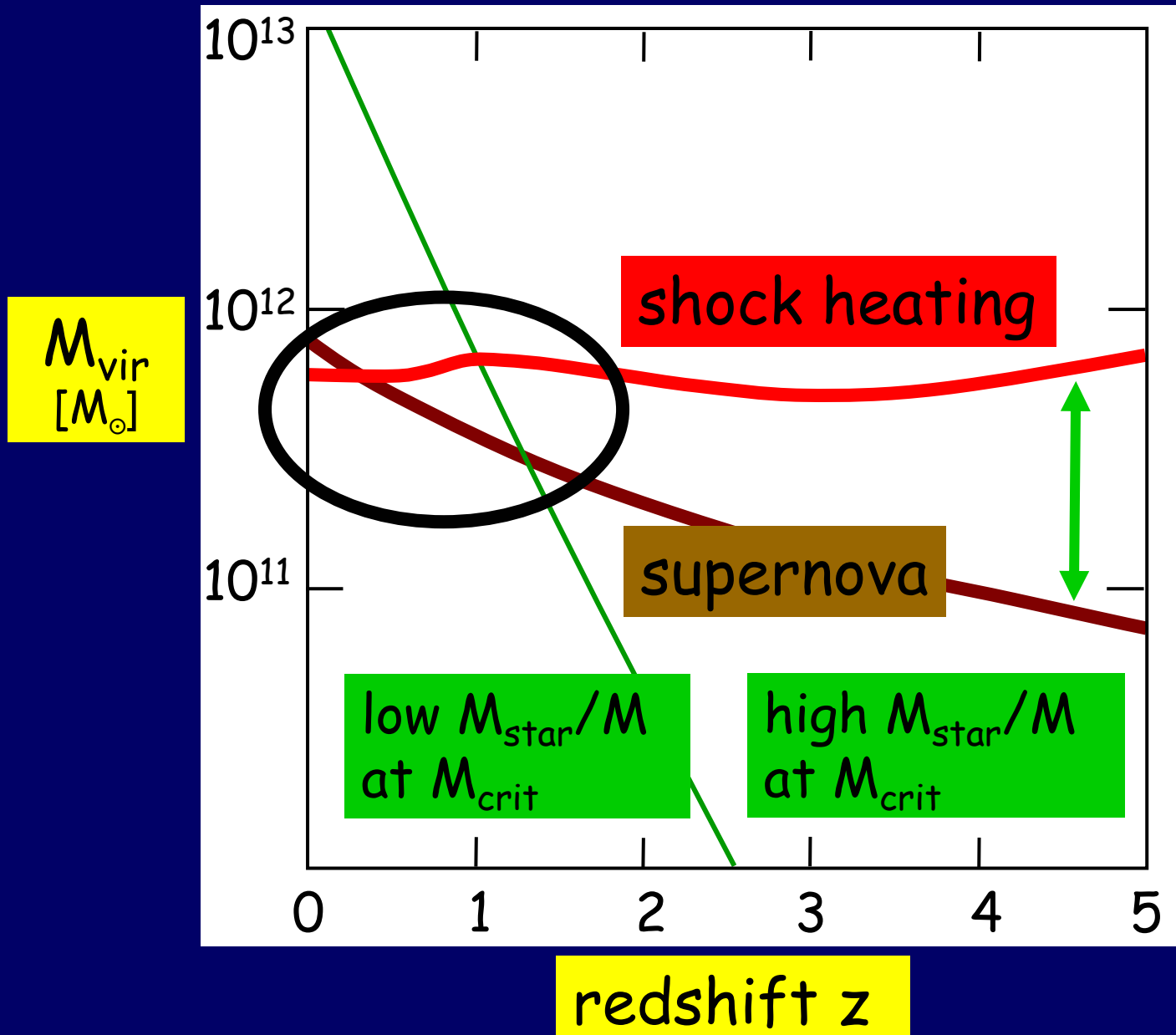
Cold infall history \rightarrow Star formation history

SPH
simulation

Keres, Katz,
Weinberg,
Dav'e 2004



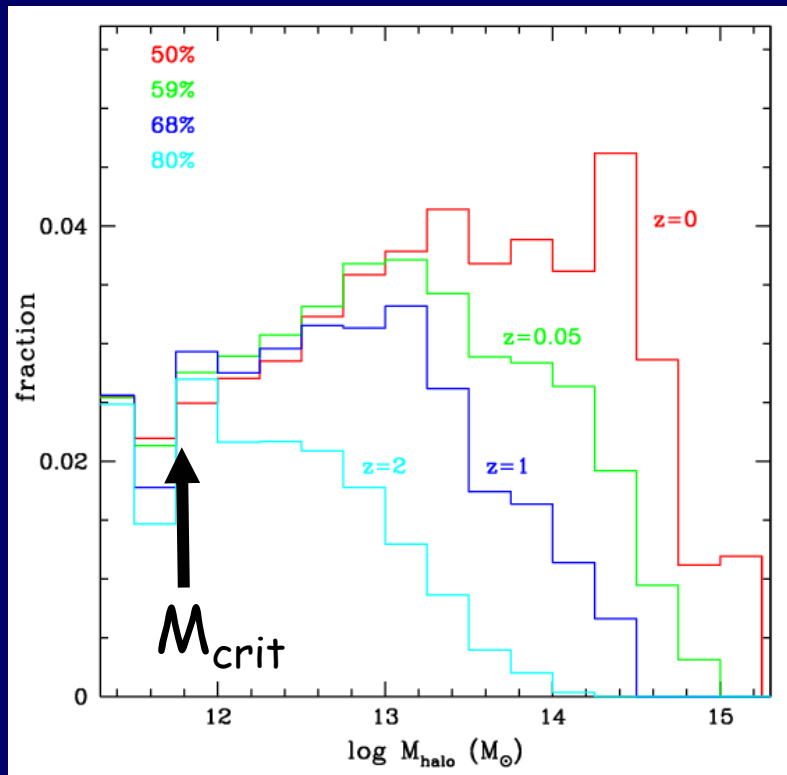
Shock-Heating vs SN Feedback at high z



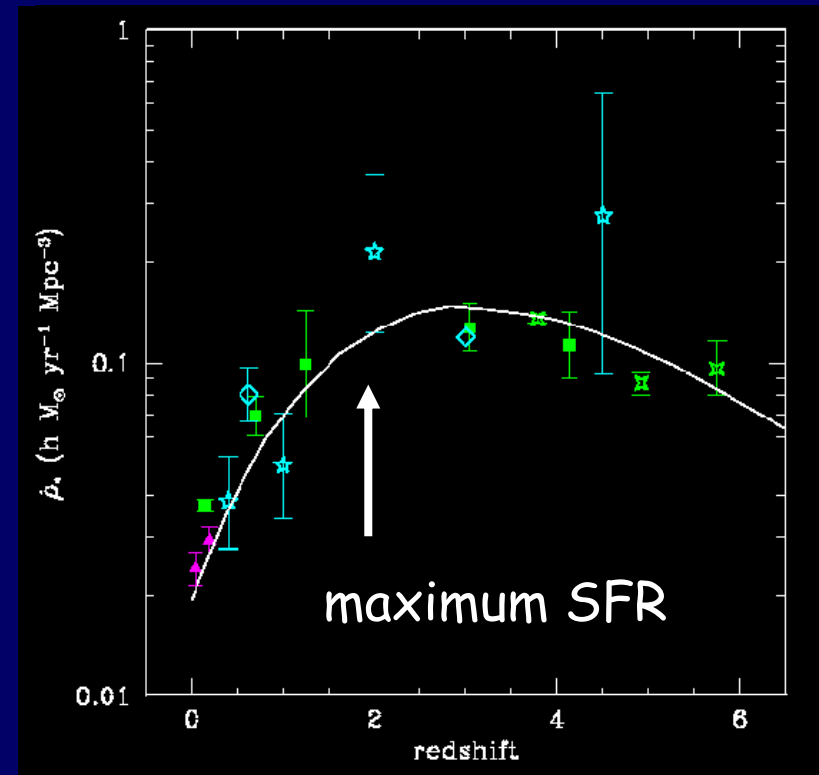
History of Star Formation

Most-efficient star formation near M_{crit}

evolution of
halo mass function



evolution of
star formation rate



The Angular Momentum problem

hydro simulations fail to produce large disks,
over-produce bulges (Navarro, Steinmetz, ...)

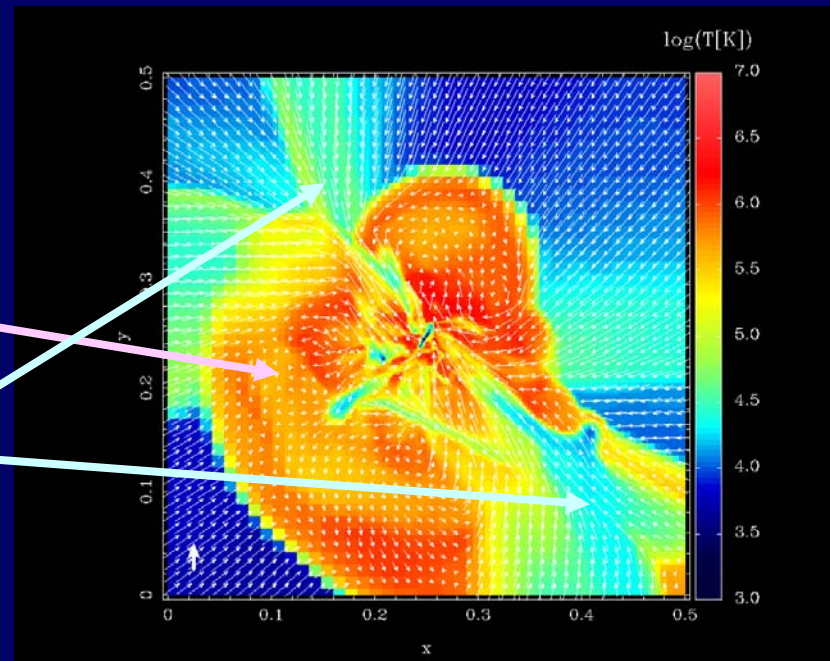
→ should get rid of low j tail

$M < M_{\text{crit}}$ SN blowout from dwarf halos, which enter as
minor mergers (Maller & Dekel 02)

$M > M_{\text{crit}}$

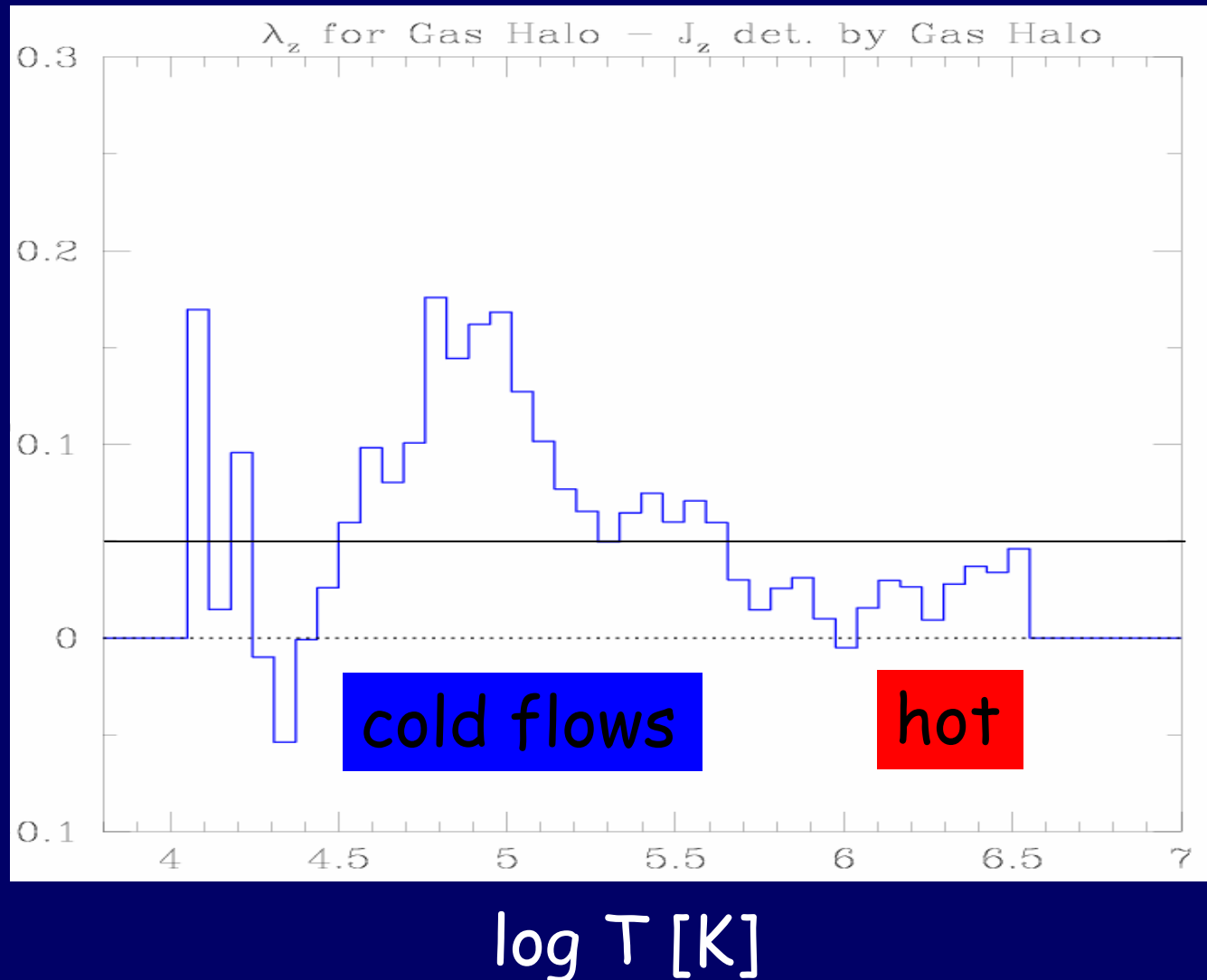
AGN blowout of the
low j hot medium

high j comes with
cold streams



Angular Momentum: Cold vs Hot Gas

$$\frac{J}{MRV}$$



Conclusions

Summary: Magic Scale

$$M_* \sim 3 \times 10^{10} M_\odot, M_{\text{vir}} \sim 6 \times 10^{11} M_\odot$$

$$M < M_{\text{crit}}$$

cold infall \rightarrow disks
star bursts, field

SN feedback regulates SFR

\rightarrow blue, young pop

$M_*/M_\odot \propto V^2 \rightarrow$ LSB fundamental line
starves AGNs

Ly- α emitters

$$M > M_{\text{crit}}$$

hi-z progenitors $< M_{\text{crit}} \rightarrow$ disks
SF stops when $> M_{\text{crit}} \rightarrow$ red, old,
spheroids in groups

hot gas (+ **cold** flows at $z > 2$)

AGN feedback prevents cooling
of shock-heated gas

X-ray

Origin of the Observed Features

Blue sequence & FL: Cold flows in $M < M_{\text{shock}}$ halos (+mergers); SFR regulated by SN fdbk

Big reds & no big blues at $z < 1$: Shutdown SFR in $M > M_{\text{shock}} \sim 10^{12}$ due to coupling of hot gas with AGN fdbk; Mergers in groups \rightarrow spheroids help shutdown

Big blues at $z > 2$: Cold streams in hot $M > M_{\text{shock}}$ before $z_{\text{crit}} \sim 2$

Color bimodality gap: Abrupt shutdown of SFR; Spheroids get red; Satellites

Environment dependence: HOD -- halo mass, $M_{\text{group}} \sim M_{\text{shock}}$

Bulge/Disk bimodality: Disks by cold flows in $M < M_{\text{shock}} \sim M_{\text{group}}$; Merger rate in groups \rightarrow spheroids + BH \rightarrow AGN fdbk

Minimum in M/L M_{shock} : Minimum in feedback efficiency

SFR peaks near $z \sim 1$: Maximum cold flow, minimum feedback

Angular momentum: By cold flows

To do (partial list) :

Cold flows: fate? star formation, SN feedback

Hot medium: two phases, AGN feedback

X-ray, $L\alpha$ emission, external ionizing flux

Angular momentum

Star formation history

Implement in semi-analytic models

Theory vs. simulations

Re-engineering SAMs

- $M < M_{\text{shock}t}$: efficient early star formation by cold streams hitting disks
- $M > M_{\text{shock}}$ but $z > 1.5$ (low HOD?): further star formation by cold streams
- $M > M_{\text{shock}}$ at $z < 1.5$ in groups: shut off disk growth and star formation due to shock-heating + AGN feedback, preferably if big bulge
- no "cooling radius"; heating (not cooling) from the inside out

Characteristic Scales

