

A special lecture series on **Galaxy Formation**  
by Avishai Dekel (*Chaire Internationale Blaise Pascal*)

for graduate students and researchers; IAP/OP Wednesdays 17:00-19:00

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|-------------|--|
| Octobre 20  | 1. the standard cosmology<br>2. linear growth of fluctuations by gravitational instability                                 |
| Novembre 17 | 3. statistics of density fluctuations: the CDM scenario<br>4. nonlinear growth: spherical model, filamentary structure     |
| Decembre 8  | 5. numerical simulations of structure formation<br>6. hierarchical clustering: Press-Schechter formalism, biasing          |
| Decembre 15 | 7. dark-matter halos: density profile, cusp/core problem<br>8. halo substructure: dynamical friction, tidal effects, HOD   |
| Janvier 5   | 9. angular momentum problem: tidal torques, disk formation<br>10. the origin of galaxy scaling relations and their scatter |
| Janvier 12  | 11. semi-analytic modeling: cooling, star formation, mergers<br>12. feedback processes: supernova, AGN and black holes     |
| Fevrier 9   | 13. cold flows versus shock heating<br>14. origin of bi-modality in galaxies   |
| Fevrier 16  | 15. dwarf galaxies and the "fundamental line"<br>16. dark-dark halos: effect of cosmological photoionization               |

# $\Lambda$ CDM Power Spectrum

$$P(k) \propto k\,T^2(k)$$

$$T(k)=\frac{\ln(1+2.34q)}{2.34q}\Big(1+3.89q+(16.1q)^2+(5.46q)^3+(6.71q)^4\Big)^{-1/4} \quad q=\frac{k}{\Omega_m h^2 Mpc^{-1}}$$

$$\text{normalization: } \sigma_8 \equiv \sigma_{tophat}(R=8h^{-1}Mpc)$$

# Lecture 4

## Non-linear Growth of Structure

Spherical Collapse,  
Virial Theorem,  
Zel'dovich Approximation,  
N-body Simulations

# Filamentary Structure: Zel'dovich Approximation

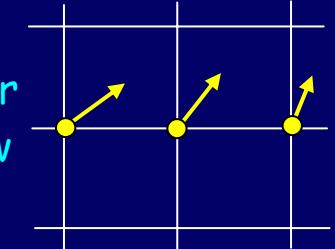
Approximate the displacement  
from initial position

$$x(q, t) = q + D(t) \psi(q), \quad \psi = -\nabla \phi$$

Velocity & acceleration along displacement  
→ trajectories straight lines

$$\dot{x} = \dot{D}\psi, \quad \ddot{x} = \ddot{D}\psi \propto \dot{x}$$

as in linear  
central force → potential flow



In physical coordinates

$$r = ax, \quad v = \dot{r} = \dot{a}x + a\dot{x} = Hr + v_{pec}$$

Density (Lagrangian):

continuity

$$\rho(x, t) d^3 x = \rho_q d^3 q$$

$$\rightarrow \rho(x, t) = \frac{\rho_q}{\|\partial \vec{x} / \partial \vec{q}\|} = \frac{\rho_q}{(1 - D(t)\lambda_1)(1 - D(t)\lambda_2)(1 - D(t)\lambda_3)}$$

Jacobian

→ caustics

$$\lambda_i \equiv \frac{\partial^2 \phi}{\partial^2 q_i}, \quad \lambda_1 \geq \lambda_2 \geq \lambda_3$$

deformation tensor  
eigenvalues

cluster 8%  $\lambda_1 \approx \lambda_2 \approx \lambda_3$

filament 42%  $\lambda_1 \approx \lambda_2 \gg \lambda_3$

pancake 42%  $\lambda_1 \gg \lambda_2 \approx \lambda_3$

# Zel'dovich Approximation cont'd

$$\rho(x,t) = \frac{\rho_q}{(1 - D(t)\lambda_1)(1 - D(t)\lambda_2)(1 - D(t)\lambda_3)} \quad \lambda_i \equiv \frac{\partial^2 \phi}{\partial^2 q_i}, \quad \lambda_1 \geq \lambda_2 \geq \lambda_3$$

$$\delta = \frac{\rho}{\rho_q} - 1 = -D(\lambda_1 + \lambda_2 + \lambda_3) + D^2(\lambda_1\lambda_2 + \dots) + D^3(\lambda_1\lambda_2\lambda_3) + \dots$$

linear  $\delta = -D(\lambda_1 + \lambda_2 + \lambda_3) = -D \nabla \cdot \psi = -D \nabla \cdot \frac{\dot{x}}{\dot{D}} = -\frac{D}{\dot{D}} \nabla \cdot v = -\frac{1}{Hf(\Omega)} \nabla \cdot v$

$\rightarrow D$  is the growing mode of GI obeying  $\ddot{D} + 2H\dot{D} = 4\pi G\rho D$

Error:

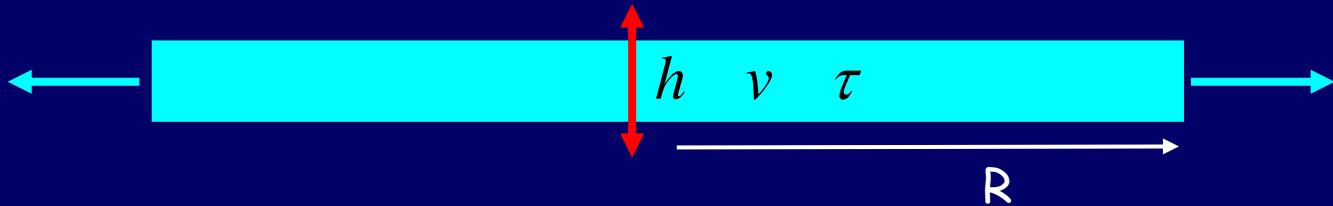
plug density in Poisson eq.  $\delta_{Poisson} \propto \nabla^2 \phi_{grav} \propto -\nabla \psi = -(\lambda_1 + \lambda_2 + \lambda_3) \propto \delta_{linear}$

$\rightarrow$  error is 2<sup>nd</sup>+3<sup>rd</sup> terms  $\frac{\Delta \rho}{\rho} = -(D\lambda_1)^2 \left( \frac{\lambda_2}{\lambda_1} + \frac{\lambda_3}{\lambda_1} + \frac{\lambda_2\lambda_3}{\lambda_1^2} \right) + 2(D\lambda_1)^3 \frac{\lambda_2\lambda_3}{\lambda_1^2}$

error small in linear regime or pancakes	$D\lambda_1 \ll 1$ $\lambda_1 \gg \lambda_2, \lambda_3$
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error big in spherical collapse  $\lambda_1 \sim \lambda_2 \sim \lambda_3$

# Non-dissipative Pancakes: why flat?



oscillation time  $\ll$  expansion time       $\tau \ll H^{-1}$

adiabatic invariant

$$\text{const.} \sim \int_0^\tau \dot{x}^2 dt \sim v^2 \tau \sim h v$$

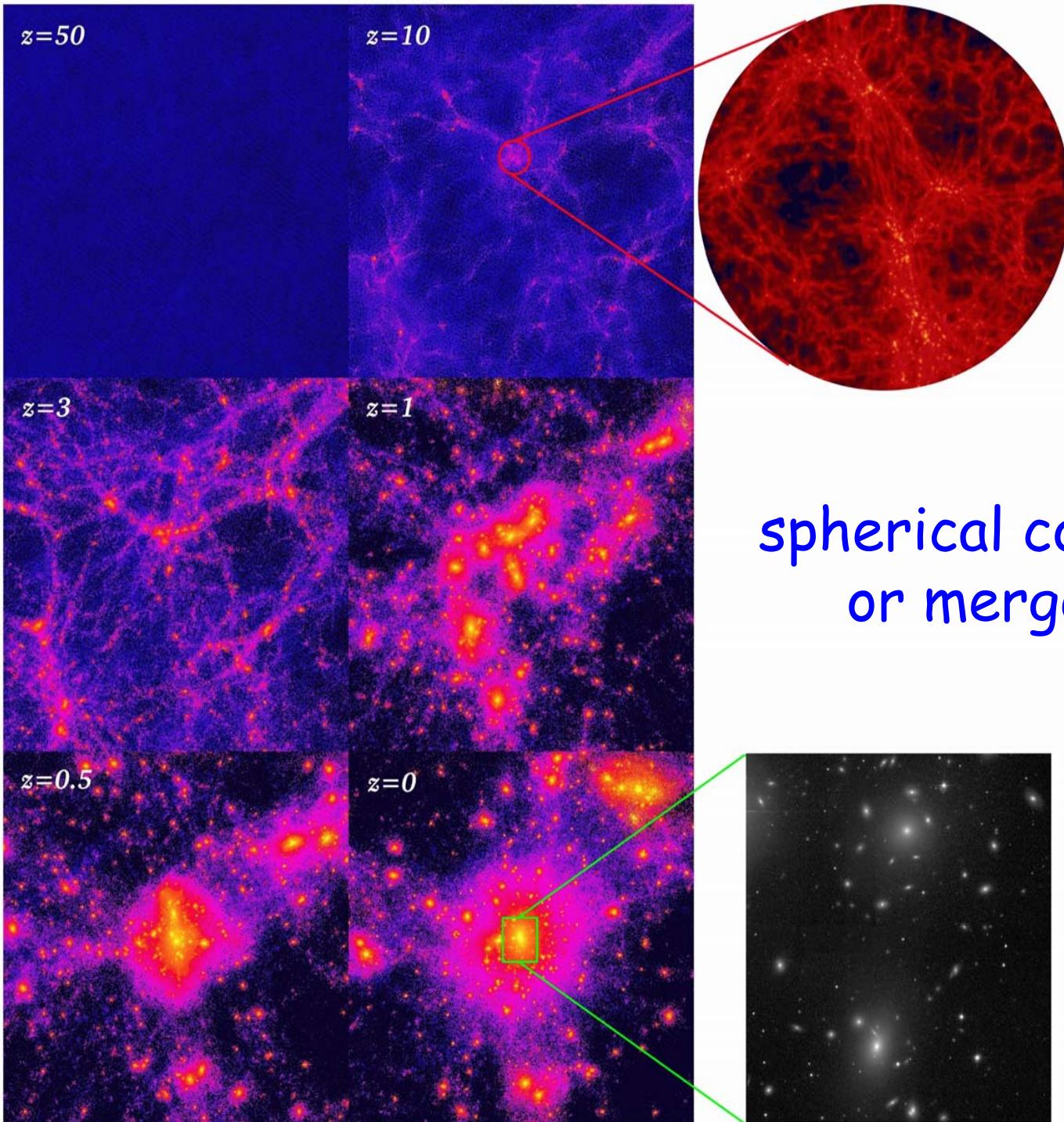
$$v \sim f\tau \propto fv^2 \rightarrow v \propto f^{1/3}$$
$$v \sim f\tau \propto fv^{-2} \rightarrow v \propto f^{1/3} \propto R^{-2/3}$$

$$f \propto \Sigma \propto R^{-2}$$

$$h \propto v^{-1} \propto R^{2/3}$$

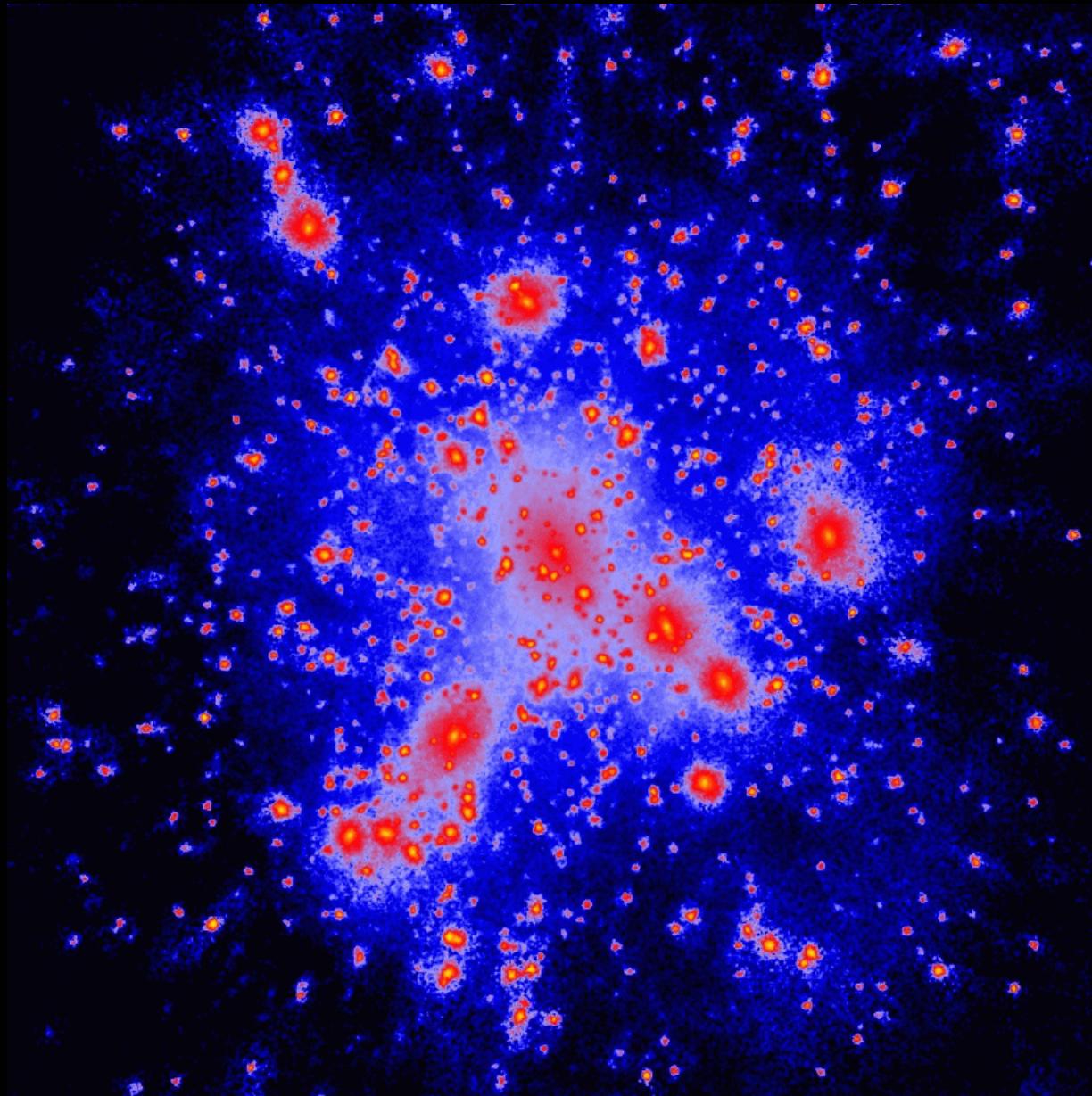
$$\frac{h}{R} \propto R^{-1/3} \propto a^{-1/3}$$

pancake becomes flatter in time



spherical collapse  
or mergers

# N-body simulation of Halo Formation



# Top-Hat Model ( $\Lambda=0$ , matter era)

a bound sphere ( $k=1$ ) in EdS universe ( $k=0$ )

$$\dot{a}^2 = \frac{2a^*}{a} - k \quad a^* \equiv (4\pi/3)G\rho a^3 = \text{const.}$$

conformal time

$$d\eta \equiv \frac{dt}{a(t)}$$

$$a = (a^*/2)\eta^2 \quad t = (a^*/6)\eta^3$$

$$a_p = a_p^*(1 - \cos \eta_p) \quad t = a_p^*(\eta_p - \sin \eta_p)$$

$$t_p = t \rightarrow \eta^3(\eta_p) = \frac{6a_p^*}{a^*}(\eta_p - \sin \eta_p) \rightarrow$$

$$a(\eta_p) = \frac{1}{2} \left( \frac{6a_p^*}{a^*} (\eta_p - \sin \eta_p) \right)^{2/3}$$

overdensity:

$$a^* \propto \rho a^3 \quad a_p^* \propto \rho_p a_p^3 \rightarrow$$

$$\frac{\rho_p}{\rho} = \frac{a_p^*}{a^*} \left( \frac{a}{a_p} \right)^3 = \frac{9(\eta_p - \sin \eta_p)^2}{2(1 - \cos \eta_p)^3}$$

linear perturbation  $|\delta\rho/\rho| \ll 1 \quad \eta_p \ll 1$

Taylor  $\cos \eta \approx 1 - \frac{1}{2}\eta^2 + \frac{1}{24}\eta^4 \quad \sin \eta \approx \eta - \frac{1}{6}\eta^3 + \frac{1}{120}\eta^5$

$$\frac{\delta\rho}{\rho} \equiv \frac{\rho_p - \rho}{\rho} \approx 0.15\eta_p^2 \propto a \propto t^{2/3}$$

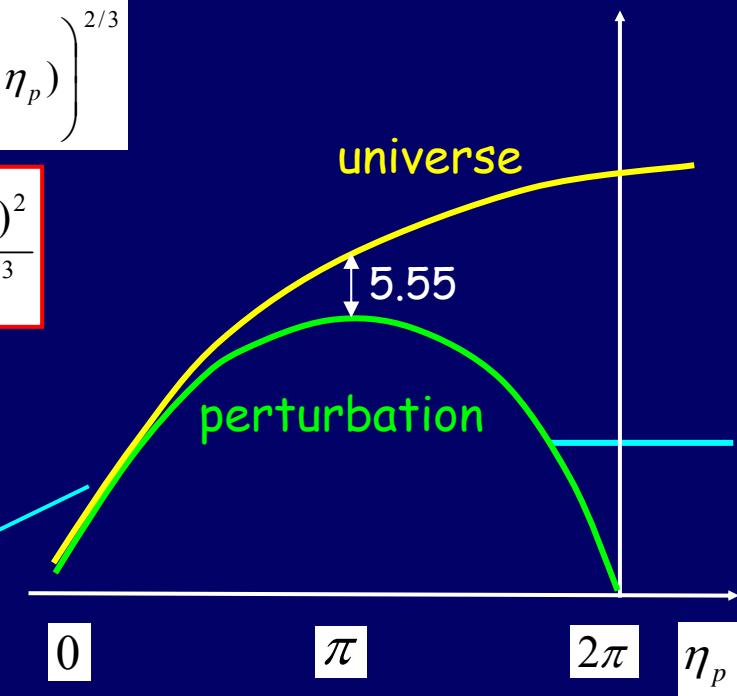
turnaround  $\eta_p = \pi$

$$\delta \propto a$$

$$\frac{\rho_p}{\rho} = \frac{9\pi^2}{16} \approx 5.55$$

linear equivalent to collapse

$$\delta_{2\pi} = \delta(\eta_p \ll 1) \left( \frac{t(\eta_p = 2\pi)}{t(\eta_p \ll 1)} \right)^{2/3} = 0.15\eta_p^2 \left( \frac{2\pi}{\eta_p^3/6} \right)^{2/3} \approx 1.68 \equiv \delta_c$$



- Collapse to Virial Equilibrium

$$E_{max} \simeq -\frac{GM^2}{R_{max}} \quad (E_k \simeq 0) \quad E_{vir} \simeq \frac{1}{2} E_{grav} \simeq -\frac{1}{2} \frac{GM^2}{R_{vir}}$$

E conserved  $\rightarrow \frac{R_{vir}}{R_{max}} \simeq \frac{1}{2} \rightarrow \frac{\rho_{vir}}{\rho_{max}} \simeq 8$

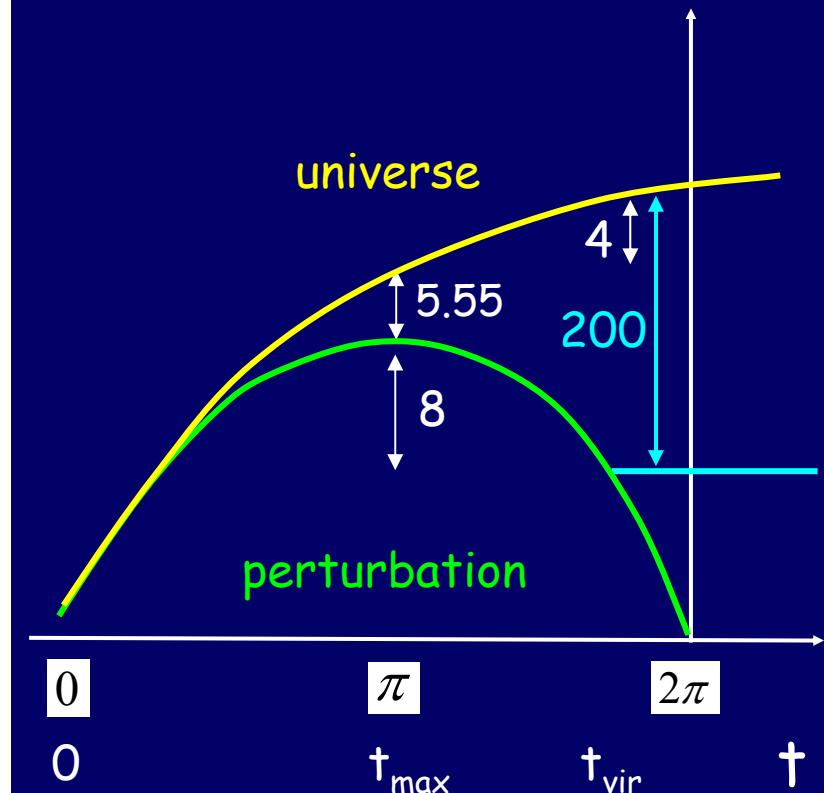
- Virial density:

$$\frac{\rho_{vir}}{\rho_{univ}} \simeq 5.55 \times 8 \times \left( \frac{a_{vir}}{a_{max}} \right)^3$$

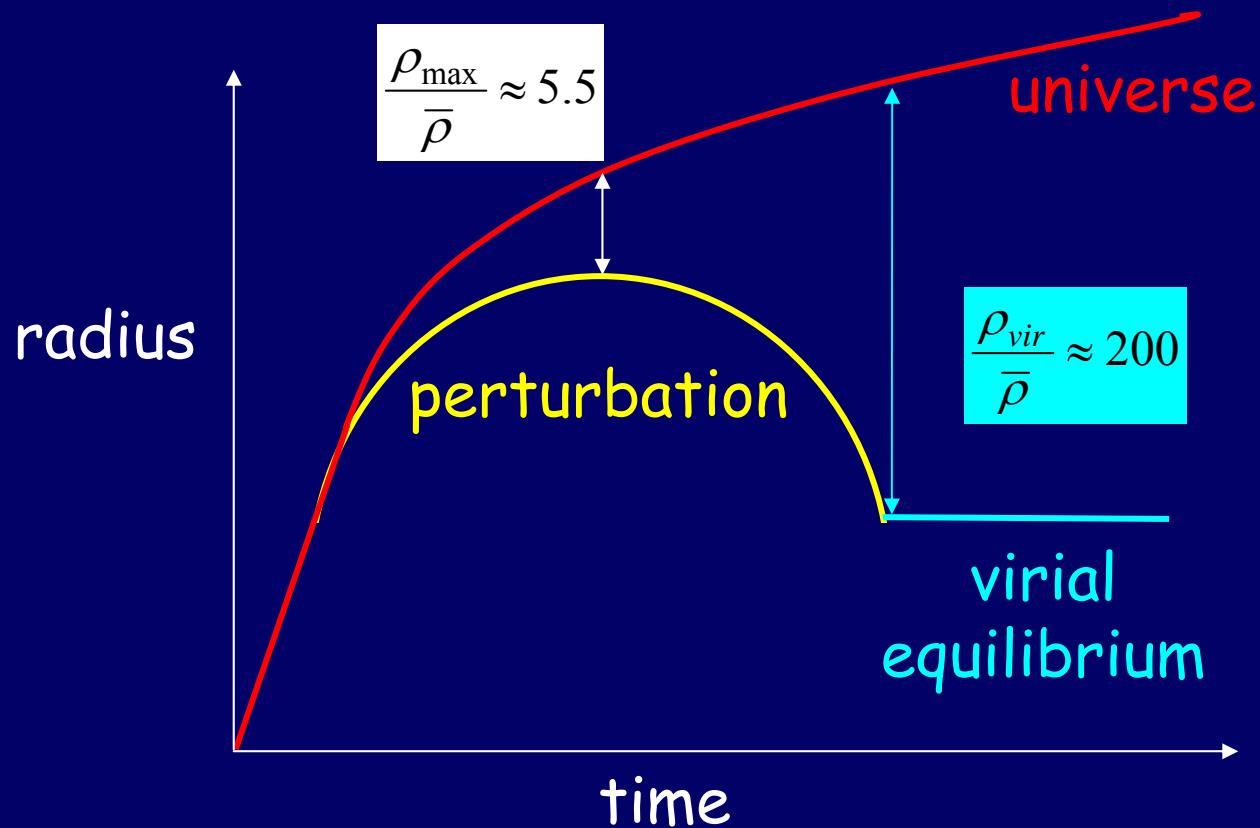
Assume virialization at collapse,  $\eta_p \simeq 2\pi$ ,

$$\frac{t_{col}}{t_{max}} \simeq \frac{2\pi}{\pi} = 2 \quad \rightarrow \frac{a_{vir}}{a_{max}} = \left( \frac{t_{col}}{t_{max}} \right)^{2/3} \simeq 2^{2/3}$$

$$\rightarrow \frac{\rho_{vir}}{\rho_{univ}} \simeq 5.55 \times 8 \times 4 \simeq 178 \sim 200$$



# Spherical Collapse



virial equilibrium:

$$E = -\frac{1}{2} \frac{GM}{R_{\text{vir}}} = -\frac{GM}{R_{\text{max}}}$$

# Lecture 6

# Hierarchical Clustering

Press Schechter Formalism

# Press Schechter Formalism halo mass function $n(M,a)$

Gaussian random field  $P(\delta) = (2\pi\sigma^2)^{-1/2} \exp(-\delta^2 / 2\sigma^2)$

random spheres of mass  $M$

linear-extrapolated  $\delta_{\text{rms}}$  at  $a$ :  $\sigma(M,a) = \sigma_0(M) D(a)$

fraction of spheres with  $\delta > \delta_c = 1.68$ :

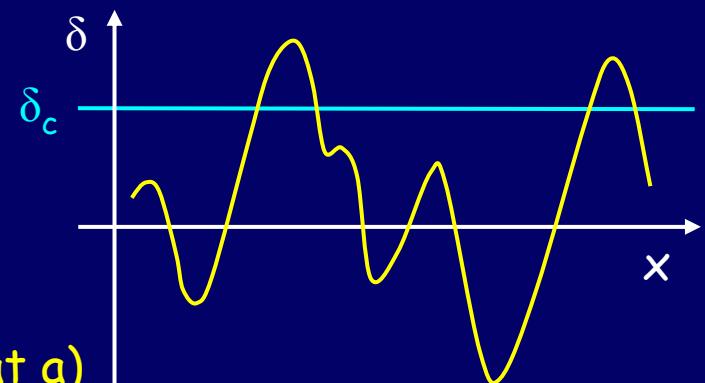
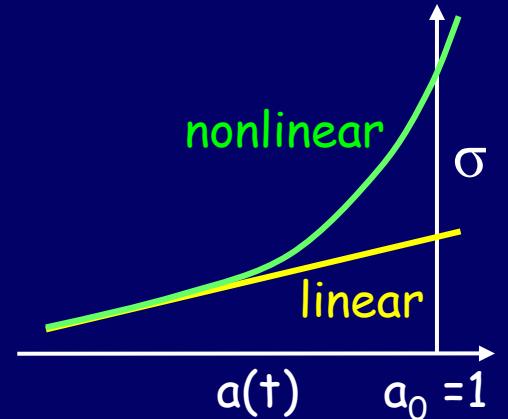
$$F(M,a) = \int_{\delta_c}^{\infty} d\delta [2\pi\sigma^2(M,a)]^{-1/2} \exp[-\delta^2 / 2\sigma^2(M,a)] \\ = (2\pi)^{-1/2} \int_{\delta_c/\sigma(M,a)}^{\infty} dx \exp(-x^2 / 2)$$

$$\nu_c \equiv \frac{\delta_c}{D(a) \sigma_0(M)}$$

PS ansatz:  $F$  is the mass fraction in halos  $> M$  (at  $a$ )

derivative of  $F$  with respect to  $M$ :

$$n(M,a)dM = \left(\frac{2}{\pi}\right)^{1/2} \frac{\bar{\rho}}{M} \nu_c \frac{d \ln \sigma_0}{d \ln M} \exp(-\nu_c^2 / 2) \frac{dM}{M}$$



# Press Schechter Formalism cont.

$$n(M, a) dM = \left( \frac{2}{\pi} \right)^{1/2} \frac{\bar{\rho}}{M} v_c \frac{d \ln \sigma_0}{d \ln M} \exp(-v_c^2 / 2) \frac{dM}{M}$$

Example:  $P_k \propto k^n \rightarrow \sigma_0(M) \propto M^{-\alpha} \rightarrow v_c = (M/M_*)^\alpha$

$$\alpha = (3+n)/6 \quad \frac{d \ln \sigma_0}{d \ln M} = \alpha$$

$$n(M) \propto \alpha \tilde{M}^{\alpha-2} \exp(-\tilde{M}^{2\alpha}/2) \quad \tilde{M} \equiv M/M_*$$

self-similar evolution, scaled with  $M_*$

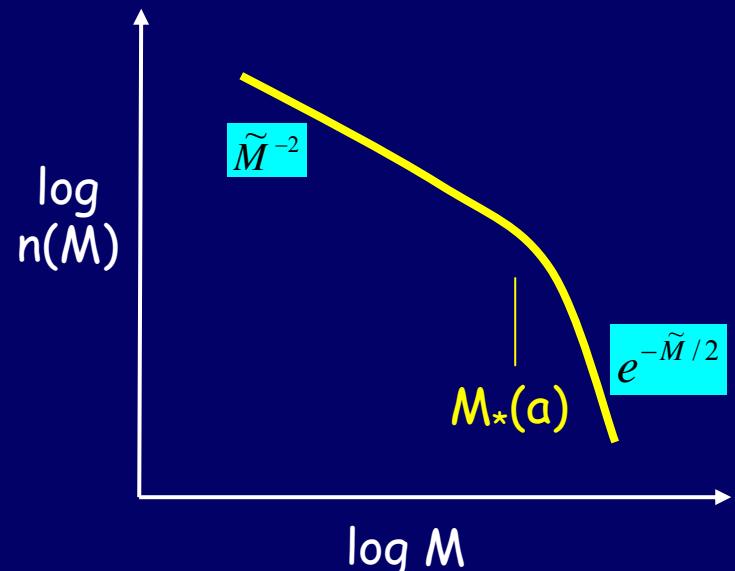
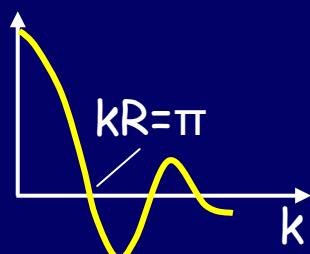
$$\frac{\delta_c}{D(a) \sigma_0(M)} \quad \text{time } P_k$$

$M_*(a)$  defined by  $\sigma(M_*, a) \equiv \delta_c$

$$\sigma^2(R) = (2\pi)^{-1} \int_0^\infty dk k^2 P(k) \tilde{W}^2(kR)$$

Top Hat

$$W_R(x) = \Theta(x/R) \quad \tilde{W}_R(k) = 3[\sin(kR) - kR \cos(kR)]/(kR)^3$$



approximate

$$M_*(a) = M_{*0} D(a)^{1/\alpha} \sim 10^{13} M_\odot a^5$$

in a flat universe

$$D(a) = a g(a) / g(1)$$

$$g(a) \approx \frac{5}{2} \Omega_m(a) \left( \Omega_m(a)^{4/7} - \Omega_\Lambda(a) + \frac{1+\Omega_m(a)/2}{1+\Omega_\Lambda(a)/70} \right)^{-1}$$

$$\Omega_m(a) = \frac{\Omega_m a^{-3}}{\Omega_\Lambda + \Omega_m a^{-3}}$$

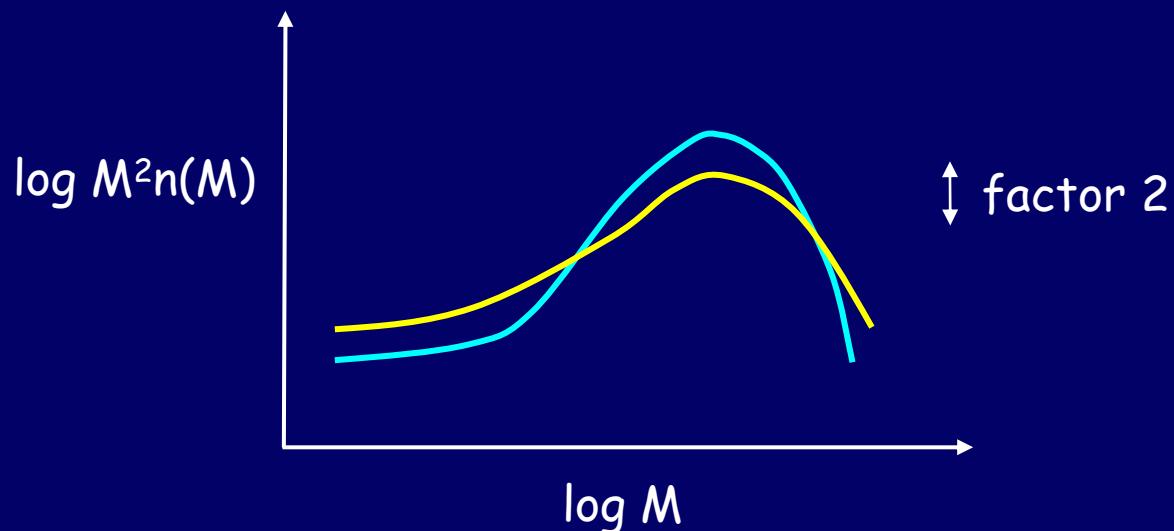
# Press Schechter cont.

Better fit using ellipsoidal collapse (Sheth & Tormen 2002)

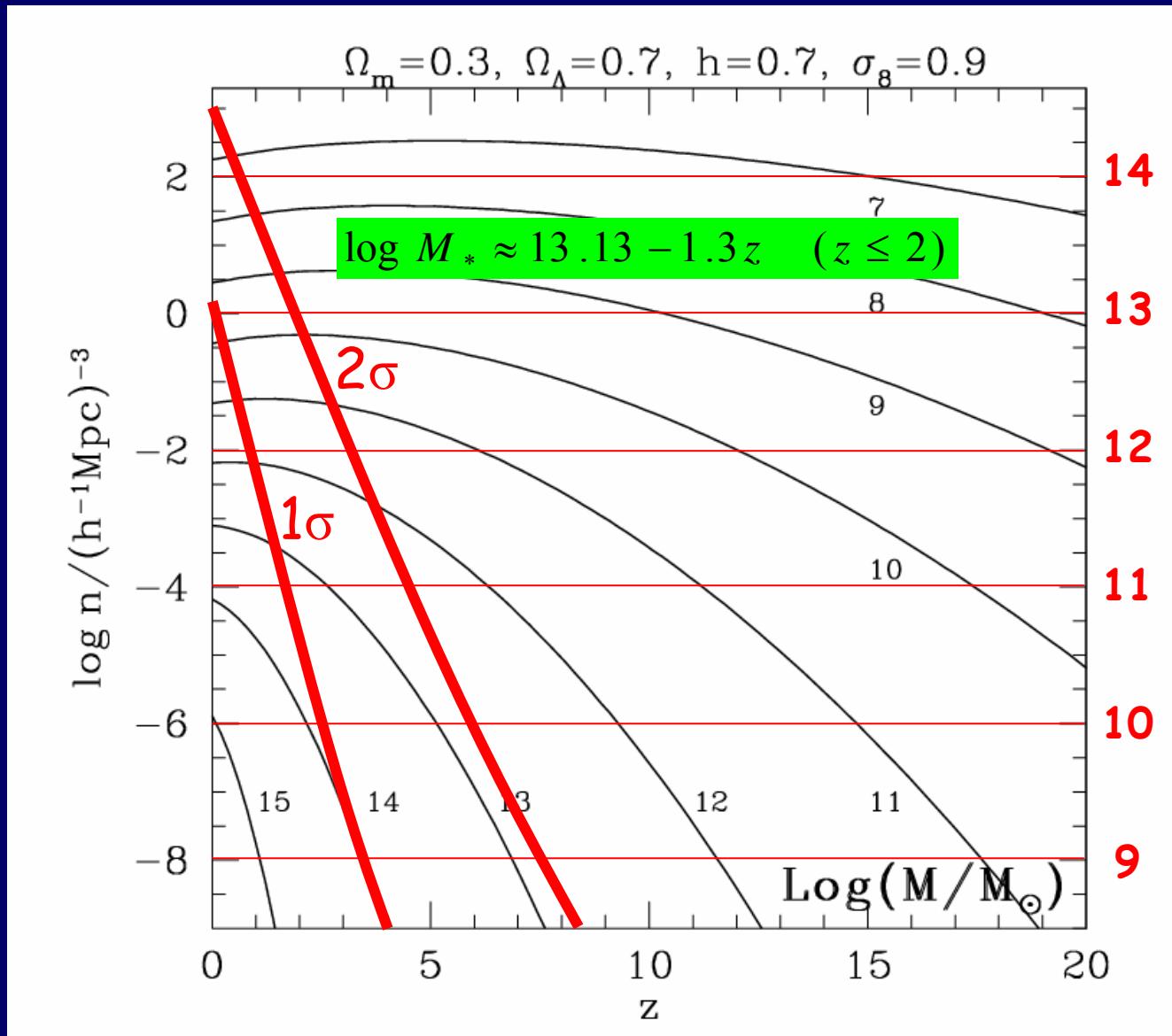
$$F(>M, a) \approx 0.4(1 + 0.4/\nu^{0.4}) \operatorname{erfc}(0.85\nu/2^{1/2})$$

$$1\sigma, 2\sigma, 3\sigma \quad 22\%, 4.7\%, 0.54\%$$

Comparison of PS to N-body simulations

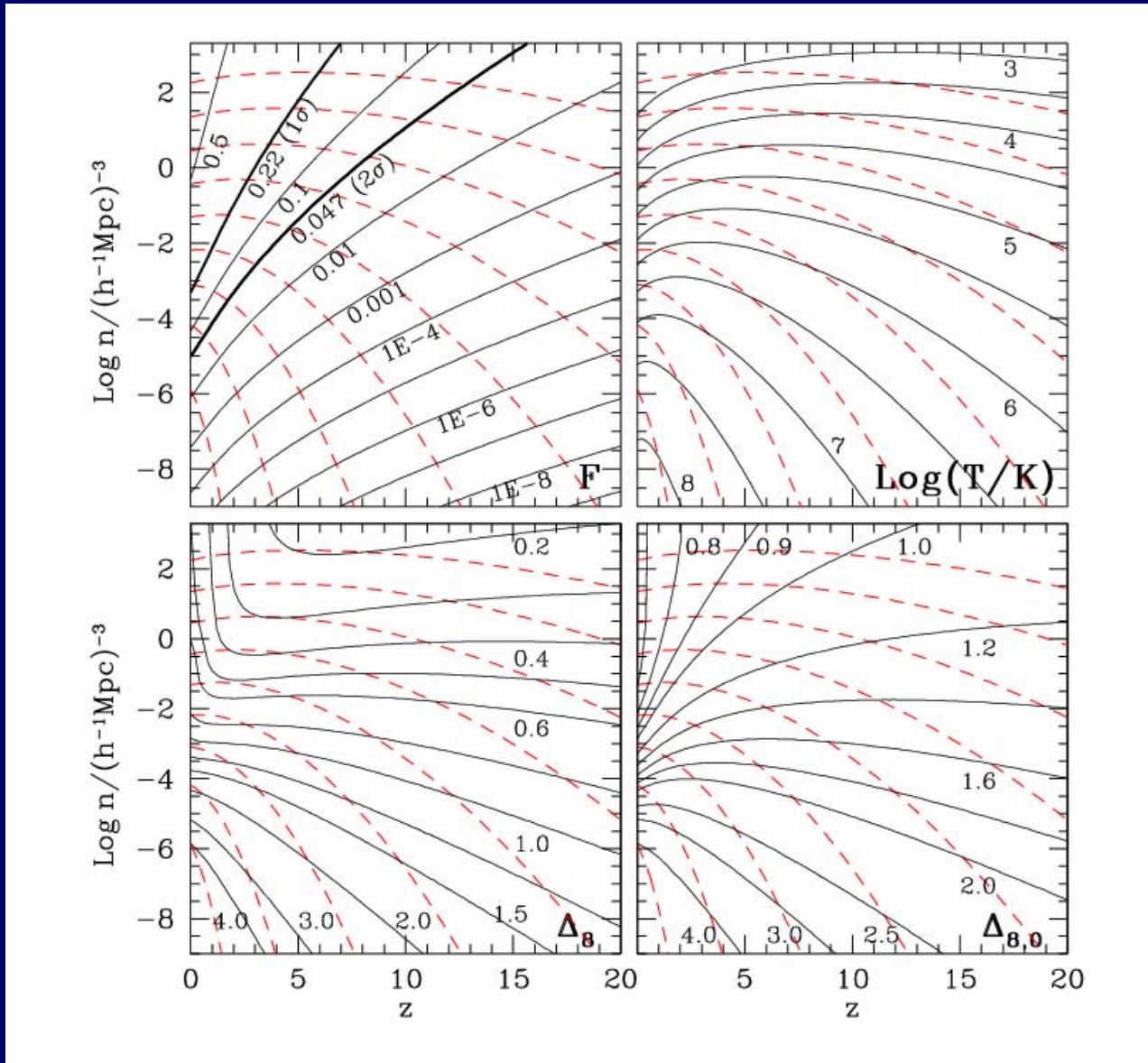


# Press-Schechter in $\Lambda$ CDM



Mo &  
White  
2002

# Press-Schechter



Mo &  
White  
2002

# Merger Tree

